



# *Nuffield College Annual Report*

*Academic Report  
2010-2011*

The Report is compiled and prepared  
by Sarah McGuigan and  
edited by Gwilym Hughes.

Nuffield College  
October 2011

## *Warden's Letter*

Freddie Madden, Professorial Fellow of the College from 1957 to 1984, died in late September, 2011. From 1947 to 1957, Freddie was the Beit Lecturer in the History of the British Empire and he came to Nuffield on his University appointment as Reader in Commonwealth government. On his retirement in 1984, he became an Emeritus Fellow. As well as being a very distinguished historian, he was a College stalwart holding, at one time or another, more or less every available College Officership. On top of this, he was an amateur actor of renown as well as being a founder of the Oxford Samaritans in the 1960s.

John Muellbauer retired from his Official Fellowship after thirty one years in the College and Peter Hedström resigned as an Official Fellow to become Director of The Institute for Futures Studies, Stockholm. Both retain their connections with the College as Senior Research Fellows. Kenneth Macdonald retired from his Faculty Fellowship after thirty five years and becomes an Emeritus Fellow.

Some notable achievements of College members include David Butler's Knighthood in the New Year Honours List as well as his celebration of sixty years as a Fellow on 19<sup>th</sup> September. Bob Allen has been elected President of the Economic History Association, the first individual to be elected to this position from outside the USA. David Cox received the Honorary Degree of D.Sc. from the University of Glasgow.

Tony Atkinson was elected to a Centennial Professorship at the London School of Economics and the Political Studies Association decided that David Butler's book with Donald Stokes, *Political Change in Britain* (1969), was the best academic work on British Politics since 1950. John Darwin's book, *The Empire Project: The Rise and Fall of the British World System 1830-1970*, was awarded the Trevor Reese Memorial Prize and the German translation of his book, *After Tamerlaine*, was awarded a prize by Nord Deutsche Rundfunk. Elias

Dinas was awarded the Linz-Rokkan Prize from the European University Institute for the best dissertation in political sociology and Sergi Pardos-Prado's thesis won the Ramon Trias Forgas political essay prize. Ray Duch received an award for the best article published in the *Journal of Politics*, 2010 and Diego Gambetta was invited to Niklas Luhmann Distinguished Visiting Chair in Social Theory at Bielefeld University. Duncan Gallie completed his final year as Foreign Secretary and Vice President of the British Academy and Chelly Halsey received the British Sociological Association's Lifetime Achievement Award. David Myatt was awarded prizes for the best paper in political economy at the American Political Science Association meetings and the best paper published in the American Journal of Political Science. John Muellbauer had the distinction of being the co-author (with Angus Deaton) of one of the twenty most significant papers published by the *American Economic Review* in the first one hundred years of its existence. Laurence Whitehead was elected for a second term as President of the Conseil Scientifique of the l'Institut des Amériques in Paris.

On the Oxford scene, Nancy Bermeo continues as Director of the Centre for the Study of Inequality and Democracy, Ray Duch as Director of the Centre for Experimental Social Sciences, Ray Fitzpatrick as Head of the Department of Public Health and Des King as the Chair of the Executive Committee of the Rothermere American Institute. David Hendry began his term as Director of the *Institute for New Economic Thinking at the Oxford Martin School*. Laurence Whitehead began his one year term as Senior Proctor with Gwilym Hughes and Lucy Carpenter as Pro-Proctors. Finally I acted as Chair of the Financial Control Committee for the Ashmolean Visitors and finished my stints as Chair of the University Socially Responsible Investment Committee and the University Joint Resource Allocation Advisory Board. I began a term as Chair of the Monitoring and Moderation Board of the Conference of Colleges.

In the wider world, Tony Atkinson continues as a member of the European Statistics Governance Advisory Board, Ray Fitzpatrick

continues as National Programme Director for NIHR Health Services research and Scientific Chair, National Prevention Research Initiative and David Hendry as a member of the UK Chief Scientific Advisers Advisory Panel on Foresight. The new auction Paul Klemperer designed for the Bank of England is now in regular operation and Iain McLean advised the Bill teams in the Cabinet Office developing the bills to equalise parliamentary electorates and to create an elected upper house. I finished my stint on the Board of the UK Statistics Authority and continued as Chair of the Advisory Committee on Civil Costs (MoJ) and as a member of the Consumer Prices Advisory Committee (ONS). I joined the three-person UK Budget Responsibility Committee which runs the UK Office of Budget Responsibility.

Turning to our Visiting Fellowship, David Prentis finished his term having made a significant contribution to the College. As new Visiting Fellows, we welcome Janet Beer (Vice Chancellor of Oxford Brookes), Paul Tucker (Deputy Governor of the Bank of England) and Stewart Wood (Lord Wood of Anfield, Strategic Adviser, Office of the Leader of the Opposition).

**Steve Nickell**  
**October, 2011**

# *The College in 2010-2011*

## *Visitor*

The Rt. Hon. The Lord Neuberger of Abbotsbury

## *Warden*

Steve Nickell CBE, FBA

## *The Fellowship*

At the start of the academic year, there were in total 121 Fellows of the College (excluding Honorary and Emeritus Fellows), 33 being ‘permanent’ and 88 on fixed-term appointments.

## *Fellows*

Laurence Whitehead, *Official Fellow*

Kenneth Macdonald, *Faculty Fellow and Information Services Fellow*

David Miller FBA, *Official Fellow*

John Muellbauer FBA, *Official Fellow and Investment Bursar*

Sir David Hendry FBA, *Professorial Fellow*

John Darwin, *Faculty Fellow*

Duncan Gallie FBA, *Official Fellow and Fellow Librarian*

Raymond Fitzpatrick FMedSci, *Faculty Fellow and Dean*

Margaret Meyer, *Official Fellow*

Geoffrey Evans, *Official Fellow and Senior Tutor*

Neil Shephard FBA, *Professorial Fellow*

Iain McLean FBA, *Official Fellow*

Yuen Foong Khong, *Faculty Fellow*

Paul Klemperer FBA, *Professorial Fellow*

Gwilym Hughes, *Supernumerary Fellow and Bursar*

Bent Nielsen, *Faculty Fellow*

Kevin Roberts FBA, *Professorial Fellow*

Ian Jewitt, *Official Fellow*  
Robert Allen FBA, *Professorial Fellow and Investment Bursar*  
Desmond King FBA, *Professorial Fellow and Chair of Politics Group*  
Colin Mills, *Faculty Fellow*  
Diego Gambetta FBA, *Official Fellow and Chair of Sociology Group*  
Peter Hedström, *Official Fellow*  
Peyton Young FBA, *Professorial Fellow*  
Raymond Duch, *Professorial Fellow and Chair of the Senior Common Room*  
Martin Browning FBA, *Professorial Fellow*  
Tom Snijders, *Professorial Fellow*  
Nancy Bermeo, *Professorial Fellow*  
Gwendolyn Sasse, *Professorial Fellow*  
Nan Dirk de Graaf, *Official Fellow and Deputy Chair of Sociology Group*  
David Myatt, *Official Fellow and Chair of Economics Group*  
Christiaan Monden, *Faculty Fellow*  
Duncan Snidal, *Professorial Fellow*

### ***Visiting Fellows***

David Prentis, *Chief Executive, UNISON*  
Sir Michael Aaronson, *Civil Service Commissioner*  
David Miliband, *MP for South Shields*  
Alan Rusbridger, *Editor, The Guardian*  
Polly Toynbee, *Columnist, The Guardian*  
Lord Stern, *IG Patel Chair and Director, LSE Asia Research Centre*  
Ian Diamond, *Vice Chancellor, University of Aberdeen*  
Alan Morgan, *President, Olivant Advisers Ltd and Chairman, MMC Ventures Ltd*  
Irwin Stelzer, *Director of Economic Policy Studies and Senior Fellow, Hudson Institute, Washington, D.C.*  
Mark Thompson, *Director-General of the BBC*  
Shami Chakrabarti CBE, *Director of Liberty*  
Sir Nicholas Macpherson, *Permanent Secretary to H.M. Treasury*  
Sir Richard Lambert, *Former Director General of the CBI*

Greg Clark, *Minister for Decentralisation, Department for Communities and Local Government, and MP for Tunbridge Wells*  
Lord Myners, *Former Financial Services Secretary, HM Treasury*  
Peter Neyroud QPM, *Chief Executive, National Policing Improvement Agency*  
Ignacio Ortiz, *President of Cemex Southern Europe and Middle East Region*  
Dame Karen Dunnell DBE, *Former National Statistician and Registrar-General for England and Wales*  
Sir Jonathan Cunliffe, *Prime Minister's Adviser, European and Global Issues*  
Chris Huhne, *Secretary of State for Energy and Climate Change and MP for Eastleigh*  
Stephanie Flanders, *BBC Economics Editor*  
Vicky Pryce, *Senior Managing Director/ Economics, FTI*  
Lord Adair Turner, *Chairman, Financial Services Authority*  
Neil Record, *Chairman and CEO, Record Currency Management Ltd*  
David Goodhart, *Editor at large, Prospect Magazine*  
Tim Harford, *Columnist, Financial Times*  
Gareth Peirce, *Senior Partner, Birnberg Pierce and Partners*  
George Soros, *Chairman, Open Society Institute*  
Dame Barbara Stocking, *Director, Oxfam*

### ***Gwilym Gibbon Fellows***

Patrick Diamond, *Senior Research Fellow, Policy Network.*  
Guy Lodge, *Associate Director, Institute for Public Policy Research*  
Jim Gallagher, *Director General for Devolution, Ministry of Justice*

### ***Guardian Research Fellow***

Neil Fowler, *Freelance media consultant*

## ***Emeritus Fellows***

Ian Little AFC CBE FBA  
Uwe Kitzinger CBE  
David Fieldhouse FBA  
Freddie Madden  
A. H. Halsey FBA  
Sir David Butler CBE FBA  
Lord McCarthy  
Sir James Mirrlees FBA  
Noel Gale  
Byron Shafer  
John Goldthorpe FBA  
Clive Payne  
Megan Vaughan FBA  
Jeremy Richardson  
Richard Mayou  
Christopher Bliss FBA  
Andrew Hurrell  
Anthony Heath FBA  
Lucy Carpenter

## ***Honorary Fellows***

Jean Floud CBE  
Michael Brock CBE  
Manmohan Singh  
Sir David Cox FRS FBA  
Martin Feldstein FBA  
Lord Hurd of Westwell  
Lord Runciman FBA  
Amartya Sen CH FBA  
Sir Adrian Swire  
Sir George Bain  
Robert Erikson FBA

Baroness O'Neill FBA  
Ariel Rubinstein  
Lord Sainsbury  
Jerry Hausman  
Sir Ivor Crewe  
Raymond Boudon  
Sir Tony Atkinson FBA  
Sir Samuel Brittan  
Sir Gus O'Donnell  
Martin Wolf CBE

### ***Research Fellows***

Richard Spady, *Senior Research Fellow in Economics*  
Stephen Bond, *Senior Research Fellow in Public Economics*  
Adrian Pagan, *Senior Research Fellow in Economics*  
Richard Breen FBA, *Senior Research Fellow in Sociology*  
David Soskice, *Senior Research Fellow in Politics*  
Sarah Harper, *Senior Research Fellow in Sociology*  
Per Aslak Mykland, *Senior Research Fellow in Economics*  
Avinash Dixit, *Senior Research Fellow in Economics*  
Will Kymlicka, *Senior Research Fellow*  
James Alt, *Senior Research Fellow*  
Mark Franklin, *Senior Research Fellow*  
Kathleen Thelen, *Senior Research Fellow*  
Thomas Carothers, *Senior Research Fellow*  
Werner Raub, *Senior Research Fellow*  
Anders Rahbek, *Senior Research Fellow*  
Michèle Belot, *Research Fellow in Experimental Social Science*  
Jurgen Doornik, *Research Fellow*  
Meredith Rolfe, *Research Fellow*  
Scott Blinder, *Research Fellow*  
Maria Sobolewska, *Postdoctoral Prize Research Fellow*  
Sandra Gonzalez Bailon, *ESRC Postdoctoral Research Fellow*  
Lars Malmberg, *Research Fellow*

Gabriella Elgenius, *British Academy Postdoctoral Research Fellow*  
Lea Ypi, *Postdoctoral Prize Research Fellow*  
Iftikhar Hussain, *Research Fellow*  
Shin Kanaya, *Research Fellow*  
Chiara Binelli, *Research Fellow*  
Charles Roddie, *Research Fellow*  
Nikola Koepke, *Research Fellow*  
Michal Horvath, *Research Fellow*  
Eline de Rooij, *Postdoctoral Prize Research Fellow*  
Maya Tudor, *Research Fellow*  
Silke Schneider, *Postdoctoral Prize Research Fellow*  
Johan Koskinen, *Research Fellow*  
Adam Ziegfeld, *Postdoctoral Prize Research Fellow*  
Jennifer Flashman, *Postdoctoral Prize Research Fellow*  
Guy Mayraz, *Research Fellow*  
Maria Porter, *Research Fellow*  
Antonio Mele, *Research Fellow*  
Florian Ploeckl, *Research Fellow*  
Seth Lazar, *Research Fellow*  
Lisa Vanhala, *British Academy Postdoctoral Research Fellow*  
Kathryn Nwajiaku-Dahou, *Research Fellow*  
Neli Demireva, *Research Fellow*  
Elias Dinas, *Postdoctoral Prize Research Fellow*  
Sergi Pardos-Prado, *Prize Research Fellow*  
Anja Neundorf, *Prize Research Fellow*  
Itai Arieli, *Research Fellow*  
Manuel Mueller-Frank, *Research Fellow*  
Heike Kluever, *Research Fellow*  
Wojtek Przepiorka, *John Fell Fund Research Fellow in Experimental Social Sciences*

## *Associate Members*

Siem Jan Koopman  
Avner Offer FBA  
Nanny Wermuth  
Nancy Cartwright FBA  
Paul David FBA  
David Vines  
Domenico Lombardi  
Frances Cairncross  
Anand Menon  
Peter Abell  
Michael Biggs  
Jonathan Gershuny  
Andrew Chesher  
Peter Neary  
Federico Varese  
David Rueda  
Ian Goldin  
Amrita Dhillon  
Gábor Tóka  
Sir Roderick Floud  
Robert Taylor  
Tak Wing Chan  
George C. Edwards III  
Knick Harley  
Jane Humphries  
Rick Van der Ploeg  
Sir Lawrence Freedman  
Christopher Wlezien  
Les Green  
Philip Bobbit  
Peter Bearman  
Glender Cooper  
Philip Cowley  
Frances Hagopian  
Michael Herman  
Tarun Ramadorai  
Patricia Rice  
Martin Karlsson  
Godfrey Keller  
John Lloyd  
Robert Mare  
Ariana Need  
Duncan Watts  
Ying Zhou  
Manuel Arellano  
Olympia Bover  
Rui Esteves  
Mark Pickup  
George Georgiadis  
Pauline Rose  
Abigail Barr  
James Tilley  
Malcolm Dean  
Andrew Patton  
Evelyn Goh  
Ruth Ripley  
Ekaterina Hertog  
Clemens Fuest  
Sara Binzer Hobolt  
Bernie Hogan  
Peter Kemp  
Robert Walker  
Tommy E. Murphy  
Pietro Corsi  
Jean-Paul Carvahlo  
Augustus Richard Norton  
Stuart West  
John Quah  
Sujoy Mukerji  
Sue Stokes  
Michael Stanley  
François Collet

Makiko Nishikawa  
Matthew Polisson  
Stijn Ruiter  
Robin Dunbar FRAI, FBA  
Erzsébet Bukodi  
David Levy

Stephen Howe  
Stephen Leibfried  
Michelle Jackson  
Luke Samy

## ***New Elections 2010-2011***

### ***Emeritus Fellowship***

Kenneth Macdonald, Faculty Fellow 1976-2011; Student 1966-1968

### ***Visiting Fellowships***

Janet Beer, *Vice-Chancellor, Oxford Brookes University*

Paul Tucker, *Deputy Governor, Financial Stability, Bank of England*

Lord Wood, *Strategic Adviser, Office of the Leader of the Opposition*

### ***Senior Research Fellowship***

John Muellbauer, *Official Fellow 1980-2011*

Peter Hedström, *Director, The Institute for Futures Studies, Stockholm*

Erik Jones, *Professor of European Studies, Johns Hopkins Bologna Center*

Jay Gershuny, *Professor of Sociology, Oxford*

John Ermisch, *Professor of Economics, Institute for Social and Economic Research, University of Essex*

Philippe Van Parijs, *Professeur ordinaire, Université catholique de Louvain*

## ***Postdoctoral Prize Research Fellowships***

The Postdoctoral Prize Research Fellowships elections attracted a field of 358 candidates. The following were elected to PPRFs:

Faisal Ahmed (How governments can manage economic liberalization to their political advantage) Princeton.

Lucy Barnes (The political economy of taxation) Trinity College Dublin.

Claire El Mouden (Evolutionary explanations for human cooperation, particularly between unrelated individuals) Oxford.

Daniel Stegmueller (How religious context shapes economic attitudes and preferences) Mannheim.

## ***Nuffield John Fell Fund Research Fellow in Experimental Social Sciences***

Wojtek Przepiorka, ETH Zurich

## ***Non-Stipendiary Research Fellowships***

Adam Saunders, Leverhulme Early Career Fellow, Department of Social Policy & Social Work, Oxford.

Facundo Alvarado, James Martin Fellow, Institute for Economic Modelling, Oxford.

Yekaterina Chzhen, Postdoctoral Fellow in Quantitative Methods, ReMiSS.

Karolina Milewicz, Visiting Research Fellow, DPIR.

Vitaliy Oryshchenko, University of Cambridge.

Yuval Heller, Tel Aviv University.

Ines Moreno De Barreda, LSE.

Vanessa Berenguer Rico, Universidad Carlos III de Madrid.

Charles Gottlieb, EUI

Mike Mariathan, EUI

Diaa Noureldin, Oxford

## ***Associate Memberships***

Janine Aron, Research Fellow, Centre for the Study of African Economies, Oxford.

Mikhail Drugov, Assistant Professor of Economics, Universidad Carlos III de Madrid.

Bob Hahn, Tesco Professor of Economics, University of Manchester, and Visiting Research Fellow, Smith School for the Environment, Oxford.

Ruth Keogh, Postdoctoral Research Associate, Department of Public Health & Primary Care, University of Cambridge.

Philipp Kircher, Reader in Economics, LSE.

Johan Koskinen, Lecturer in Social Statistics, University of Manchester.

Christopher Ksoll, Research Officer, Centre for the Study of African Economies, Oxford.

Barry McCormick, Chief Economist and Director of Analysis, Department of Health.

Tamar Yogev, Research Fellow, Centre for Corporate Reputation, Saïd Business School.

Roger Hammersland, Researcher, Statistics Norway and Norges Bank.

Wendy Carlin, Professor of Economics, UCL.

Laura How, Head of Administration and Finance, the Bodleian Libraries.

Wolfgang Lutz, Director, Wittigstein Centre for Demography and Global Human Capital, Vienna.

Nicolas Serrano-Verlarde, Research Fellow, Centre for Business Taxation, Saïd Business School.

Edoardo Gallo, Junior Research Fellow, Christ Church.

Jim Alt, Frank G. Thomson Professor of Government, Harvard University.

Mark Franklin, Stein Rokkan Professor of Comparative Politics, EUI.

Neil Fowler, Freelance media consultant. Guardian Research Fellow 2010-2011.

Marco Archetti, Postdoctoral Researcher, Department of Organismic and Evolutionary Biology, Harvard.

Debopam Bhattacharya, Reader, Department of Economics, and Tutorial Fellow, St Hilda's

Sophocles Mavroeidis, Reader in Economics and Fellow in Macroeconomics, University College.

Tim Schmidt-Eisenlohr, Research Fellow, Centre for Business Taxation, Saïd Business School.

David Sylvan, Professor of Political Science, Graduate Institute of International and Development Studies, Geneva.

Greg Taylor, Research Fellow, Oxford Internet Institute.

## *Appointment of Leaving Fellows*

Chiara Binelli took up a post as Lecturer in Economics at the University of Southampton.

Jennifer Flashman resigned her Postdoctoral Prize Research to take up a postdoctoral position at the Center for Research on Inequalities and the Life Course in the Sociology Department at Yale University.

Peter Hedström resigned his Official Fellowship following his appointment as Director of the Institute for Futures Studies, Stockholm.

Iftekhar Hussain took up a post as Lecturer in the Department of Economics at the University of Sussex.

Johan Koskinen was appointed to a lectureship in the Social Statistics in the Cathie Marsh Centre for Census and Survey Research at the University of Manchester.

Seth Lazar took up a Research Fellowship at the School of Philosophy in the Australian National University.

Luis Miller was appointed Associate Professor in the Department of Economics of the University of the Basque Country, Bilbao, Spain.

David Myatt resigned his Official Fellowship following his appointment as Professor of Economics at London Business School.

Philipp Rehm resigned his Postdoctoral Prize Research Fellowship to take up a Max Weber Fellowship at EUI.

Charles Roddie was appointed as a Lecturer at the University of Cambridge.

Silke Schneider resigned her Postdoctoral Prize Research Fellowship to take up a post as head of the knowledge transfer unit at GESIS Leibniz Institute for the Social Sciences, Mannheim.

Maria Sobolewska took up a postdoctoral position in the Institute for Social Change at the University of Manchester.

Lea Ypi was appointed as a Lecturer in Political Theory in the Department of Government at LSE, and as an Adjunct Associate Professor of Philosophy in the Australian National University.

## *College Officers 2010-2011*

Warden	S. Nickell
Bursar	G. Hughes
Senior Tutor	G. Sasse
Investment Bursars	J. Muellbauer/R. Allen
Chair of Economics Group	D. Myatt
Chair of Politics Group	D. King
Chair of Sociology Group	D. Gambetta
Dean	(Deputy) N.D. de Graaf
Fellow Librarian	R. Fitzpatrick
Information Systems Fellow	D. Gallie
Keeper of the Gardens	K.I. Macdonald
Junior Dean	G. Evans
Adviser to Women Students	S. Schneider
Dean of Degrees	L. Carpenter
Deputy Dean of Degrees	J. Flashman
	A. Ziegfeld
Chair, Senior Common Room	R. Duch
Pastoral Advisor	D. Danchev

## *College Committees 2010-2011*

### *Strategy and Resources Committee*

Warden	Chair
G. Hughes	Bursar
G. Sasse	Senior Tutor
D. Gambetta	Chair, Sociology Group

D. King	Chair, Politics Group
D. Myatt	Chair, Economics Group
J. Muellbauer	Investment Bursar (Equities)
R. Allen	Investment Bursar (Property)
E. Dinas	Postdoctoral Research Fellow
N. Chesterley	Student
<i>In attendance</i>	
J. Crump	Administrative Officer – Minutes

### ***Personnel and Domestic Committee***

Warden	Chair
G. Hughes	Bursar
R. Fitzpatrick	Dean (non-attending member)
R. Duch	Chair of SCR & Chair of Food Committee
G. Evans	Keeper of the College Gardens
G. Sasse	Vice-Chair of Art Committee
L. Stannard	Human Resources Manager
J. Reeve	Co-Chair of Staff Council
L. Carpenter	Chapel Committee Representative
C. Mondon	Sociology Group Rep
K. Roberts	Economics Group Rep
J. Flashman	Postdoctoral Research Fellow
A. Harris	JCR Rep
A. Strachey	JCR Environment Rep
<i>In attendance</i>	
J. Crump	Administrative Officer – Minutes

### ***Staff Council***

J. Reeve	Joint Chair
G. Hughes	Joint Chair
Warden	
R. Duch	Fellow

C. Green  
S. Iley  
E. Herman  
M. Mumford  
K. Richardson  
M. Belot  
*In attendance*  
L. Stannard  
A. Colgan

Research Fellow

Human Resources Manager  
HR Assistant – Minutes

### ***Welfare Committee***

Warden  
L. Carpenter  
G. Sasse  
S. Cimpoca  
S. Schneider  
G. Hughes  
D. Danchev  
A. Baker  
M. Raine  
S. Wright

Chair  
Adviser to Women Students  
Senior Tutor  
Student  
Junior Dean  
Bursar  
Pastoral Advisor  
University Link Counsellor  
College Doctor  
Academic Administrator – Minutes

### ***Investment Committee***

Warden  
J. Muellbauer  
R. Allen  
G. Hughes  
C. Bliss  
S. Bond  
R. Duch  
D. Hendry  
I. Jewitt

Chair  
Investment Bursar (Equities)  
Investment Bursar (Property)  
Bursar

K. Roberts  
L. Whitehead  
P. Young  
J. Hodson  
N. Record  
*In attendance*  
C. Leach

Taube Hodson Stonex Partners Limited  
Record Currency Management

Investment Assistant – Minutes

### ***Audit Committee***

M. Lamaison  
B. Nielsen  
N.D. de Graaf  
J. Darwin  
Neil Fowler  
Anthony Lawton  
*In attendance*  
G. Hughes  
Y. Moyses

Chair (Chair of Argento Diagnostics)

External member (Guardian Fellow)  
External member

Bursar  
Deputy Finance Officer

### ***Library Committee***

D. Gallie  
E. Martin  
Warden  
D. Miller  
K. Macdonald  
N. Shephard  
S. Woodroff  
A. Ziegfeld  
E. Schneider  
*In attendance*  
T. Richards

Fellow Librarian, Chair  
Librarian

Postdoctoral Research Fellow  
Student

Deputy Librarian – Minutes

## ***Information Systems Committee***

K.I. Macdonald	Information Systems Fellow, Chair
S. Woodroff	ICT Manager
Warden	
G. Hughes	Bursar
E. Martin	Librarian
N. Bermeo (MT10)	
G. Evans (HT + TT11)	
P. Klemperer	
T. Snijders (from HT11)	
S. Wright	
S. Coppin	
C. Roddie	Postdoctoral Research Fellow
M. Taylor	Student
<i>In attendance</i>	
J. Crump	Administrative Officer – Minutes

## ***Equality Committee***

L. Stannard	Human Resources Manager, Chair
L. Carpenter	Fellow
D. Danchev	Pastoral Advisor
G. Hughes	Bursar
S. Pardos	Postdoctoral Research Fellow
D. Lawson	Staff Representative
S. Nickell	Warden
S. Wright	Academic Administrator
C. Gonzalez Sancho	Student Representative
<i>In attendance</i>	
A. Colgan	HR Assistant – Minutes

## *Students*

At the start of the academic year 2010-2011, there were 63 students in College. There were 34 men and 29 women. 14 were from the UK, 24 from other EU countries, and 25 from elsewhere. Their distribution by group and status was as shown below:

<b>Status</b>	<b>Groups</b>			
	<b>Economics</b>	<b>Politics</b>	<b>Sociology</b>	<b>Interdisciplinary</b>
M Litt/Prob Res/D Phil	8	14	17	4
M Phil	13	4	1	-
M Sc	-	-	2	-
<hr/>				
Total	21	18	20	4
Visitors	1	4	2	-

For the academic year 2011-12, 39 student places were offered. In the event, 24 student places were taken up, 18 by men and 6 by women. 9 of the new students are from the UK, 12 from other EU countries, and 3 from elsewhere. The distribution by Group is Economics 7, Politics 10, Sociology 7 and Interdisciplinary 2. 12 current students completed either an MSc or M Phil and 7 will stay on to pursue a D Phil.

## *New Students 2010/2011*

Laura Blattner	MPhil	Economics
Per Block	DPhil	Sociology
Gwendolin Blossfeld	DPhil	Sociology
Roxanne Bras	MPhil	IR
Nicholas Chesterley	MPhil	Economics
Silvana Cimpoa	DPhil	Politics
Florian Foos	MSc	Sociology
Christiana Kartsonaki	DPhil	Statistics
Yanjun Lin	MPhil	Economics
Jonathan Mellon	DPhil	Economics
Irene Menendez Gonzalez	DPhil	Politics
David Millican	MPhil	Economics
Nehal Pancharia	MPhil	IR
Nitzan Peri	DPhil	Sociology
Felix Pretis	MPhil	Economics
Laure Rienecker	DPhil	Sociology
Vinicius Rodrigues Vieira	DPhil	Politics
Eric Schneider	DPhil	History
Abhijeet Singh	DPhil	Economics
Patrycja Stys	DPhil	Politics
Dingeman Wiertz	MSc	Sociology
Sarah Wilkins-Laflamme	DPhil	Sociology

## *Visiting Students*

Florian Artinger	DPhil	Politics
Giulia Bistagnino	DPhil	Politics
Nemanja Dzuverovic	DPhil	Politics
Mathieu Ichou	DPhil	Sociology
Francois Koulischer	DPhil	Economics
Steve Manion	DPhil	Maths
Toni Rodon	DPhil	Politics

## *Graduating Students*

During the course of the year, the following students or former students were given leave to supplicate.

(E = Economics; P = Politics; S = Sociology; ID = Interdisciplinary)

### *D.Phil.*

### *Thesis Title:*

Klaus Broesamle (P)	Careering Bureaucrats and Bureaucrat's Careers
Christine Cheng (ID/P)	Extralegal Groups, Natural Resources, and Statebuilding in Post-Conflict Liberia
Edoardo Gallo (E)	Essays in the Economics of Networks

Maria Grasso (S)	Political Participation in Western Europe
Thomas Grund (S)	Antecedents and Consequences of Social Networks: Macro Implications of Micro Dynamics
Silvano Guzzo (S)	Downward Mobility and Unequal Returns to Education in Britain
Elizabeth Hunt(E)	Political Economy of Local and Participatory Governance
Filip Lachowski (E)	Essays in Industrial Organization
Kwan Leung Li (ID/E)	Wall Street: Revisiting its Path to Dominance before the Civil War
Daniel Marszalec (E)	Essays on Auctions
Olga Onuch (P)	Revolutionary Moments and Movements: Comparing The Processes of Mass-Mobilisation in Argentina (2001-2002) and Ukraine (2004)
Emre Ozcan (P)	What Constitutes a Democratic People?
Thomas Pegram (P)	The Global Diffusion of National Human Rights Institutions and their Political Impact in Latin America

Yael Peled (P)	Linguistic Justice and Philosophical Empowerment: Two Justifications for a Plurilingual Theory of Democracy
Luke Samy (ID/S)	The Building Society Promise: the Accessibility, Risk and Efficiency of Building Societies in England, c. 1880-1939
Ronen Shnayderman (P)	Freedom, Persons and External Resources
Alex Sutherland (S)	Adolescent Substance Use
Tamar Yogev (S)	Drawing a Fair Picture: A Study of the Contemporary Visual Art Market
Min Zou (S)	Work Orientations and Individual Labour Market Participation: 1991 - 2005

In the University examinations the following were successful:

***M.Phil. Economics***

David Elliot	Using Micro Moments to Improve Elasticity Estimation: Assessing the Impact of Vehicle Excise Duty
Maciej Godek	Market Structure and Acquisitions as Determinants of R&D and

	Innovation in Information and Communication Technology Sector
Nupur Gupta	Effect of Capital Reforms on Monetary Policy Autonomy in India
Gabriel Kreindler	Networks and Information in Labour Markets
Lena Lepuschuetz	Provider Incentives in Health Care: Evidence from a Field Experiment in Rural China
Matthew Moore	Progress Prizes in Inducement Prize Contests
Marloes Nicholls	Information, Games and Conflict

***M.Phil. Politics/IR***

Laura Levick	Voting for Change: A Comparative Analysis of Electoral Reform Efforts in Canada and New Zealand
Carsten-Andreas Schulz	On the Standing of States: Portuguese America and the 'Expansion' of International Society in the Early Nineteenth Century

*M.Sc. Sociology*

Florian Foos

Vote and Abstention in the 'Two Americas': How Perceptions of Growing Income Inequality Affected Voting Behaviour in the 2004 Presidential Elections

Dingeman Wiertz

Attitudes to Public Pension provision in Britain: An Intensifying Intergenerational Conflict?

## *Appointment of Leaving/Graduating Students*

Klaus Broesamle is a Postdoctoral Researcher at the Hertie School of Governance in Berlin.

David Elliot is taking up a position of analyst at the Bank of England.

Carlos Gonzalez-Sancho has accepted a post as associate at LEK Consulting.

Kiril Kossev plans to take up a post as associate with LEK Consulting in early 2012.

Gabriel Kreindler has accepted a post as project associate at Innovation for Poverty Action (IPA) in Rabat, Morocco.

Filip Lachowski has started a position as consultant at Oliver Wyman, management consultancy.

Daniel Marszalec has been offered a BA Postdoctoral Fellowship at the Department of Economics, Oxford.

Diaa Noureldin has been offered a Postdoctoral Research Fellowship at the Department of Economics, Oxford.

## *Visitors*

Andrew Abbott, University of Chicago. Sponsor: Peter Hedström.  
Joel D. Aberback, University of California, Los Angeles. Sponsor:  
Des King.

Delia Baldassarri, Princeton University. Sponsor: Diego Gambetta.  
Nathalie Berny, Sciences-Po, Bordeaux. Sponsor: Des King .  
(Nuffield/Sciences-Po Fellow).

Roel Bosker, University of Groningen, The Netherlands. Sponsor:  
Tom Snijders.

Michel Cahen, Centre D'Étude D'Afrique Noire, Bordeaux.  
Sponsor: Nancy Bermeo. (Nuffield/Sciences-Po Fellow).

Efrem Castelnuovo, University of Padua, Italy. Sponsor: David  
Myatt.

Maurizio Catino, University of Milan-Bicocca. Sponsor: Diego  
Gambetta. (Jemolo Fellow).

A. V. Chari, Cornell University. Sponsor: David Myatt.

Giovanni Cornia, University of Florence. Sponsor: Gwen Sasse.  
(Jemolo Fellow).

Jeremy Crump, National Policing Improvement Agency. Sponsor:  
Steve Nickell.

Eric Dickson, New York University. Sponsor: Ray Duch. (CESS  
Visitor).

John V. Duca, Federal Reserve Bank of Dallas, Texas. Sponsor:  
John Muellbauer.

Laurent Fourchard, CEAN, Sciences-Po, Bordeaux. Sponsor: John  
Darwin. (Nuffield/Sciences-Po Fellow).

Edward L. Gibson, Northwestern University. Sponsor: Laurence  
Whitehead.

Bernt Hagtvet, University of Oslo. Sponsor: Laurence Whitehead.

Dirk Helbing, ETH Zürich, Switzerland. Sponsor: Peter Hedström.

Erik Jones, Johns Hopkins Bologna Centre, Italy. Sponsors: Des  
King/Laurence Whitehead.

Hans Christian Kongsted, University of Copenhagen. Sponsor:  
Bent Nielsen.

Rolf Larsson, Uppsala University, Sweden. Sponsor: Bent Nielsen.

Andrea Mario Lavezzi, University of Palermo, Italy. Sponsor:  
Diego Gambetta.(Jemolo Fellow).

Miranda J. Lubbers, Utonomous University of Barcelona. Sponsor:  
Tom Snijders.

Annemie Maertens, University of Pittsburgh. Sponsor: David  
Myatt.

Giovanni Mastrobuoni. Collegio Carlo Alberto, Italy. Sponsor:  
Diego Gambetta. (Jemolo Fellow).

Petr Matous, University of Tokyo. Sponsor: Tom Snijders.

Georg K. Menz, Goldsmiths College, University of London.  
Sponsor: Gwen Sasse.

Rebecca B. Morton, New York University. Sponsor: Ray Duch.  
(CESS Visitor).

Marco Pagnozzi, Università di Napoli Federico II. Sponsor: Paul  
Klemperer. (Jemolo Fellow).

Kaat Smets, University of Siena, Italy. Sponsor: Geoff Evans.

Anna Stilz, Princeton University. Sponsor: David Miller.

Susan C. Stokes, Yale University. Sponsor: Nancy Bermeo.

Peter Temin, Massachusetts Institute of Technology. Sponsor: Bob  
Allen.

Darrell Turkington, The University of Western Australia. Sponsor:  
David Hendry.

Jörgen W. Weibull, Stockholm School of Economics, Sweden.  
Sponsor: Peyton Young.

# *Conferences in College*

## *Michaelmas Term*

Kant and Colonialism: Historical and Critical Perspectives  
(Lea Ypi)

Why We Fight: The Purposes of Military Force in the 21st Century  
Workshop on the Ethics of War (Second Annual Meeting of the ELAC  
Oxford War Group)  
(Seth Lazar)

RSiena Programming Workshop  
(Tom Snijders)

Energy Reform in Mexico  
(Laurence Whitehead)

European Science Foundation Workshop: “Information and Behavior  
in Networks”  
(Edoardo Gallo, Margaret Meyer, Marcel Fafchamps, and Sanjeev  
Goyal)

## *Hilary Term*

Sub-national Authoritarianism Workshop  
(Laurence Whitehead)

Have Social Class Differences in Educational Attainment  
Diminished? If So, Why? If Not, Why Not?  
(Sociology Alumni Seminar)

International Conference on the Ethics of National Security Intelligence  
(Gwilym Hughes and Michael Herman)

Conference to mark the Centenary of International Women's Day  
Beyond the First 100 Years: The Past and Future of Women in  
Society

(Lucy Carpenter, Silke Schneider, Maria Porter, Neli Demireva,  
Margaret Meyer, Karen Dunnell, Gwendolyn Sasse, Maya Tudor,  
Johanna Boersch-Supan, Nitzan Peri, Nupur Gupta)

### *Trinity Term*

Current Issues in Apportionment and Redistricting: International  
Perspectives

(Iain McLean and David Goldey)

Annual Economic History Conference

(Bob Allen)

Symposium on Governance and Government in South Sudan

(John Darwin and Moritz Mihatsch)

Humanitarian Intervention in Africa: History, Theory, Policy and Practice

(Bronwen Everill)

Workshop on Greek Politics

(Elias Dinas)

Oxford Centre for the Study of Inequality and Democracy Conference

(Nancy Bermeo)

Guardian News and Media Management Conference

(Alan Rusbridger)

Centre for Experimental Social Sciences Summer School

## ***Seminars in College***

### ***Stated Meeting Seminars***

November: *Leading Policing in the 21st Century*  
Peter Neyroud CBE QPM, Former Chief Executive, National Policing Improvement Agency, and Visiting Fellow.

March: *History Speaking?*  
John Darwin, Beit Lecturer in the History of the British Commonwealth and Faculty Fellow.

June: *The Treasury and the Transition*  
Sir Nicholas Macpherson, KCB, Permanent Secretary to H.M. Treasury, and Visiting Fellow.

### ***Seminars in College***

Econometrics Seminar *Michaelmas, Hilary and Trinity Terms*  
(Bent Nielsen and Debopam Bhattacharya)

Nuffield Political Science Seminars *Michaelmas, Hilary and Trinity Terms*  
(Geoffrey Evans, Pablo Beramendi, Ray Duch, Steve Fisher, Sara Hobolt and James Tilley).

Nuffield Seminars in Social Networks *Michaelmas, Hilary and Trinity Terms*  
(Tom Snijders, Sandra Gonzalez Bailon, Bernie Hogan and Jennifer Flashman)

Sociology Seminar *Michaelmas, Hilary and Trinity Terms*  
(Thomas Grund and Jon Fahlander)

Experimental Social Science *Michaelmas, Hilary and Trinity Terms*  
(Ray Duch, Diego Gambetta, Peyton Young, Michele Belot, and  
Luis Miller)

Oxford Intelligence Programme *Michaelmas, Hilary and Trinity Terms*  
(Gwilym Hughes and Michael Herman)

Media Seminar Series *Michaelmas, Hilary and Trinity Terms*  
(David Levy, John Lloyd, and Neil Fowler)

## *Bursar's Report*

The summary balance sheet as at 31 July 2010 shows that the endowment began to reverse the decline of the previous two years by increasing from £126.6 to £138 million.

Income of £6.6 million was drawn down from the endowment in accordance with the Endowment Expenditure Rule. Total income increased to £7.7 million and expenditure fell to £6.8 million, resulting in an increased surplus for the year. The reduction in expenditure was mainly due to deferred operating expenses.

This is the last year of reporting financial statements in the Higher Education format, as the college will start using the Charities forms from 31 July 2011.

**NUFFIELD COLLEGE  
BALANCE SHEETS AT 31 JULY 2010**

**(2009)**

	£000	
<b>Fixed assets</b>		
Tangible assets	7,923	7,420
Investments	0	0
	<hr/> 7,923	<hr/> 7,420
<b>Endowment asset investments</b>		
Securities and Cash Deposits	83,917	74,416
Land and property	61,099	59,171
Financed by loans		
	<hr/> 145,016	<hr/> 133,587
<b>Current assets:</b>		
Stocks	87	73
Debtors	1,094	551
Short term investments	14	12
Cash at bank and in hand	2,005	2,276
	<hr/> 3,200	<hr/> 2,912
<b>Creditors:</b>		
Amounts falling due within one year	-1,124	-1,214
<b>Net current assets</b>	<hr/> 2,076	<hr/> 1,698
<b>TOTAL ASSETS LESS CURRENT LIABILITIES</b>	<b>148,015</b>	<b>135,705</b>
<b>Creditors:</b>		
Amounts falling due after more than one year	0	0
<b>TOTAL NET ASSETS</b>	<b>148,015</b>	<b>135,705</b>
<b>Endowments</b>		
Specific	18,890	15,991
General	119,126	110,596
	<hr/> 138,016	<hr/> 126,587
<b>Reserves</b>		
Designated reserves	218	245
General reserves	9,781	8,873
	<hr/> 9,999	<hr/> 9,118
<b>TOTAL FUNDS</b>	<b><u>148,015</u></b>	<b><u>135,705</u></b>

## *Staff*

The following members of staff left the College during the year:

Elliot Cole, Apprentice Chef

Paul Taylor, Assistant Site Manager

Joanna Gamester, Nuffield Heritage Project

The following members of staff joined the College during the year:

Arlindo Azevedo, Kitchen Porter

Anthony Harling, Lodge Porter

## *Library*

The most challenging part of the Library's year has been the introduction of a new Oxford-wide library management system (Aleph) in July, to replace the system we have been using for the past fifteen years, GEAC Advance. For users, it meant the disappearance of the familiar OLIS catalogues (telnet and webOPAC) and having to use instead the front-end portal SOLO (Search Oxford Libraries Online) which has been available alongside OLIS for the past three years. For staff, though, the change has had a much wider impact on many of our working procedures: all of the functions associated with lending and borrowing, including reminders, reservations and recalls; recording and claiming journal issues and subscription management; ordering, paying for and cataloguing books; running reports and providing statistics. Consequently, we waited anxiously as all our library management data was migrated to the new system. This was exacerbated by the old system falling over irrevocably and dying a day and a half before it was due to be pensioned-off, with knock-on effects for the scheduled down period and data transfer, as the old system had to be revived sufficiently to be able to migrate the data. We were then without any kind of a system for a week and a half before the new system went live, which meant that we had to ask readers to use the library as little as possible, while we kept manual records of loans but otherwise were unable to do many of the things we all take for granted. Fortunately, ejournals and OxLIP+ databases were available throughout, without interruption. For such a huge implementation, it has gone quite smoothly. We have had a very steep learning curve with the new system and have had to re-write all our workflow procedures, as well as having to cope with the inevitable problems and system bugs. We would still sometimes like to press the hidden button on our computer that restores the old system, but I'm sure by next year's report we will have grown to love it.

Physical improvements to the library this year include: improvements to signage around the library to make finding your way easier, new movement-sensor lights on the Tower staircase to save on electricity, some adjustable office chairs in the first floor Reading Rooms to make studying for long periods more comfortable, and a water dispenser opposite the Circulation Desk on the ground floor. We also set up a new display board, and had features on political biographies, and the war poetry of Margaret Cole, wife of GDH Cole.

By contrast, we had two disasters, fortunately comparatively minor. Sewage leaking into the Extension basement in October from the floor above damaged about 300 items from the government publications collection. They were collected the same day, by Harwell Document Restoration Services, with whom we have an emergency contract, then dried, stabilised and cleaned over the next few weeks; all remain in a usable condition. Then, at the end of the Christmas closed period, there was a leak on the 8<sup>th</sup> floor in the underfloor heating pipes. Fortunately, most of the water seeped down the vertical pipe channels between the floors and away from the bookstock, though a few items were damaged and the plaster down the Tower staircase was affected. When the College was built in the 1950s, underfloor heating comprising copper water pipes embedded in the concrete floor were state-of-the-art, but they are now obviously reaching the end of their life and we will have to drain down the system and find a safe and cost-efficient alternative.

We registered 433 new readers during the year, and an additional 160 visitors were admitted to consult items held only at Nuffield, including 27 archives readers (though many further archive enquiries are dealt with by email) plus 27 undergraduates and 46 Bodleian readers. While the Bodleian has been decanting the contents of the New Bodleian Library to the new Swindon Storage Facility for most of this year in preparation for its complete refurbishment and re-emergence in 2014 as The Weston Library, it has relied on back-up libraries to provide access to its readers for items that were in transit

or otherwise inaccessible. Nuffield has played an important part in this.

We also undertook an inventory control in the Christmas and Easter vacations, three years after the last one. This allowed many stock-related problems and anomalies to be resolved, and as a result, the missing books figure for the year fell to an astonishingly low 4.

At the end of the year, we had 721 current readers, who had borrowed or renewed a total of 7,628 items during the course of the year. Borrowing by external readers continues to be very popular, though it does undeniably cause extra administrative work due to overdue returns, many of which get as far as replacement invoicing (ie very, *very* late returns by people who persistently ignore all emails and reminders.) We bought 859 new monographs for the main collections, a further 14 for maintaining the Taught-Course reading list reference collection, and acquired 184 new monographs for the Government Publications section, of which 92 were purchased and 92 either free or self-print items. We continue to buy widely and comprehensively in response to direct Fellow and student book requests, with a fast turnaround time for urgent items. We borrowed 26 items on inter-library loan, and loaned 22 items from our stock to other libraries; the lending helps to subsidise the borrowing.

We are, as ever, grateful for the numerous donations received in the Library from College members past and present, and from external sources. This year we received a substantial number of books from David Butler. Last summer we were so overwhelmed by boxes of donations dating back several years, mainly of old economics books cleared out of the 10<sup>th</sup> floor when it was refurbished, that we had a Great Library Giveaway, where we spread out the books in the Library Corridor and offered them directly to our students (and Fellows) in exchange for a donation to Oxfam. This proved very popular (and profitable for Oxfam) and was a lot less work for us than having to list and circulate every item; we then sold the unloved remainder to an internet bookseller.

After a long period of staff stability, several staff changes are taking place this summer. Gill Skidmore retires at the end of August after sixteen years in the Library. Capable and unflappable, and with an encyclopaedic knowledge of the complexities of Nuffield's library policies, Gill has been in charge of all aspects of lending and borrowing, and has been the face of the Library to many generations of students. In addition, she has played a large part in setting up the Chapel Committee and organising the much-loved Christmas carol service, as well as other Chapel events, for the past few years. We will miss her enormously and wish her a long, busy and happy retirement. Ed Smithson, who currently looks after Periodicals, will be stepping into her shoes to look after Circulation. Lucy Forster, who was our trainee for 2009-10 and who stayed on in a quasi-trainee role last year while she studied for a MSc at UWE (Bristol) where she did particularly well, is going to take over Ed's job in charge of Periodicals. We also say goodbye this summer to Michelle Mumford, who is returning to Canada with her husband. She, too, will be sorely missed. Always cheerful, and with a great 'can-do' attitude, Michelle has looked after the Library's Government Publications section exceptionally capably, as well as spearheading the library's move into Web 2.0 and social networking and improving our public face. She has also designed websites for the Nuffield College Art Committee and Chapel Committee and was a founder member of GAIN (Green Action in Nuffield.) We wish her well for her 'homecoming.'

Library staff members continued to further their professional development during the year with a variety of committee memberships plus attendance at external meetings and training events.

## *JCR Report*

The JCR has continued to thrive – politically, socially, and communally. After years of stalemate, the College agreed to a wave of changes for its students. The social scene bustled as never before, and lived up to the nation’s celebration. To say that this academic year has been momentous would be an understatement.

This year has been instrumental for JCR politics. Under the leadership of JCR President, Sundas Ali, we witnessed a change in College’s policy on student provisions, particularly in terms of funding. It all began when a survey was carried out in College by Robin Markwica in Michaelmas Term. The survey report revealed three main concerns: financial hardship, communal and practical measures, and welfare matters. The comprehensive nature of the report led to the formation of a committee called the ‘Working Party on Continuing Students’ in Hilary term. With a quick turnaround, the proposed recommendations of the WPCS were approved by the Governing Body in Trinity Term, resulting in substantial policy changes, financial and communal, in College. The most important change has been the introduction of a ‘Completion Grant’ for continuing students, as well as an injection of extra funding for students on a group-level. Other changes include having access to a travel grant, an office-sharing scheme, improved access to library books, lunch rights, a pigeon hole, and key! We also welcomed the change in College terminology which will lessen the confusion; there no longer being an ‘Enhanced Continuing Students’ category, but all merged into one: ‘Continuing Students’. The political activity among us didn’t contain itself just in Nuffield, but also ventured out into Westminster. Organized by Anja Neundorf, a group of us took part in the ‘Nuffield Westminster Trip’, taking a tour and tutorial of the workings of government and its cross-over with research, by the courtesy and kindness of the College’s visiting fellow and Minister of State, Greg Clark. What a star!

Socials at Nuffield continued to be a central aspect of student life in college this past year. With Maciej Godek as the social secretary (and with his many helpers), Michaelmas Term was a jam-packed term of fun and frivolity. Highlights included a fabulous whisky tasting, organized as always (though not forever) by stalwarts, Daniel Marszalec and Thees Spreckelsen, the ever-popular art auction and of course the pantomime. This year's pantomime, entitled "My Fair Fellow" was a smashing success and was, in my memory, the first Panto-musical hybrid to have a live band (Dave) and musical numbers lip-synced by the actors. Inspired by Maciej's success, Sarah Wilkins-Laflamme took on the role of social secretary and head brunch provider following the end of Michaelmas. Not to be outdone, Sarah and her team organized a number of great parties and, as a grand finale, a "Royal Wedding" themed summer ball.

This year also saw the JCR expand their charitable endeavours. Half of the 1000 pounds raised from the Art Auction were donated to the DEC, Pakistan Floods appeal. It has yet to be established whether pro-social preferences led to more aggressive bidding, but Jeff the auctioneer managed to convince an unnamed post-doc to part with 28 pounds for "A Picture of a Pink Beetle in Front of Big Ben." In Hilary term the JCR, for the first time ever, created a Charity Rep position designed to coordinate and oversee the JCR's charitable activities. Our first charity reps, Laura and Gwen, organized an unforgettable Charity Auction (this college loves auctions) in which members of college bid for prizes that had been donated by college fellows, students, and members of staff, as well as local shops and restaurants. The highlight was surely an after-hours tour of the Tate Modern given by the Senior Tutor. The auction raised more than 1,300 pounds for the Anthony Nolan Trust and will surely be back again next year.

As ever, Nuffield fielded sports teams that punch well above their weight. The Men's football team had a relatively disappointing year results-wise; a few unlucky losses in the last minute of play came back to haunt the Lions as they found themselves demoted from the

premier league to the second division. The Nuffield/St. Antony's women's football team dazzled this year, with a near perfect season. Surely this was a direct result of changing their names from the "Chihuahahs" to the "Foxes". The 2010-2011 rowing season has been a successful and fun year for Linacre / Nuffield Boat Club. Nuffield was unusually well-represented in the club - with President Denis Tatarkov, Men's Captain Kiril Kossev, Captain of Coxes Hande Inanc, all involved in running the club, and about half of the men's rowers and a strong contingent of the girls, also from Nuffield. This year the men's 2<sup>nd</sup> VIII got blades in Torpids, the men's 1<sup>st</sup> VIII bumped (a bit to hard) in Torpids and the women's 1<sup>st</sup> VIII got spoons.

## *Nuffield Women's Group*

2011 marked the centenary of International Women's Day, which Nuffield celebrated with a special day conference. Speakers from academia, government and civil society kicked off a series of interesting discussions on women's role in economics, politics and criminal justice today. Especially inspiring was the final session, in which prominent female figures, such as Prof Janet Beer (VC, Oxford Brookes) and Dame Lesley Strathie (Chief Executive, HMRC) shared more personal insights into their careers and lives. The conference dinner gave female members of the college the opportunity to mix and mingle with all guests and reflect on the day's issues in more depth.

The organisation of the conference itself was a fruitful and pleasant collaboration between female students, post-docs and fellows of the college strengthening friendships.

## *Individual Reports*

### *The Warden*

**Steve Nickell** I have continued research in the fields of housing and migration along the lines reported in previous years. I gave public lectures at Policy Network and LSE on immigration and housing, at UBS on UK economic performance and I gave the Oxford University Norway Lecture in Oslo on OECD labour markets. I finished my stint on the Board of the UK Statistics Authority and joined the three-person UK Budget Responsibility Committee which runs the UK Office of Budget Responsibility. I continued as chair of the Advisory Committee on Civil Costs (MoJ) and as a member of the Consumer Prices Advisory Committee (ONS). Within the University I chair the Socially Responsible Investment Review Committee, the Ashmolean Visitors Financial Control Committee and the Joint Research Allocation Advisory Board and I am a member of the Planning and Resource Allocation Committee and the University Finance Committee.

### *Publications*

(with R Layard), *Combatting Unemployment*, Oxford University Press, 2011.

(with J. Saleheen) 'Immigration in the UK' in P. Gregg and J. Wadsworth (eds.), *The Labour Market in Winter*, Oxford University Press, 2011.

**Robert C. Allen** (Professorial Fellow) My book *Global Economic History: A Very Short Introduction* was published this year. The book tackles the question; why are some countries rich and others poor? Geography plays an important role in explaining why Africa is the poorest continent, and why North America is richer than South America. I take a less enthusiastic view of institutions and culture, which are often referred to as fundamentals. Instead, I argue that the bias of technological change, globalization, and economic policy were the essential factors in explaining divergent development. Most technology has been invented in rich countries to meet their needs. As wages have risen, this has led to ever higher capital-labour ratios and ever bigger plant sizes with the result that new technology is less and less cost effective in poor countries. In the nineteenth century, the new technology increased Britain's comparative advantage in manufacturing and led to the de-industrialization of Asia, which had previously been the world's manufacturing centre and where the new industrial technology was initially not cost-effective. Europe and the USA were also threatened by Britain's competitiveness but responded with a four part development model based on the creation of large national markets, external tariffs to protect their infant industries, banks to stabilise the currency and finance investment, and universal education to prepare the population for industrial employment. This strategy worked in western Europe and North America but was less successful when it was tried (often half-heartedly) in countries like Mexico and Russia. In the last fifty years, the large countries that have grown rapidly have done so through Big Push industrialization based on some form of planning or investment coordination.

New research has focussed on expanding my research program in global wage and price history to include the Americas and Africa. I have also been doing research with both macro and micro data on the global history of capital intensity and productivity. Two working papers describe preliminary results: "Technology and the Great

Divergence,” Oxford University, Department of Economics, Working Paper 548, and “The Colonial Origins of Divergence in the Americas: A Labour Market Approach,” (with Tommy Murphy and Eric Schneider), Oxford University, Department of Economics, Working Paper 559.

I served as Nuffield College’s Investment Bursar (Property). I gave the keynote address ‘why are some countries rich and others poor?’ to the European Historical Economics Society conference in Dublin. I was elected President of the Economic History Association and will be the first president whose university post is not in the USA.

### *Publications*

*Global Economic History: A Very Short Introduction*, Oxford, Oxford University Press, 2011.

“The British Industrial Revolution in Global Perspective,” (Keynes Lecture in Economics), *Proceedings of the British Academy*, 2010, Vol. 167, 199-224.

(with Jean-Pascal Bassino, Debin Ma, Christine Moll-Murata, and Jan Luiten van Zanden), “Wages, Prices, and Living Standards in China, 1739-1925: in comparison with Europe, Japan, and India”, *Economic History Review*, 2011, Vol. 64, 8-38.

“Why the Industrial Revolution was British: Commerce, Induced Innovation, and the Scientific Revolution,” *Economic History Review*, 2011, Vol. 64, 357-84.

(with Jacob Weisdorf), “Was there an ‘Industrious Revolution’ before the Industrial Revolution? An Empirical Exercise for England, c. 1300-1830”, *Economic History Review*, 2011, Vol. 64, 715-29.

“The Spinning Jenny: A Fresh Look,” *Journal of Economic History*, 2011, Vol. 71, 461-464.

**Facundo Alvaredo** (Research Fellow) I greatly appreciate having been elected to a research fellowship in the College in association with my joining the Institute for New Economic Thinking at the Oxford Martin School (formerly EMoD-Institute for Economic Modelling), Department of Economics, in January 2011.

Sir Tony Atkinson, Salvatore Morelli and I obtained one of the INET Inaugural Grants for the project “The Long Run History of Economic Inequality: Income, Wealth and Financial Crises.” One of the goals of the project involves the provision of data on the evolution over time of the distributions of income, earnings and wealth. In this respect, together with Thomas Piketty from the Paris School of Economics, Emmanuel Saez from UC-Berkeley and Tony Atkinson, I have developed the website *The World Top Incomes Database*, free and publicly accessible (in its initial stage), at <http://g-mond.parisschoolofeconomics.eu/topincomes/>. The site was launched at an event in Paris in January 2011, which was very well-attended, and received press coverage. The website was initially based on the data for 22 countries covering more than 100 years collected in the project coordinated by T. Atkinson and T. Piketty. We are actively working on over 40 additional countries (as an example, see F. Alvaredo and A. B. Atkinson, “Colonial Rule, Apartheid and Natural Resources: Top Incomes in South Africa 1903-2007,” CEPR DP 8155), and the existent series are constantly being up-dated.

Together with A. B. Atkinson, I organised a work-in-progress workshop, jointly with the Institute of New Economic Thinking at the Oxford Martin School. The aim is to bring together a number of (mostly young – doctoral students and post-docs) researchers in the field of inequality. We plan to resume in September 2011.

Finally, I have continued my work on taxation and inequality in the former French colonies (jointly with T. Piketty and D. Cogneau) and on inheritance and wealth (in Belgium, the United States).

*Publication:*

A Note on the Relationship between Top Income Shares and the Gini Coefficient; *Economics Letters*, 110(3): 274-277, 2011.

**Sir Tony Atkinson** (Honorary Fellow) As last year, I spent the Fall semester visiting the Department of Economics at Harvard, where I taught a course jointly with Amartya Sen on “social choice and welfare economics”. I have a part-time post as a Centennial Professor at the London School of Economics, where I have been teaching global public finance. I have continued to serve as one of the seven members of the European Statistics Governance Advisory Board, which oversees the professional standards of the European Statistical System. As any reader of the *Financial Times* will know, this has been an interesting year for macro-economic statistics.

My research this year has centred on the project on the evolution over time of the distributions of income, earnings and wealth that I have started, together with Facundo Alvaredo and Salvatore Morelli, as part of the new programme in the Institute for Economic Thinking at the Oxford Martin School. The first concrete output is the “World Top Incomes Database” website, constructed by Facundo with colleagues at the Paris School of Economics. The website, which covers more than 20 countries, has attracted a lot of attention. My research together with Salvatore has focused on the two-way relation between inequality and economic crises. There are widespread concerns about the impact of the recent crisis on the most vulnerable. It has also been argued that rising inequality was, in the United States, one cause of the financial crisis. We have been seeking to clarify the underlying hypotheses, to examine empirically the pattern of change in inequality before and after economic crises,

and to consider the possible economic mechanisms. The third major part of the work is concerned with wealth and its transmission. The research of Thomas Piketty has highlighted the return of inheritance as an important factor in France. We have been investigating the evidence available for other countries, making use of the same sources (estate and gift tax data).

### *Publications*

(ed. with E Marlier) *Income and Living Conditions in Europe*. Luxembourg: Eurostat, Publishing Office of the European Union, 2010.

“Progressive social justice and responding to the crisis”, *Journal of Poverty and Social Justice*, 18(3), 221-228, 2010.

(with T Piketty and E Saez) “Top incomes in the long run of history”, *Journal of Economic Literature*, 49(1), 3-71, 2011.

“The case for universal child benefit”, in A Walker, A Sinfield and C Walker (eds.), *Fighting poverty, inequality and injustice*. Bristol: The Policy Press, 2011.

(with S Voitchovsky) “The distribution of top earnings in the U.K. since the Second World War”, *Economica*, 78, 440-459, 2011.

“General equilibrium theory and public finance”, in P Bridel (ed.), *General equilibrium analysis: A century after Walras*. Abingdon: Routledge, 2011.

“The restoration of welfare economics”, *American Economic Review, Papers and Proceedings*, 101, 157-161, 2011.

**Michèle Belot** (Research Fellow) – Over the last year, I have continued developing the research activities at the Centre for Experimental Social Sciences, with many workshops and seminars organised throughout the year. The experimental community is now well established and will continue growing next year. In terms of my personal achievements, I have been working on four main projects. One involves a large-scale field experiment in primary schools in England aimed at studying whether incentives can effectively improve children's dietary habits. The study builds on recent work in behavioural economics, related to the formation of habits in childhood. The experiment will involve 50 schools across 10 different areas in England and will be conducted in the autumn of 2011. This project also resulted in a methodological study documenting systematically the process of self-selection into the field experiment. The second project also involves schools in the UK, and aims at combining survey and experimental methods to evaluate children's perceptions of the costs and benefits of educational investments and document how these differ across socio-economic backgrounds. Next to these two projects, I have also pursued research on cognitive limitations in memory and their possible implications for cross-racial relations (discrimination and homophily in particular). I have conducted a laboratory experiment in South Africa and another experiment here in Oxford with Edoardo Gallo. Finally, I am working with Marcel Fafchamps on a project aimed at understanding the role of social preferences in partnership formation and sorting.

I have spent time revising a number of research papers that have been published or are now forthcoming in the *Journal of Health Economics*, the *Journal of Population Economics*, the *Economic Journal*, the *Journal of Human Resources*, the *Scandinavian Journal of Economics*, *Labour and Education Economics*

Finally, I have been fortunate to visit three economic departments for short visits, first at the University of Cape town in South Africa, second, at the Rady School of Management in San

Diego and third, at the Queensland University of Technology in Brisbane. I have also presented my work in seminar series at the University of Cape Town, University of California at Santa Barbara, University of Mainz, University of Luxembourg, the Netherlands Bureau for Economic Policy Analysis, University of Maastricht. I was an invited speaker at “MaXLab Academic Frontiers - Economics of Child Behavior” in May 2011 at the University of Magdeburg and a keynote Speaker at the "Westminster Food and Nutrition Forum" in September 2010. Finally, I attended the European Society of Population Economics first conference in Asia, in Hangzhou, China and contributed to the programme selection.

### *Publications*

(with Jonathan James) “Healthy School Meals and Educational Achievements”, *Journal of Health Economics*, 30 (3), 489-504, 2011.

(with Dinand Webbink) “Do Teacher Strikes Harm Student Achievement?”, *Labour, Review of Labour Economics and Industrial Relations*, 24(4), 391-406, 2010.

(with Jan Fidrmuc) “The Anthropometry of Love, Height and Gender Asymmetries in Intermarriage”, *Economics and Human Biology*, 8(3): 361-372, 2010.

**Nancy Bermeo** (Professorial Fellow) spent much of Michaelmas term directing the Centre for the Study of Inequality and Democracy and running, along with Oxford’s Giovanni Capoccia and Maya Tudor, a seminar series on “The Historical Turn in the Study of Democratization.” Her teaching activities involved the core course in the Politics MPhil program, methods training, undergraduate lectures in Comparative Politics and the supervision of eight theses.

Bermeo was awarded a senior research fellowship at Princeton University’s Institute for International and Regional Studies for

Hilary and Trinity terms where she worked on a multi-year project on governmental and popular reactions to the Great Recession. She ran a conference on the financial crisis at Princeton in March and another on popular reactions to the recession at Oxford in late June. She is co-editing two volumes from the project. The first, on governmental reactions, includes essays by three other Oxford affiliated colleagues. The second, on popular reactions, will include essays by four Oxford colleagues.

Bermeo's individual research continues to focus on a book-length project on the legacies of war in new democracies. She was happy to be invited to publish a paper on this subject in a forthcoming collection by Gwendolyn Sasse who organized an outstanding conference titled *Comparing Transitions Across Regions* at Nuffield in November, 2010. She was also happy to be invited to lecture on the legacies of war at Reading University and Princeton University and to speak at a conference on Democracy in Adversity and Diversity at the Van Leer Institute in Jerusalem in May.

### *Publication*

'Breakdown of Political Systems' in Bertrand Badie, Dirk Berg-Schlosser, and Leonardo Morlino (eds.), *International Encyclopedia of Political Science* Sage Press, September 2011.

**Chiara Binelli** (Non-stipendiary Research Fellow) spent her third year at Nuffield working on three main research projects.

To start with, Chiara has completed her project on wage returns to private and public schools in Mexico (with Marta Rubio Codina, IFS). The results of this project are of high policy relevance for the current debate on the reform of the education system in Latin America and have been presented at a number of conferences and invited seminars including the University of Nottingham (December 2010), the University of Copenhagen (January 2011), the University of Southampton (January 2011), the University of Bath (January

2011), the University of Oxford (February and May 2011), the University of Sussex (February 2011), and the Royal Economic Society Conference (April 2011).

Secondly, Chiara has continued working on an interdisciplinary project on social inequality that she started in her second year at Nuffield. The project is with Matthew Loveless (Politics Department, University of Kent) and Stephen Whitefield (Politics Department, Oxford University). The first output of this project has been a paper on individuals' perceptions of income and social inequality (with Matthew Loveless). Using mass public surveys data from 2007 we investigate individuals' perceptions of income and social inequality in 13 Central and Eastern European (CEE) countries. In doing so, we draw attention to three things. First, the simultaneous test of individuals' perceptions of income and social inequality gives us insights into what drives these perceptions. Second, we find substantial sub-national variation in inequality perceptions in the form of individuals' physical location. And third, these same locational differences interact with individuals' socio-economic location to alter their influence on perceptions of inequalities. Thus, we argue that two locations, in both the physical and the socio-economic sense, are required to understand individuals' perceptions of inequality in the new democracies of CEE. More broadly this suggests that salient and interactive sub-national variation goes overlooked in both cross-national and aggregate level analyses of perceptions of inequality. The paper has been presented at the Mid-West Political Science Annual Meeting (Chicago, IL, USA; April 2011) and is currently under submission.

Finally, Chiara has continued working on a project on insurance, expectations and activity choices in rural Malawi, which she started in her first year at Nuffield. The project is with Katja Kaufmann (Bocconi University), Christopher Ksoll (CSAE, Oxford University), and Helene Bie Lilleør (Rockwool Foundation). Chiara has been extensively involved in the development of an innovative survey instrument that contains several questions on individuals' subjective

expectations about returns to different production activities and their perceived correlations. The first round of data in August 2009 has been analyzed to refine the survey instrument that has been used to collect the second and the third round of data in August 2010 and in July 2011. The final dataset will be a three-year panel spanning between 2009 and 2011, which makes it unique among developing countries' surveys of expectations data.

**Scott Blinder** (Research Fellow) This year I took up a new position as Senior Researcher at the Migration Observatory, an initiative at Oxford's Centre on Migration, Policy and Society (COMPAS). In that capacity, I am contributing toward a public-facing web-based project that aims to shift the public debate on migration policy by providing accessible and authoritative evidence and analysis of the limitations of existing data. My research on public opinion toward immigration has continued, highlighted by the publication of an article from a pilot study in Norway and the preparation for submission of a new piece on the impact of implicit and explicit intergroup attitudes on the politics of immigration in Britain and Germany. I have also designed a set of experiments through Nuffield's Center on Experimental Social Sciences that will run over the course of summer and fall 2011.

### *Publication*

Elisabeth Ivarsflaten, Scott Blinder, and Robert Ford. "The Anti-Racism Norm in Western European Immigration Politics: Why we Need to Consider it and How to Measure it." *Journal of Elections, Public Opinion and Parties* Vol. 20, No. 4, 421–445, November 2010.

<http://www.migrationobservatory.co.uk>

**Christopher Bliss** (Emeritus Fellow) I feel that with my 70<sup>th</sup> birthday behind me my mind is still in fairly good shape. My colleagues may disagree, but aside from this the difference that old age has certainly made for me is that it is far harder than it used to be to come up with new ideas. For this reason it came as a surprise to me when I stumbled upon an idea completely new to me, and new to the field in which it lies, one in which I have never previously researched.

I was working on failed states, as reported in last year's annual report, when it occurred to me that some of my analysis perhaps applied more directly to the individual firm faced with bankruptcy risk. There is an old literature on this, mainly from the 1970s. Yet none of it deals in a satisfactory manner with the relation between higher investment and increased bankruptcy risk. Extra risk of bankruptcy discourages investment at the margin, especially when the owner of the firm suffers penalties from bankruptcy additional to financial costs. Loss of reputation would be a case in point. My paper on this topic has been through several drafts and will soon be submitted for publication. I have returned to failed states, which unlike firms cannot go bankrupt.

My *History of Political Economy* paper has divided opinion on largely predictable lines. Those who liked it are mostly outside the Cambridge Keynesian camp. Several inside that camp dislike it greatly. It is interesting to me that it is critical comments concerning Joan Robinson that caused the most upset, while similar remarks directed at Maynard Keynes proved less upsetting.

### *Publication*

“The Cambridge Post-Keynesians: An Outsider’s Insider View”, *History of Political Economy*, Volume 42, Number 4, Winter 2010, 631-652.

**Martin Browning** (Professorial Fellow) The publications list below reflects my research interest in modelling with ‘lots of heterogeneity’. It is my belief that most empirical analyses adopt schemes for dealing with the differences between people that do not fit the data; restrict the economic models that are consistent with the empirical form and distort policy analysis. I have given a number of keynote talks on this issue over the last few years and I have a number of further papers in the pipeline. The papers below consider three well known models (binary choice, consumption and income dynamics respectively) and conclude that it is both necessary and feasible to incorporate much more heterogeneity than we usually allow for.

The other major event of the year was completing my book “Family Economics”, co-authored with Pierre-André Chiappori (Columbia) and Yoram Weiss (Tel Aviv). This is a review of the two strands of the family economics literature: interactions within existing families and who marries whom.

I also spent a very productive (and enjoyable) Sabbatical Leave for eight months at the Institute of Economics, Copenhagen.

### *Publications*

(with Jesus Carro) “Heterogeneity in dynamic discrete choice models”, *The Econometrics Journal*, 13, 1-39, 2010.

(with Sule Alan) “Estimating intertemporal allocation parameters using synthetic residual estimation”, *Review of Economic Studies*, 77(4), 1231-1261, 2010.

(with Javier Alvarez and Mette Ejrnæs), “Modelling income dynamics with lots of heterogeneity” æs), *Review of Economic Studies*, 77(4), 1353-1381, 2010.

**David Butler** (Emeritus Fellow) In November the Tenth (and final) Edition of Butler and Butler, *British Political Facts 1900-2010* was published. The Political Studies Association gave *Political Change in Britain* (1969) an award as the best academic work on British Politics published since 1950 (Professor Susan Stokes, the daughter of the real genius behind the book, Donald Stokes, was at the ceremony).

David is leaving 151 Woodstock Road where for 49 years Marilyn and he have entertained so many Nuffield friends. After the travails of moving, he hopes to resume writing and lecturing from a new base, 38 Ritchie Court (380, Banbury Road, OX2 7PW).

**Lucy Carpenter** (Emeritus Fellow) continues to focus her research primarily on the area of cancer epidemiology. Most recently published work studied cancer risk in 20,000 HIV-infected adults diagnosed with cancer in 23 countries using data assembled by the Concerted Action of Seroconversion to AIDS and Death in Europe (CASCADE). In collaboration with Sir David Cox, Dr Bianca de Stavola (London School of Hygiene and Tropical Medicine) and Professor Harold Jaffe (Centers for Disease Control, USA), these data were used to examine the possible effect of new treatment for HIV on cancer risk by applying a novel methodological approach. She also continues her research into childhood cancer in sub-Saharan Africa (particularly Kaposi sarcoma), studying patterns of childhood cancer in the UK and supervising doctoral research into the long-term health care of adult cancer survivors in general practice. During the past year she has also become an Associate Editor of the journal *Cancer Epidemiology*.

### *Publications*

(with M E Kroll, M F G Murphy and C A Stiller) 'Childhood cancer registration in Britain: capture-recapture estimates of completeness of ascertainment', *British Journal of Cancer*, 104, 1227-1233, 2011.

(with Harold W. Jaffe, Bianca L. De Stavola, Kholoud Porter and David R. Cox, on behalf of the CASCADE Collaboration) ‘Immune reconstitution and risk of Kaposi sarcoma and non-Hodgkin lymphoma in HIV-infected adults’, *AIDS*, 25, 1395-1403, 2011.

**Yekaterina Chzhen** (Non-Stipendiary Research Fellow) I came to Oxford in September 2010, as a Post-Doctoral Research Fellow in Quantitative Methods in Social and Political Sciences at the Department of Politics, and joined Nuffield in January 2011. Having passed my viva in November, I have been revising the chapters of my doctoral thesis for submission to journals. One of these chapters has been accepted for publication in *Labour Economics*. It investigates gender differences across the log wage distributions of British employees working full-time in 2005, accounting for women’s non-random selection into employment.

I have also been pursuing a research agenda in child poverty and deprivation in developed countries. I am finishing a paper (co-authored with Jonathan Bradshaw at the University of York) on child poverty in lone parent families in the enlarged European Union, using micro-data from the EU Statistics on Income and Living Conditions 2009. The paper compares the prevalence and characteristics of lone parent families in the EU, analyses the poverty risks of children living in such families, and evaluates the impact of policies designed to protect lone parents. I have recently presented it at the UK Social Policy Association annual conference and I am planning to submit it to an international social policy journal later this summer. I am also contributing to the UNICEF *Report Card 10*, which focuses on severe child poverty in the OECD countries.

In the meantime I am developing a parallel research agenda in electoral behaviour. I am working on a range of papers with Geoffrey Evans, analysing the relationships between survey measures of partisan attitudes and assessments of the economy, as well as government performance on salient issues, leadership evaluations, and issue proximity, allowing for the potential

endogeneity of these subjective indicators. I am currently completing a paper investigating the direction of causality between partisanship and economic perceptions of UK voters before and after the global financial crisis.

**Sir David Cox** (Honorary Fellow) A general account of the ideas involved in the application of statistical methods, *Principles of applied statistics*, written with Christl A. Donnelly (Imperial College), was published in July by Cambridge University Press.

At CERN he described work on methods of discovery appropriate for finding rare signals in complex material. He worked with Michelle Jackson (Stanford, an Associate Member) on a review of the role of experimental design in sociology, and with Ruth Keogh (Cambridge, also an Associate Member) on the design and analysis of case-control studies. The collaboration with Dr Ted Liou (University of Utah Medical School) and Ruth Keogh on the analysis of data on patients with cystic fibrosis continued. An investigation of the possible effects of a particular treatment of AIDS with Professor Harold Jaffe (now at CDC Atlanta Georgia), Dr Lucy Carpenter and Dr Bianca de Stavola (London School of Hygiene and Tropical Medicine) was completed.

His long-standing collaboration with Professor Nanny Wermuth (Gothenburg, an Associate Member) and Professor Giovanni Marchetti (Florence) on methods for the study of complex dependencies, especially in a social science context, continued.

Among general lectures given during the year were one at the inauguration of the Centre for Statistical Methodology, London School of Hygiene and Tropical Medicine, a special lecture by invitation of the President at the Joint Meetings of the American Statistical Association and the Statistical Society of Canada (and other organizations) in Miami and the closing lecture at the Inauguration in Dublin of an International Organization of Young Statisticians. He visited the School of Public Health, University of

Alabama, Birmingham, Alabama for the inauguration of a Chair in Biostatistics named after him.

In June he received the Honorary Degree of D.Sc. from the University of Glasgow.

### *Publications*

Cox, D.R. and Donnelly, C.A., *Principles of applied statistics*. Cambridge University Press, 2011.

Cox, D.R., On discovery. PHYSTAT conference proceedings. CERN: Geneva, 2011.

Jaffe, H., de Stavola, B., Carpenter, L. M., Porter, K. and Cox, D.R., Immune reconstitution and risk of Kaposi sarcoma and non-Hodgkin lymphoma in HIV-infected adults. *AIDS*, 25, 1395-1403, 2011.

**John Darwin** (Faculty Fellow) has been developing a new research project on the place of port cities in the (overlapping) expansion of colonial empires and the global economy in the century after 1830. In October 2010, he gave an invited lecture on aspects of global history at the Gottingen Literary Festival. In January 2011, he presented a keynote lecture on ‘Hong Kong as an Imperial Port-City’ to a conference on ‘Hong Kong in the Global Setting’ at Hong Kong University. In March, he presented a paper at a conference on ‘Nationalisms and Empire’ at McGill University in Montreal, and delivered the Cummings Lecture on ‘Empire and Ethnicity’. In July, he participated in the National History Center’s Graduate Seminar on Decolonization in Washington, and gave a lecture at the Library of Congress on ‘Decolonization – a history of failure?’. In September, he presented a paper to a conference at the Centre for Humanities and Social Sciences in Madrid on ‘Governing Empires:

Central Administration and the Making of Colonial Policies in the late 19<sup>th</sup> Century’.

In June, he organised with Professor Steven Pincus of Yale University, a one-day conference in Nuffield on ‘Institutions and Empire’.

His book *The Empire Project: the rise and fall of the British world system 1830-1970* (Cambridge University Press, 2009) was awarded the triennial Trevor Reese Memorial Prize in December 2010.

The German translation of *After Tamerlane* (2007) *Der Imperiale Traum* was awarded a prize by *Nord Deutsche Rundfunk*. A Chinese translation of *After Tamerlane* was published.

In June, he was appointed the first director of the History Faculty’s new Global History Research Centre.

### *Publications*

‘Orphans of Empire’ in R. Bickers (ed.), *Settlers and Expatriates: Britons over the Seas*, O.U.P., 2010.

‘Empire History and Global History’ in K. Kondo and M. Taylor (eds.), *British History 1600-2002: Expansion in Perspective*. Proceedings of the Sixth Anglo-Japanese History Conference, Tokyo, 2009, 2011.

**Elias Dinas** (Postdoctoral Prize Research Fellow) I have spent the first half of the 2010-2011 academic year revising my PhD dissertation, which focuses on the role of family socialization, elections and political events in the crystallization of political attitudes. Four papers from this work are currently under review. I have also initiated two research projects. The first investigates the role of parliamentary representation on parties’ future electoral success and it is based on the discontinuities generated from electoral thresholds in various electoral systems throughout the world. The second examines whether an increase in party affinity distorts perceptions about parties’ issue stances. The design draws

upon the unique circumstances in which the 2002 Dutch election took place, whereby Pim Fortuyn, the leader of the homonymous anti-immigration party, was murdered few days before the poll-day and amidst the pre-electoral study fieldwork. Once pretreatment characteristics are taken into account, the comparison between those interviewed before and those interviewed after the assassination enables the estimation of the causal effect of party sympathy on the level of subjective agreement between parties and voters.

In parallel, I have revised previous work highlighting the importance of crime on anti-immigration party support. This paper, written in collaboration with Joost van Spanje (University of Amsterdam), is now forthcoming in *Electoral Studies*. I have also co-authored a paper on the role of cognitive dissonance on party evaluations, showing that tactical voters in the UK become more sympathetic to the party they opted for on strategical grounds. This current status of the paper is *revise and resubmit*. The same applies to two other papers, one dealing with the historical origins of the value component attached to ideological labels in new democracies and the other specifying the nature of socialization gains from taking part in protest activity.

I co-organized a two-day workshop in Nuffield about the Greek crisis. I have taken part in various conferences and seminars in Nuffield, Europe, and the US. In June 2011, I was awarded the Linz-Rokkan Prize from the European University Institute for the best dissertation in the field of political sociology.

### *Publication*

‘Cohort Analysis’, in B. Badie et al (eds.) *International Encyclopedia of Political Science*. Thousand Oaks, CA: Sage, 2011, 356-362.

**Nan Dirk De Graaf** (Official Fellow) enjoyed a sabbatical during Trinity Term and he continued working on a variety of topics.

*Sociology of Religion*: With Tim Mueller and Peter Schmidt he worked on a revision of Kelley & De Graaf's network theory (1997) predicting a cross-level interaction effect of the religious macro-context and parental religiosity on a person's religiosity. The revision results in the prediction that the more devout and the larger the denominational group of the parents the weaker the effect of parental religiosity on a person's religiosity. First tests using individual level data from 41 countries and employing MGCFA and multi-level modelling look promising. With Matthew Bennett he worked on an unsurpassed cross-national test of contextual effects (of which the religious context effect is most prominent) on aggregate rates of volunteering, using nationally representative samples of 116 countries from the Gallup World Poll. Together with Ariana Need, Olav Aarts and Manfred Te Grotenhuis he published in the *Journal for the Scientific Study of Religion* a paper investigating to what extent duration of the deregulation of religious markets affect church attendance.

*Social Structure and Party Strategy*: The EQUALSOC-based project on social and political change headed together with Geoffrey Evans has finished and they submitted their book '*Political Choice Matters: Explaining the strength of class and religious cleavages in cross-national perspective*' to OUP. Together with Giedo Jansen and Ariana Need he has a forthcoming paper (*Electoral Studies*) on interpreting changes in class based voting in the Netherlands. In the very near future they will submit also a paper on the explanation of changes in religious based voting.

*Social Inequality*: a paper together with Anthony Heath and Yaojun Li on perceptions of social mobility has been published in the British Social Attitudes series.

*Criminal behaviour*: A paper together with Marieke van de Rakt, Stijn Ruiter and Paul Nieuwbeerta on testing static versus dynamic theories with regard to criminal behaviour has been published

(*Journal of Quantitative Criminology*). With Ed Hilterman and Martien Philipse (who died this year at the age of 44 after a long struggle with cancer) he examined the psychometric properties of the Leave Risk Assessment (this is an actuarial risk assessment tool composed of both historical and treatment related subscales, developed to assess the risk of serious reoffending by forensic psychiatric patients). The results will be published in the *International Journal of Forensic Mental Health*.

*Inequality and health*: Together with Christiaan Monden he continued working on estimating the relative importance of own and father's education for self-assessed health in 30 countries and their paper has been submitted.

*Economic behaviour*: With Willem Wolters he has written a paper (forthcoming in an edited book) on macro-micro-macro interactions in the financial crisis with the title 'As long as the music is playing you've got to get up and dance'. His book with Willem Wolters on societal problems has been reprinted for the fourth time.

### *Publications*

(with M. van de Rakt, S. Ruiter, and P. Nieuwebeerta) When Does the Apple Fall from the Tree? Static Versus Dynamic Theories Predicting Intergenerational Transmission of Crime. *Journal of Quantitative Criminology*, 26: 371-389, 2010.

(with O. Aarts, M. te Grotenhuis, and A. Need) Does Duration of Deregulated Religious Markets affect Church Attendance? Evidence from 26 religious markets in Europe and North America between 1981 and 2006. *Journal for the Scientific Study of Religion*. 49: 657-672, 2010.

(with A. Heath, Aand Y. Li) How Fair is the Route to the Top: Perceptions of Social Mobility. In: Jowell, R., Curtice, J., Park, A.,

Thomson, K., Jarvis, L., Bromley, C. and Stratford, N. (Eds.) *British Social Attitudes: the 27th Report*, London: Sage. Pp. 29-50, 2010.

(with W. Wolters W.) Reprint of *Maatschappelijke problemen: beschrijvingen en verklaringen (Social Problems: descriptions and explanations)*. Amsterdam: Boom (415 pages), 2010.

**Eline de Rooij** (Postdoctoral Prize Research Fellow). Since arriving at Nuffield last January I have worked on three main research projects, and have begun to cooperate with other junior academics on a number of smaller projects.

My first project concerns the dynamics of political participation over time and across different contexts. This project aims to test my argument that the increase in overall rates of political participation - broadly defined - in advanced democracies is not due to the emergence of a more critical and politically-interested citizenry, as is often argued, but to changes in the way in which individuals are mobilised. The first results from this project were presented at the MPSA Conference (April, Chicago). A follow-up paper will be presented at the EPOP Conference (September, Exeter) and submitted soon after.

My second project examines the role of individual characteristics, as well as the role of characteristics of the country of origin and of destination in explaining differences between immigrants in their likelihood of participating in political action. Previous studies have often failed to simultaneously include all three types of characteristics; a missed opportunity, both for testing theories on political participation, such as those on the importance of pre-adult experiences versus those on the importance of later-life adaptation; and for increasing our understanding of processes of immigrant incorporation. A first paper from this project has been presented at the ECPR General Conference (August, Reykjavik).

As part of an ongoing study into the effect of radio advertisements in encouraging turnout among Native Americans - a

widely-dispersed and politically marginalised group - Donald Green (Yale) and I conducted a field experiment during the 2010 US Midterm Election, for which we obtained a grant from the Carnegie Corporation. This experiment repeated a similar experiment conducted during the more salient 2008 Presidential Election. Part of 2011 has been spent analysing the data of the recent experiment and preparing a manuscript for submission by the end of this year.

Finally, I have started working on three separate joint projects, one of which explores the role of different types of perceived threat on prejudice towards minority groups before and after the UK riots last August.

### *Publications*

‘Patterns of Immigrant Political Participation: Explaining Differences in Types of Political Participation between Immigrants and the Majority Population in Western Europe’, *European Sociological Review*, advance access published on February 17, 2011, doi: 10.1093/esr/jcr010.

**Patrick Diamond**, (Gwilym Gibbon Fellow) Together with Professor Michael Kenny, Patrick convened a research symposium in conjunction with the *Political Quarterly* journal examining the institutional legacy of the Labour Government since 1997. This will result in the forthcoming edited volume, ‘Reassessing New Labour: Market, State and Society under Blair and Brown’, with contributions from a wide range of scholars and practitioners (Wiley, 2011). In October, Patrick gave a seminar at Nuffield together with the Reuter’s Institute exploring the impact of the media on government and the policy-making process in the UK.

He published a paper in *Political Studies Review* on ‘Governing as New Labour’. Later in the year, Patrick presented a paper in a seminar at the Department of Politics in Oxford on ‘Rethinking the state from first principles’, assessing the future shape of the British

state in the light of the financial crisis and austerity measures. Patrick has also participated in a number of international seminars on the future of politics in the European Union, summarised in an edited volume to be published with Olaf Cramme, *After the Third Way* (IB Taurus, 2011). Patrick has also given a series of lectures to public officials, civil servants and policy-makers from outside the UK who are visiting Oxford University through international leadership programmes organised by the Department of Continuing Education.

### *Publications*

Diamond, P. 'Beyond the Westminster Model', *Renewal*, Volume 19, Issue 1, 2011.

Diamond, P. 'From the Big Society to the Good Society?', *Civitas Review*, Volume 8, Issue 1, February 2011.

Diamond, P. 'Governing as New Labour: An Inside Account of the Blair and Brown Years', *Political Studies Review*, Volume 9, Issue 2, 145-162, May 2011.

Diamond, P. & Kenny, M. (eds.), *Reassessing New Labour: Market, State and Society Under Blair and Brown*, Oxford: Wiley-Blackwell, 2011 (forthcoming).

Diamond, P. & Cramme, O. (eds.), *After the Third Way: The Future of Social Democracy in Europe*, London: IB Taurus, 2011 (forthcoming).

**Jurgen A Doornik** (Research Fellow) He started his position as James Martin Research Fellow at the Institute for New Economic Thinking at the Oxford Martin School.

He continued his work on the relationship between indicator saturation and robust estimation, building on the work of Bent Nielsen and Soren Johansen.

Autometrics-related research shifted towards forecasting, thus continuing the joint project with David Hendry and Jennie Castle.

A significant diversion was his work on the Gauss' Hypergeometric function. He derived new expressions that remove singularities in certain representations, and tried to extend the domain over which the function can be evaluated accurately.

He gave talks at the Universidad Carlos III de Madrid, the 9th OxMetrics User Conference in London, the International Conference on Computing in Economics and Finance, and the International Conference of the ERCIM Working Group on Computing & Statistics.

He gave a two-day course on Markov-switching models at the European Central Bank.

### *Publications*

(with J. L. Castle and D.F. Hendry) 'Evaluating Automatic Model Selection', *Journal of Time Series Econometrics*, 3, Article 8.

(with G. Bårdsen and J.T. Klovland) 'Wage Formation and Bargaining Power during the Great Depression', *Scandinavian Journal of Economics*, 112, 211-233.

**Raymond Duch** (Professorial Fellow and director of Nuffield College Centre for Experimental Social Sciences (CESS). The CESS has had another successful year and its activities are reviewed separately.

Duch continues to explore how context conditions the nature of political and economic behaviour. His paper, "Context and Economic Expectations: When Do Voters get it Right?" published in the *British Journal of Political Science*, proposes a theory of how mediated representations of the economy shape consumer economic expectations. The paper

demonstrates empirically how political context affects the formation of economic expectations that in turn helps account for cross-national variations in the accuracy of price expectations. In another related project he argues that unexpected bouts of exuberance or pessimism in consumer confidence have a political explanation; specifically confidence in the government's handling of the economy. He is testing the argument with time series data from the OECD countries. Preliminary results from the project were reported in a paper entitled "The Heterogeneity of Consumer Sentiment in an Increasingly Homogeneous Global Economy," that was recently accepted for publication in *Electoral Politics*. Research for this project is the basis for a book manuscript on which Duch and Kellstedt are currently working. Extensions of results from the "The Heterogeneity of Consumer Sentiment" paper were presented at a number of conferences in 2010/2011 (the EPSA in June, 2011 and the MPSA meeting in April 2011).

One of the themes of his recent research is that rational voters will condition their vote choice on information about the political context; particularly information that has implications for policy outcomes. In contexts where there are coalition governments he proposes a theory of the coalition-directed vote. The argument suggests voters anticipate the post-election bargains negotiated amongst members of the governing coalition and these anticipated policy agreements inform their vote choice. A paper entitled "Why can Voters Anticipate Post-election Coalition Formation Likelihoods," published in 2010 *Electoral Studies*, suggests why the information demands, on average voters, of coalition formation and governance are not excessive. The major paper from this project, entitled "The Coalition-directed Vote in Contexts with Multi-party Governing Coalitions," provides the theoretical and empirical foundations for the argument

and was published in the November 2010 *American Political Science Review*.

As an extension of this project he has been exploring the micro-foundations of the coalition-directed vote employing experimental methods. Working with Jean-Robert Tyran, he has conducted internet experiments in Britain, Denmark and Germany that identify the sophistication of coalition reasoning in the voting population, the existence of which is a critical individual-level assumption of his coalition-directed vote theory. They have a working paper entitled: "Coalition Context, Voter Heuristics and the Coalition-directed Vote." Duch's theoretical work on the coalition-directed vote and his earlier contextual theory of the economic vote both assume that voters employ particular heuristics for rewarding or punishing individual members of collective decision making groups (such as the parties in a coalition government). Duch and a number of colleagues (Przepiorka, Stevenson and Tyran) have conducted experiments in which they attempt to identify the predominant heuristics employed voters. In these experiments, subjects play collective Dictator Games in which the Dictator consists of a group of decision makers and recipients have the opportunity to punish individual members of the collective Dictator group. A working manuscript from one of these experiments is entitled: "Responsibility Attribution for Collective Decision Makers"

*Awards:* "The Global Economy, Competency and the Economic Vote." (with Randy Stevenson) published in *Journal of Politics* (January, 2010) was awarded the Best Article Published in *Journal of Politics* in 2010.

*Professional service activities:* Along with Ken Benoit and Thomas Plumper, he organized the first annual meeting of the European Political Science Association in Dublin, Ireland in June, 2011. In October 2010 Duch organized a celebration of Bing

Powell's 40 years at the University of Rochester and also organized a Bing Powell Graduate Mentor prize that will be awarded bi-annual by the American Political Science Association.

### *Publications*

(with Jeff May and David Armstrong), "Coalition-Directed Voting in Multi-Party Democracies", *American Political Science Review*, 104(4): 698-719, November, 2010.

(with David Armstrong), "Why can Voters Anticipate Post-election Coalition Formation Likelihoods", *Electoral Studies*, 29(3), 2010.

(with Randy Stevenson), "Context and Economic Expectations: When Do Voters get it Right?", *British Journal of Political Science*, 2011.

(with Paul Kellstedt), "The Heterogeneity of Consumer Sentiment in an Increasingly Homogeneous Global Economy", *Electoral Studies*, September, 2011.

(with Randy Stevenson), "The Global Economy, Competency and the Economic Vote", *Journal of Politics*, 72(1): 1-19, January, 2010.

**Geoffrey Evans** (Official Fellow) continued his research into the following issues:

*Social & political cleavages*. He completed a book length manuscript: *Political Choice Matters: Explaining the strength of class and religious cleavages in cross-national perspective* (with Nan Dirk De Graaf), which is being published by Oxford University Press. This edited volume examines evidence of the impact of party positions on voters' political choices across a broad range of societies. He also has a paper with James Tilley examining the impact of the main political parties' ideological

convergence on the decline of class voting in Britain forthcoming in the *British Journal of Political Science*. Other papers are under review at journals. James and he have also recently written a piece for this year's *British Social Attitudes* report charting the social and political divisions associated with private versus state schooling.

*Post-communist politics & society.* He continued work on a monograph based on his EU-funded project on 'Inequality and Democracy' in post-communist societies (with Stephen Whitefield). Ksenia Mankowska and he have an article on the social and political implications of the post-Soviet Russian Orthodox revival under review. He has also been examining developments in majority-minority tolerance in Eastern Europe.

*Explaining party preference.* With Kat Chzhen and Mark Pickup he is using the 2005-2010 British Election panel study to examine, among other things, the impact of recession and financial crisis on the influence of economic perceptions in models of party evaluation and vote choice and the extent to which valence models of party choice are valid explanations of electoral behaviour. As part of the British Cooperative Campaign Analysis Project Mark Pickup and he have also devised new instruments for economic perceptions and have examined their impact on political preferences in the 2010 election campaign.

*Democratic culture.* A paper with Pauline Rose examining the role of schooling in facilitating support for democracy in Sub-Saharan Africa is forthcoming in the *Journal of Development Studies*. Other work examining the influence of 'respect for political opponents' on democratic participation (with Mansur Lalljee and others) is under review.

*General activities.* During the year he has given an invited talk at the EUI and had papers at various international conferences, including the American Political Science Association, the Association for the study of Nationalities, and the Elections, Public Opinion & Parties annual meetings. He continues to edit *Electoral Studies* and is on the

Editorial Board of several other political science and sociology journals.

### *Publications*

(with Mark Pickup) 'Reversing the causal arrow: The political conditioning of economic perceptions in the 2000-2004 US Presidential election cycle', *The Journal of Politics*, 72: 1236-51, 2010.

(with James Tilley) 'The Emerging Electoral Supremacy of 'Hard Line' Parties in Northern Ireland: The Role of Political Generations. *European Journal of Political Research*, 50(5):583-608, 2011.

'Models, Measures and Mechanisms: An agenda for progress in cleavage research' in Zsolt Enyedi and Kevin Deegan-Krause, eds., *The Structure of Political Competition in Western Europe*, London: Routledge, 220-233, 2011.

'Class and Voting'. Blackwell Encyclopedia of Sociology. Ritzer, George (ed). Oxford: Blackwell Publishing, Online at [www.sociologyencyclopedia.com](http://www.sociologyencyclopedia.com), 2011.

**Ray Fitzpatrick** (Faculty Fellow) began a new study for the Department of Health to develop and validate a new questionnaire that could be widely applied to patients admitted for elective medical and surgical procedures in the NHS. The questionnaire will focus on key issues for patients in terms of the benefits they feel they gain from hospital treatment as well as how well they are cared for. This work supports commitments made in the new NHS White Paper to extend wherever possible the role of patient reported outcome measures (PROMs) in monitoring quality and outcomes in the NHS. Research continued to evaluate the role of PROMs in monitoring the health of individuals with long term conditions via primary care. Over thirty general practices around London and the North West of

England have joined this pilot study and are recruiting patients with asthma, chronic obstructive pulmonary disease, diabetes, epilepsy, heart failure or stroke.

He continued as Head of the Department of Public Health in the University, as National Programme Director for NIHR Health Services Research and as Scientific Chair, National Prevention Research Initiative.

### *Publications*

(with C Morris, H Doll, A Wainwright, N Davies, T Theologis ) The Oxford Ankle Foot Questionnaire for Children: Review of development and potential applications. *Prosthetics and Orthotics International*. 34: 238-44, 2010.

(with J Dawson, K Rogers, H Doll, C Cooper, A Carr.A) Using Patient-Reported Outcome Measures (PROMs) Routinely: An Example in the Context of Elective Shoulder Surgery. *The Open Epidemiology Journal* 3: 42-52, 2010.

(with J Yiend, J Chambers, T Burns, H Doll, S Fazel, A Kaur, L Sutton L) Outcome measurement in forensic mental health research: an evaluation. *Psychology Crime and Law* 17; 277-292, 2011.

(with E Plugge, N Douglas) Changes in health-related quality of life following imprisonment in 92 women in England: a three month follow-up study. *International Journal of Equity and Health*. 10:21, 2011.

(with T Burns, J Yiend, T Fahy, R Rogers, S Fazel, J Sinclair) Treatments for dangerous severe personality disorder (DSPD) *Journal of Forensic Psychiatry & Psychology*, 2011.  
doi:10.1080/14789949.2011.577439.

**Jennifer Flashman** (Postdoctoral Prize Research Fellow) The 2010-2011 academic year has been very productive. Over the course of the year I received three revise and resubmits on articles that I worked on during the last academic year. One of them is now forthcoming in *Sociology of Education*. This article studies how changes in academic achievement impact changes in friendship ties, accounting for the influence of friends on academic achievement. I am in the process of revising the other two articles. The first studies how cohort changes in the effect of test scores and grades have led to women's advantage in college attendance in the US. The second studies how opportunities between and within schools lead to race/ethnic differences in the characteristics of adolescents' friends.

In addition, I am collaborating with Professor Diego Gambetta on a project that studies the relationship between deviance and friendship. We completed a draft of our first paper in the spring and presented that work in the Nuffield Sociology Seminar as well as at the European Network for Analytical Sociologists conference in Paris. We will present this paper in Las Vegas at the American Sociological Association meetings in August as well. We are now beginning work on a follow-up paper studying the stability of friendships among deviant adolescents.

I also continued my work with Dr. Renee Luthra at the University of Essex this past year. We received a Fell Fund award and a small grant from the British Academy to study selection into post-secondary education in the US, the UK, and Germany. We are putting together preliminary results and hope to have a first draft of an article done in September.

Finally, I have two on-going projects continuing from last year. The first studies racial differences in the impact of academic achievement on changes in friendship ties. I presented a version of this paper in the Nuffield Networks Seminar and will present a revised version at the American Sociological Association meetings in Las Vegas. The second studies the effect of friends on the academic achievement of adolescents using instrumental variables. A

preliminary version of this work was presented at the RC28 meetings at the University of Essex this past spring.

### *Publication*

“Academic Achievement and Its Impact on Friend Dynamics.”  
*Sociology of Education*.

On line:

<http://soe.sagepub.com/content/early/2011/08/13/0038040711417014>

**Neil Fowler** (Guardian Fellow) The regional and local newspaper industry is a subject that has rarely been researched seriously and one that has seldom been granted the academic respect and analysis that it deserves. So this year has been a wonderful opportunity for me as a journalistic tradesman to spend time examining a theme that has been the core of my professional life for 33 years.

Regional and local newspapers are in the jaws of an extraordinary pincer movement of structural change and economic downturn. Advertising revenues have plummeted and many circulations are in freefall with potentially profound ramifications for local democracy and the generation of news. I have aimed, through contacts gained while editing four daily newspapers, to produce work that is both challenging and of use to the industry.

I have conducted a detailed examination of the sector’s performance since the last war, looking at what lessons can be learned from past practices and behaviours and how survival may take place in the 21<sup>st</sup> century. To support this I have undertaken a series of in-depth interviews with decision makers in the industry, both past and present, and surveyed many others.

During the year I was asked to contribute chapters on issues surrounding regional newspapers to a number of books – but a particular highlight was helping to organise the college’s media and

politics seminars, held in conjunction with the Reuters Institute for the Study of Journalism, following the retirement of Sir David Butler who had arranged them so well for a mere 53 years.

It was a challenge but one that we addressed successfully with an excellent balance of speakers that included three peers of the realm and ranged from the BBC's political editor Nick Robinson through to Louise Court, *Cosmopolitan's* editor, via many other high-profile journalists and political practitioners.

Spending a great deal of time in college has given me the opportunity to engage in many lively and wide-ranging conversations with other fellows, post docs and post graduates from all over the world. The value of such an enjoyable and stimulating experience to this great example of a non-academic cannot be overstated.

### *Publications*

'How the British regional and local press is dealing with the Internet – or not?' in John Mair and Richard Lance Keeble (eds.), *Face the Future: Tools for the Modern Media Age: The Internet and Journalism Today*, Abramis, 92-101, 2011.

'Proof that investigative journalism is alive and kicking in the regions' in John Mair and Richard Lance Keeble (eds.), *Investigative Journalism; Dead or Alive?* Abramis, 200-211, 2011.

'Regional press challenges promote calls for new ownership forms and legal bases' in David A L Levy and Robert G Picard (eds.), *Is There a Better Structure for News Providers? The Potential in Charitable and Trust Ownership*, Reuters Institute for the Study of Journalism, 31-54, 2011.

**Noel H. Gale** (Emeritus Fellow), emeritus University Professor of Archaeological Science and Director of the Isotrace Laboratory, has continued his work in applying scientific methods to the study of

Bronze Age trade and cultural interactions in the Mediterranean region. A very large amount of data for this field was generated during the 30 years existence of the Isotrace Laboratory, housed physically in the former Nuclear Physics Building (now the Denys Wilkinson Building) within the University. These data are now gradually being organised into an internationally available database which will be hosted on the School of Archaeology website. He remains Overseas Editor of the *Geochemical Journal* and Assistant Editor of the *Revue d'Archéométrie*, and is a member of the scientific committee of the Institute of Archaeometallurgical Studies, Institute of Archaeology, University College, London.

### *Publications*

(with Zofia A Stos-Gale) 'Bronze Age metal artefacts found on Cyprus – metal from Anatolia and the Western Mediterranean', *Trabajos de Prehistoria* 67, No. 2. Madrid, 389-403, 2010.

'Copper Oxhide Ingots and Lead Isotope Provenancing', in Philip P. Betancourt and Susan C. Ferrence (eds.), *Metallurgy: Understanding How, Learning Why: Studies in Honor of James D. Muhly*, Instap Academic Press, Philadelphia, 2214-220, 2011.

(with Zofia.A. Stos-Gale) "'Biscuits with Ears:": A Search for the Origin of the Earliest Oxhide Ingots', in Philip P. Betancourt and Susan C. Ferrence (eds.), *Metallurgy: Understanding How, Learning Why: Studies in Honor of James D. Muhly*, Instap Academic Press, Philadelphia, 221-229, 2011.

**Duncan Gallie** (Official Fellow) has been coordinating a European team that designed a module for the 2010 European Social Survey. It is examining the implications of economic recession for 'Work, Family and Well-Being'. This will partially replicate an ESS module

that he was involved in constructing in 2004. It will be particularly concerned with changes in the quality of work and their impact on personal stress, work-family conflict and social integration. The project will examine whether the effects of the recession have been significantly mediated by different national institutional structures. The fieldwork is now completed in most countries and the main work on the analysis will begin in the coming academic year.

He has completed further analyses of the 2006 Skills Survey. This is part of a unique data series tracking changes in job skills and work quality in Britain that started with surveys he coordinated in 1986 (the Social Change and Economic Life Initiative) and in 1992 (the Employment in Britain Survey, with Michael White), followed by subsequent skills surveys in 1997 and 2001 (coordinated by Francis Green and Alan Felstead). In particular, he has completed papers on the development of teamwork in Britain and changes in the work values of British employees since the early 1990s. He also has completed a paper with Ying Zhou analyzing the changing position of female part-time workers in Britain. He is now working with a number of European colleagues on two comparative papers on part-time work – focusing respectively on skill development and the quality of work.

He has been involved, as a member of an expert group working for the French Ministère du travail, in selecting indicators for monitoring psycho-social stress at work. The group was asked to summarize the current state of research on the determinants of work stress and to advise on a large-scale new survey to improve the quality of data on these issues. The report has now been submitted to the French government and discussion is underway about the new survey to monitor trends. He has also chaired the steering committee for a study on work stress commissioned by the British Academy. The report, written by Professor Tarani Chandola, was launched in a meeting addressed by Brendan Barber, the General Secretary of the TUC, and Professor Michael Marmot.

He served his final year as Foreign Secretary, Vice President and member of Council of the British Academy. He is a Member of the Strategic Advisory Board of the Danish National Institute of Social Research and of the Scientific Council of the Paris School of Economics. He is an 'Elder' of the European Consortium of Asian Field Study, which groups the main European overseas institutes in Asia. He has been a member of the Board of the CO-REACH (Coordination of Research between Europe and China) FP6 ERA-NET, designed to improve the infrastructure for comparative research between China and Europe. At Nuffield, he is Fellow Librarian. He has been coordinator of the 'Employment and Labour Market' Research Group and local Nuffield coordinator for the EQUALSOC Network of Excellence that involves a range of fellows, post-doctoral fellows and research students in the College and University (see: [www.equalsoc.org](http://www.equalsoc.org)).

### *Publications*

(with Ying Zhou) 'The changing job skills of female part-time workers in Britain 1992-2006', *Human Resource Management Journal*, 21, 1, 28-44, 2011.

(with Alan Felstead, Francis Green and Ying Zhou) 'Employee Involvement, the quality of training and the learning environment : an individual level analysis'. *The International Journal of Human Resource Management*, 21, 10, 1667-1688, 2010.

**Diego Gambetta** (Official Fellow). To make up for the *grande bouffe* of the previous year, I shied away from public exposure almost entirely. I only presented papers at three small conferences, worryingly enough organised by economists, two of them devoted to experimental work on trust (Bertinoro, near Bologna, and Valencia), and one devoted to signalling and cheap talk (WZB Berlin).

I worked on three articles: one is “The LL game. The curious preference for low quality and its norms” (with Gloria Origgi), to be published in *Politics, Philosophy and Economics*; the other two are under review, “The long shadow of income on trustworthiness” (with John Ermisch) and “Friends in misdeed are friends indeed” (with Jennifer Flashman).

I devoted quite some time to the ERC funded project “Cooperation among strangers: experiments with social norms, institutions, and money”, through skype conference calls and two trips to Bologna, where Marco Casari, the principal investigator, is located. The logistically complex experiments – public good games and trust games of various descriptions – were completed in June, involving 600 subjects in 4 Italian towns, two in the North (Cuneo and Faenza) and two in the South (Crotone and Ragusa). We used representative samples of adults born in the town. The results on the behavioural differences between North and South are very interesting indeed.

With Wojtek Przepiorka, who shares my interest in signalling theory, we embarked on a new experiment, carried out successfully in CESS in June, with 182 subjects. The paper is called “Natural fairness as a signal of trustworthiness” and we will submit it in *Michaelmas*. The results show that fairness manifested in non-strategic conditions, when subjects ignore that being fair or unfair may have consequences on further interactions, functions as an excellent signal of trustworthiness, much better than fairness manifested in strategic conditions, when opportunistic subjects mimic being fair in order to induce trustworthiness and abuse it.

As for honours, I was invited to be “Niklas Luhmann Distinguished Visiting Chair in Social Theory” at Bielefeld University. I also taught half of the course on “Advanced Social Theory” to a good group of Master students, and discharged my duties as Chair of the Sociology group at Nuffield. Mercifully, this was my last year as Chair – as Dante wrote, *stendiamo un pietoso velo...*

## *Publications*

(with John Ermisch) “Do strong family ties inhibit trust?” *Journal of Economic Behaviour and Organisation*, 75, 3, 365-376, 2010.

“Response” to “Roundtable on *Codes of the Underworld*”, *Global Crime*, 12, 150-159, 2011.

狄亚哥·甘贝塔，解码黑社会，北京：华夏出版社 (*Decoding Triad*, Beijing: Huaxia Publishing House 2011), Chinese edition of *Codes of the Underworld: How Criminals Communicate* (Princeton U.P., 2009).

**John Goldthorpe** (Emeritus Fellow) I continued to work mainly on issues of educational inequalities and social mobility, together with Erzsébet Bukodi at the Centre for Longitudinal Studies, Institute of Education, London. We carried out preliminary work for research projects that we wish to undertake on the changing role of education in intergenerational social mobility and on the changing importance for children’s educational attainment of their cognitive ability relative to their social origins. This work led to three papers: the first, on social class returns to higher education in three British birth cohorts, was presented to an EQUALSOC meeting in Bamberg in October; the second, on changes in educational inequalities in Britain, was prepared for an international conference on educational inequalities organised by the German Federal Ministry for Education and Research held in Berlin in February; and the third, on the changing relative importance for children’s educational attainment of parental social class, social status and education, was given at the Longview Conference at Bielefeld in September. We also submitted a memorandum to the government’s ‘Social Mobility Tsar’, Alan Milburn, on early-life indicators of social mobility potential.

In addition, I attended meetings at the Department of Business, Innovation and Skills and at the Cabinet Office to discuss research relevant to the preparation of the coalition government's strategy document on social mobility which was published in April. This experience did, however, confirm me in the view, formed when advising members of the previous New Labour government, that, despite the best efforts of some very able civil servants, ministers are far less concerned with evidence-based policy than with policy-based evidence.

I also continued to work with Robert Erikson of the Swedish Institute for Social Research on conceptual and technical issues in the study of social mobility. Having previously shown, using the British birth cohort studies, that analyses of mobility in terms of social class capture more of the intergenerational transmission of socioeconomic advantage than do analyses in terms of income, we now believe we can show, on the basis of Swedish registration data, that social class analyses are similarly superior to analyses based on detailed occupational groupings.

### *Publications*

(with E. Bukodi) 'Market versus Meritocracy: Hungary as a Critical Case', *European Sociological Review*, 26, 655-74, 2010.

(Awarded the Polanyi Prize of the Hungarian Sociological Association.)

'Analysing Social Inequality: A Critique of Two Recent Contributions from Economics and Epidemiology', *European Sociological Review*, 26, 731-44, 2010.

(with E. Bukodi and S. Dex.) 'The Conceptualisation and Measurement of Occupational Hierarchies: a Review, a Proposal and some Illustrative Analyses', *Quality and Quantity*, 45, 623-39, 2011.

(with E. Bukodi) ‘Social Class Returns to Higher Education: Chances of Access to the Salariat for Men in Three British Birth Cohorts’, *Longitudinal and Life Course Studies*, 2, 1-17, 2011.

‘Habitus and Social Inequalities in Education’, *Transmission*, 4, 36-49, 2011.

(with E. Bukodi) ‘Class Origins, Education and Occupational Attainment in Britain: Secular Trends or Cohort-Specific Effects?’, *European Societies*, 13, 345-73.

**Sandra Gonzalez-Bailon** (Non-Stipendiary Research Fellow) This year I have started two new projects exploring the role of digital media in facilitating collective action. One of these projects (“Student Protest and Digital Media: The Campaign against Tuition Fees”, with co-investigator Michael Biggs) was funded by the Fell Fund in March. We are assembling a database tracking online activity and media coverage of student protests in Oxford and Cambridge, in addition to conducting interviews to uncover the reasons for joining the protests. The second project (with Yamir Moreno and Javier Borge) is analysing Twitter data around the mobilisations that took place in Spain during April and May of 2011, which resulted in thousands of people marching in several cities and setting up protest camps across the country. The data contains information of more than half a million messages and a network formed by 90 thousand users, including tags with their geographical location. This project aims to test theories of diffusion and contagion, and the role that online networks play in disseminating calls for action and mobilisation.

I have also continued my work on political discussion networks. I completed three manuscripts: the first, with Scott Hale (“The Ideological Divide in Political Discussions”) revisits the ideological polarisation argument, that is, the idea that online technologies favour self-selection and echo-chambers. The paper analyses cross-

posted messages and overlapping authors in a thousand political discussion groups of different ideology, with a focus on how those connections change over a five-year period; the paper concludes that there is no supporting evidence for the polarisation hypothesis. The other two manuscripts, written in collaboration with George Paltoglou, analyse discussions around current affairs as they emerged on the site of a prominent broadcasting corporation for the period 2005-2009. The first paper, entitled “The Positive Effects of Negative Emotions in Online Communities”, looks at the emotional content of those discussions, and how emotions correlate with the evolution of the community. The second paper, “Network Mechanisms and the Decline of an Online Community”, focuses attention on the network dynamics that precipitated the decline of the community, which didn’t succeed at maintaining a critical mass of participants. Versions of these papers were presented at the Analytical Sociology conference in Paris and at the Political Networks Conference in Ann Arbor.

A portion of my work this year has also been devoted to the revision of papers that are being considered for publication; these papers are: “The Online Response to Offline Disengagement: The Growth of Internet-Enabled Political Discussion Networks (1999-2005)”;

“Emotions, Public Opinion and U.S. Presidential Approval Rates: a 5-Year Analysis of Usenet Data” (with Andreas Kaltenbrunner and Rafael Banchs);

“Social Interactions and Long-Term Fertility Dynamics. A Simulation Experiment in the Context of the French Fertility Decline” (with Tommy Murphy); and

“The Private Gains of Public Office? Corporate Rewards of former High Public Officials in Britain” with Will Jennings and Martin Lodge. I expect these papers to be published in the current academic year.

In addition to this research activity, I have been invited to participate in various seminars and events on the future of digital media and its impact on political participation, including a special workshop on e-Participation with MEPs at the European Parliament. I have also acted as a co-organiser of the Nuffield-OII

Networks Seminar (with Jennifer Flashman, Bernie Hogan, and Tom Snijders), and maintained my teaching and supervision duties at the Oxford Internet Institute.

### *Publication*

“El Papel de las Redes Sociales en el Capital Social y los Experimentos de Simulación” en Noguera, Jose A. (ed.) *Teoría Sociológica Analítica*, Madrid: CIS, 2010.

**A.H. Halsey** (Emeritus Fellow since 1990) I am getting old, reaching my 88<sup>th</sup> birthday on 13<sup>th</sup> April 2011, and receiving the British Sociological Association’s Lifetime Achievement Award from the British Sociological Society, which I cannot but see as a funereal salutation.

My time has been spent analysing a survey of all the students and fellows elected to Nuffield for three years or more, excluding our Visitors and Visiting Fellows. A first analysis will be available in the Long Vacation and a summary will go out in the Autumn issue of the *Newsletter*. The longer essay will be added to the archives of the College history.

My main research preoccupation this year has been with a survey of all admissions of students or fellows, leaving out visitors under either head. The survey was launched through the Newsletter in the Trinity Term of 2010. Responses have been slow and low though, in the end, amounting to roughly half those who were registered from the Second World War to 2010. We included the 200 who had died.

The main focus of my analysis has been on social mobility. The overwhelming destination of Nuffield people has been in the newer professions, especially senior academic posts in the social sciences. That will surprise no one. But the origins of the majority have also been in upper middle class families (in universities, medicine, civil services and law). Only a tiny minority have started life as sons or

daughters of labourers in factory or farm. So myths of the College as an avenue upwards for the children of Huddersfield cobblers or railway porters have to be marginalised. There have, of course, been some but the mainstream, though doubtless meritocratic in the eyes of the selectors, is necessarily not one of upward mobility. I hope to put numbers on this message by next year, having given a preliminary lecture to the Nuffield Society Seminar in 2011.

### *Publications*

*A History of the University in Europe*, General Editor, Walter Ruegg; Vol. IV, Chapter 6, 207-237.

*Selecting the Elites*, Arch. Europ. Sociol XLIX.3, 2008.

**Anthony Heath** (Emeritus Fellow) I have retired from the Chair of Sociology and the Nuffield Professorial Fellowship, but I now have two half-time Professorial positions – one in Oxford and one at the Institute for Social Change in Manchester.

In Oxford I am continuing to supervise doctoral students, and while the number is steadily declining as they successfully complete, they are not yet down to single figures. I am also continuing to work with Miles Hewstone, Neli Demireva (Nuffield) and others on a Leverhulme-funded project on ethno-religious diversity and social cohesion. And with Miles Hewstone and Meena Parameshwaran (Nuffield) I am working on the English component of a cross-national study of the social, cultural and structural integration of young people (comparing minorities with the White British majority group). This is a school-based panel study and after heroic efforts by the National Centre for Social Research, our fieldwork institute, we have successfully recruited over 100 schools.

In Manchester I am continuing to work on the Ethnic Minority British Election survey (EMBES) with Maria Sobolewska (formerly Nuffield), Steve Fisher, David Sanders (Essex) and Gemma

Rosenblatt (Electoral Commission). We successfully completed fieldwork last summer and are now drafting a book for OUP. I am also working with Bob Putnam on the last of the Harvard/Manchester comparative projects; this one is on the social consequences of Hard Times. I am also working with Rob Ford (formerly Nuffield) on a study of attitudes to immigration (funded by a range of charities).

In my spare time I gave a masterclass at Utrecht, where I also gave a public lecture (based on the EMBES data) showing to my satisfaction that claims that multiculturalism has failed in Britain are very wide of the mark. I am also continuing my work on the DWP's Ethnic Minority Advisory Group where we continue to press for evidence-based policies that might help achieve a greater degree of equality of opportunity for ethnic minorities in Britain.

An article which two of my former students had drafted with me, based on our Oxford Admissions Study, was discussed in the House of Commons and both the Minister and his shadow claimed to have read it. We are less sure that anyone in the University has read it!

### *Publications*

Heath, Anthony F, Stephen D Fisher, David Sanders and Maria Sobolewska, 'Ethnic heterogeneity in the social bases of voting at the 2010 British General Election', *Journal of Elections, Public Opinion and Parties* 21(2): 255-277, 2011.

Phalet, Karen and Anthony Heath, 'Ethnic community, urban economy, and second-generation attainment: Turkish disadvantage in Belgium' in Richard Alba and Mary C Waters (eds) *The Next Generation: Immigrant Youth in a Comparative Perspective*. New York: New York University Press, 135-165, 2011.

Heath, Anthony and Alice Sullivan, ‘The democratisation of upper secondary education?’, *Oxford Review of Education*, 37, (2)123-138, 2011.

Sullivan, Alice, Anthony Heath and Catherine Rethon, ‘Equalisation or inflation? Social class and gender differentials in England and Wales’, *Oxford Review of Education*, 37, (2) 215-240, 2011.

Phalet, Karen and Anthony Heath, ‘From ethnic boundaries to ethnic penalties: urban economies and the Turkish second generation’, *American Behavioral Scientist*, 53,(12) 1824-1850, 2010.

Heath, Anthony, Nan Dirk de Graaf and Yaojun Li, ‘How fair is the route to the top? Perceptions of social mobility’. in Alison Park, John Curtice, Elizabeth Clery and Caroline Bryson (eds.) *British Social Attitudes, the 27<sup>th</sup> Report: Exploring Labour’s Legacy*. London: Sage, 29-72, 2010.

**Peter Hedström** (Official Fellow) My research during the year was to a large extent focused on social networks, their causes and consequences. I have also been active in various research networks, most importantly the European Network of Analytical Sociologists, and I have had various speaking engagements brought about by the publication of the *The Oxford Handbook of Analytical Sociology*.

#### *Publications*

(with R. Ibarra), “On the contagiousness of non-contagious behavior: The case of tax avoidance and tax evasion”, Hans Joas and Barbro Klein (eds.), *The Benefit of Broad Horizons: Intellectual and Institutional Preconditions for a Global Social Science*, Leiden: Brill, 2011.

(with Y. Åberg), “Social interaction and youth unemployment”, Pierre Demeulenaere (ed.), *From Social Mechanisms to Analytical Sociology*, Cambridge: Cambridge University Press, 2011.

**Sir David F. Hendry** (Professorial Fellow) commenced as Director of the *Institute for New Economic Thinking at the Oxford Martin School* in October 2010: the research programme is funded jointly by George Soros through the Open Society Institute and by James Martin through the Oxford Martin School.

To successfully build sustainable macro-econometric models, one must jointly tackle all the key problems likely to be met in empirical modelling, including that there are many potential explanatory variables, slow dynamic reactions, non-linear relationships, and multiple location shifts. Despite the resulting general formulations often entailing many more candidate variables than available observations, the costs of searching for a good empirical model are low when automatic methods are used to find the most parsimonious representation that still captures all the salient data evidence. By embedding theory-based formulations within an initial general model, but not selecting over the theory variables, all the benefits of good analytic insights can be retained while protecting against models that would otherwise be under-specified (jointly with Jennifer Castle, Jurgen Doornik and Søren Johansen).

Because automatic model selection algorithms can handle more variables than observations, and hence also perfectly-collinear variables, they can be applied to investigate whether a small number of major determinants of any time series are best in forecasting versus summarizing large numbers of variables in factors and using those to forecast, or even including both sets (jointly with Jennifer Castle, Michael Clements and Nicholas Fawcett). The properties of forecasts from economic models depend on the predictability (or otherwise) of the variables under study. A new analysis delineates three aspects of unpredictability associated respectively with a lack of relationship, unexpectedly large errors (Black Swans), and

unanticipated location shifts (unknown unknowns), where the third can explain the apparent occurrence of flocks of Black Swans. Many forecasting systems also include unmodelled variables (like oil prices, world interest rates etc.), but a taxonomy of the resulting sources of forecast errors reveals that their role may not have been fully understood previously: in particular, there is little difference in forecast failure between including or omitting such variables unless they have a known future location shift (jointly with Grayham Mizon).

Professor Hendry delivered a week-long lecture course on 'Econometric Modelling and Forecasting' to the IMF, Washington as well as lectures at the Max Planck Institute of Economics Summer School on Uncertainty, Jena. He gave invited papers at the following conferences: Political Economy of the Environment, Oxford; Time Series, Rimini; Halbert White *Festschrift*, San Diego; Hashem Pesaran *Festschrift*, Cambridge; Equipping Society for Climate Change, Leeds; High-Dimensional Econometric Modelling, London; the Argentine Association of Political Economy, Buenos Aires; and OxMetrics, Maastricht. He presented the Keele Annual Distinguished Lecture in Economics, an Oxonia Distinguished Lecture on Climate Change, and gave seminars at the Economics Departments of York, Oslo and Manchester Universities, the Tinbergen Institute, Statistics Norway, Max Planck Institute for Mathematics at the University of Leipzig, Philosophy Department of the University of Bayreuth, and the Central Bank of Argentina, as well as at the Digital Social-Science Day, Oxford.

He was a member of the Advisory Panel on Foresight for the Chief Scientific Adviser to HM Government, and of the International Advisory Boards of the School of Economics and Management, University of Lund, *Journal of Applied Econometrics*, *Applied Financial Economics*, and *European Journal of Pure and Applied Mathematics*. He was elected an Honorary Member, Argentine Association of Political Economy.

## *Publications*

(with J.L. Castle and N.W.P. Fawcett) ‘Forecasting with Equilibrium-correction Models during Structural Breaks’, *Journal of Econometrics*, 158, 25–38, 2010.

(with G.E. Mizon) ‘Econometric Modelling of Time Series with Outlying Observations’, *Journal of Time Series Econometrics*, 3(1), 2011, DOI: 10.2202/1941-1928.1100.

(with J.L. Castle and J.A. Doornik) ‘Evaluating Automatic Model Selection’, *Journal of Time Series Econometrics*, 3(1), 2011, DOI: 10.2202/1941-1928.1097.

‘Climate Change: Lessons for our Future from the Distant Past’, in Dietz, S., Michie, J. and Oughton, C. (eds.) *The Political Economy of the Environment*, Routledge, 19-43, 2011.

(with G.E. Mizon) ‘What Needs Re-thinking in Macroeconomics?’, *Global Policy*, 2, 176–183, 2011.

(with M.P. Clements) ‘Editors Introduction’, in *Oxford Handbook of Economic Forecasting*, M.P. Clements and D.F. Hendry (eds.), Oxford University Press, 1-6, 2011.

(with M.P. Clements) ‘Forecasting from Mis-specified Models in the Presence of Unanticipated Location Shifts’, in *Oxford Handbook of Economic Forecasting*, op. cit., 271–314, 2011.

(with J.L. Castle and N.W.P. Fawcett) ‘Forecasting Breaks and During Breaks’, in *Oxford Handbook of Economic Forecasting*, op. cit., 315–354, 2011.

‘On Adding Over-identifying Instrumental Variables to Simultaneous Equations’, *Economics Letters*, 111, 68–70, 2011.

**Michal Horvath** (Research Fellow) Michal continued his work examining the welfare effects of tax and benefit systems designed to stabilize individual welfare over the business cycle. In the first draft of a theoretical paper entitled *Savings, Inequality and Automatic Stabilization* (joint work with Charles Nolan from Glasgow University), the authors find significant welfare gains from automatic stabilization generated by progressive tax and benefit systems, particularly for the least well-off in a society. However, changes in the savings behaviour induced by such tax and benefit systems tend to act in the opposite direction, and are a magnitude larger in terms of their welfare effects. Most notably, the model predicts widening inequality as precautionary saving among the poor falls in response to the public insurance provided via the tax and benefit system, which is in line with empirical evidence. Conducting such policy experiments in a consistent manner requires maintaining a certain degree of accuracy in non-trivial numerical solution procedures across models with different tax and benefit systems. This issue and a simple solution to it are discussed in a paper entitled *Computational Accuracy and Distributional Analysis in Models with Incomplete Markets and Aggregate Uncertainty* (CDMA Working Paper No. 1105, University of St Andrews). Michal’s earlier work on alternative optimal debt reduction strategies is now under revision for the B.E. Journal of Macroeconomics, and will include new analysis concerning risk in public finances.

Michal presented his work in at the “Growth and Business Cycles” conference at Durham University, at the Midwest Macroeconomics Meetings at Vanderbilt University, at the Anglo-Italian-French macroeconomics workshop in Milan, and at the International Economic Association World Congress in Beijing. Michal has continued organizing macroeconomics seminars as well as internal macroeconomics workshops in the Department of

Economics. Michal has also lectured on methods of optimal monetary and fiscal policy design in the Advanced Macroeconomics M.Phil. module.

**Gwilym Hughes** (Supernumerary Fellow and Bursar). I continued to work on a number of University and Conference of Colleges' committees and working groups:

*The Oxford Staff Pension Scheme working group*

*The Energy Purchasing Task Group*

*The Estates Bursars' Committee*

*The Domestic Bursars' Committee*

In March 2011 I became one of Laurence Whitehead's Senior Pro-Proctors.

I am the director of the Oxford Intelligence Group which, thanks to the support of the College, has evolved into a widely known and respected forum for the presentation and discussion of work in the field of intelligence practice and intelligence studies. Highlights of the year included Christopher Andrew from Cambridge on 'One Hundred and One Years of MI5'; research from Chris Pocock which shed new light on the U2 overflights of the Soviet Union; and Sir Malcolm Rifkind's analysis of the role of the intelligence oversight committee in a parliamentary democracy. However, the main event of the year was a two day international conference in March 2011 on the 'Ethics of National Security Intelligence', held in conjunction with the Oxford Institute for Ethics, Law and Armed Conflict. There were 26 sessions ranging over the practical and theoretical problems of the ethics of secret intelligence, remote killing and torture, attended by over 60 people.

The search for a solution to the problem of managing Nuffield Place - the home of Lord Nuffield - has occupied me for most of my time as Bursar. As I have grown older, so has the house. It found its way into Simon Jenkins' 'England's Thousand Best Houses' (Allen

Lane, 2003) making Nuffield the only Oxbridge college with *two* entries. Jenkins is now Chairman of the National Trust and, after very detailed negotiations, the Trustees agreed to accept the house and gardens as a gift from the College with no endowment. We transferred the property on 30 June 2011, but look forward to exercising our right to hold annual garden parties and bowls matches in the delightful gardens in perpetuity.

**Iftikhar Hussain** (Research Fellow) I am in the process of concluding a study investigating the effectiveness of subjective performance evaluation in the public sector. In an effort to make public organizations more efficient, governments around the world have made increasing use of ‘hard’ performance targets to evaluate the quality of service delivery. Examples include student test scores for the schooling sectors in England, the US and Chile and hospital waiting times in the English public health care system. Accountability based on hard or objective performance measures has the benefit of being transparent but a potential drawback is that such schemes may lead to gaming behaviour. *Subjective* performance evaluation, on the other hand, holds out the promise of ‘measuring what matters’ if the evaluator can combine both hard and soft information to measure the outcome. However, a system where the evaluator is allowed to exercise his or her own judgement, rather than following a formal decision rule, raises a new set of concerns. At a theoretical level, these include regulatory capture, ‘influence activities’ and ‘favouritism’ all of which make the subjective measure ‘corruptible’. Empirical evidence on the effectiveness of subjective evaluation remains thin.

This study seeks to fill this gap by empirically evaluating a subjective performance evaluation regime for schools. The setting is the English state schooling system, where independent assessors or inspectors visit, monitor and report on the quality of schools. The inspectors have the opportunity to combine hard metrics, such as test scores, with softer ones, such as observations of classroom

teaching. There is almost no empirical evidence on whether such a system for the schooling sector works in practice. Specifically, I evaluate the effect of a fail inspection on students' subsequent test scores. In addition, I investigate whether teachers respond by strategically improving measured school performance. Given the strong incentives to demonstrate improvement by schools facing the fail sanction, simple incentive theory predicts such a reaction. Indeed, empirical evidence from US school accountability systems shows that schools under pressure of fail ratings respond by excluding low ability students from the test taking pool as well as outright cheating.

My overall findings show that the causal effect of a fail rating is to raise test scores a year after the inspection and that the largest improvements are observed for lower ability students. These effects last into the medium term, although there is evidence of fade-out. There is little evidence to suggest that teachers raise performance by 'gaming' the system. My interpretation of this finding is that oversight by inspectors limits such strategic behaviour.

**Ian Jewitt** (Official Fellow) has continued to research various aspects of information economics and decision theory.

1. With Sujoy Mukerji, having finally completed a long-standing project on "Ordering Ambiguous Acts," we turned to applying some of the ideas defined there. One project addresses how robust certain results in the theory of asset pricing are to the introduction of ambiguity aversion. In the simplest standard benchmark model of asset pricing with expected utility maximizing investors, it has long been understood that equilibrium asset prices satisfy an aggregation result. Prices are *as if* there is an aggregate representative investor who (also) has expected utility preferences. This is convenient since it imposes structure on prices and one can interpret risk premia in terms of the degree of risk aversion of an aggregate investor. In general, it is shown, this form of aggregation fails when investors have ambiguity averse preferences so the representative investor

generally has preferences fundamentally different from those of the individual investors comprising the economy. This complicates the interpretation of, for instance, ambiguity premia accruing to certain assets or to the market reaction to an increase in ambiguity. So far, special conditions have been identified under which aggregation does hold.

2. “Adverse Selection with Multivariate Public and Private Information”. With Clare Leaver and Heski Bar Isaac (NYU) we extended the work begun in “Information and Human Capital Management” to establish some reasonably general results about aggregation and effect reversal in adverse selection models. To see the effect reversal issue, consider Akerlof’s (1970) observations: (a) that because of adverse selection those buying life insurance have shorter residual lifetimes than those who do not. (b) the market for life insurance for the over 65’s is very small, since due to adverse selection the over 65’s find it hard to find insurance at an acceptable price. Combining the two, it is eminently plausible that (a) holds for under 65’s and over 65’s taken separately but not for the population as a whole. The issue is empirically significant since where data is imperfect it is possible that effect reversal phenomena explain failures to identify adverse selection in markets such as for used cars and experienced workers. Our contribution begins by defining adverse selection *quantitatively*, so that it becomes possible to analyse it as a random variable jointly distributed with other random variables of economic interest. Specifically, we define the quantity of adverse selection, as the difference in average quality of a population (defined by a realisation of publically available information) and the average quality of that part of the population selecting into the contract. Thus defined adverse selection is necessarily uncorrelated with the differences in the quality estimates (conditional expectations) based respectively on public and on private information. We pursue in detail the case where this lack of correlation is strengthened, by assumption, to statistical independence. This assumption generates easily interpretable results

consistent with the observation that effect reversal on the life insurance case above clearly arises because adverse selection falls most heavily on the old (who have shorter residual lifetimes than the young).

I have been serving on the Ministry of Justice Expert Panel for criminal legal aid competition and as a Member of their Procurement and Project Management Working Group. Elected as an Economic Theory Fellow by the Society for the Advancement of Economic Theory.

**Yuen Foong Khong** (Faculty Fellow) presented a paper on “International Society in East Asia: The Strategic Dimension” at a workshop on The English School of International Relations and East Asia at Fudan University, Shanghai, in May 2011. He also chaired a panel on Sino-U.S. Relations: Source of Stability or Instability at the 13<sup>th</sup> Asia Pacific Programme for Senior Military Officers organized by the Institute of Defence & Strategic Studies, Singapore, in August. He continues to work on his book project on International Politics: The Rules of the Game, trying out his arguments on a faculty/graduate student seminar in the Department of Politics and International Relations at Oxford during Michaelmas term.

### *Publication*

Book Review of Ang Cheng Guan, *Southeast Asia and the Vietnam War* (London and New York: Routledge, 2009), in *Pacific Affairs*, v. 84, 194-95, March 2011.

**Desmond King** (Professorial Fellow) continued research in American political development and comparative politics. He undertook work firstly on the executive politics of the American state, completing papers on US policy toward illegal immigrants with Ines Valdez (Ohio State University) and work on how the US state

standardizes public policy with Marc Stears (University College, Oxford). Second, he presented a paper co-authored with Robert Lieberman (Columbia University) at the 2011 American Political Science Association annual meetings on the “post-civil rights state,” which will be revised for publication. Third, building on a conference held in Oxford in 2010 jointly with Lawrence Jacobs (University of Minnesota), an edited volume entitled *Obama at the Crossroads* was delivered to the publishers. Fourth, collaborative research on race in American politics with Rogers M Smith (Pennsylvania) continued with several further papers drafted, one of which with third author Philip Klinkner “Barack Obama & American Racial Politics,” was published in *Daedalus*; a book manuscript entitled *Still a House Divided: Race and Politics in Obama’s America* completed; and a roundtable on the book held at the 2011 American Political Science Association annual meeting. How America’s pattern of racial divisions has interacted with the nation’s deep partisan polarization is the subject of a further paper. Fifth, collaborative research on the state and fiscal crisis with Patrick LeGales (Sciences Po), the history and diffusion of eugenics with Randall Hansen (Toronto) and conditionality in workfare policy with David Rueda (Merton College, Oxford) continued, and will lead to future publications. I wrote and presented a paper about the euro crisis and Ireland to a couple of audiences. I gave various talks during the year including seminar presentations at Sciences Po in Paris (twice), the Johns Hopkins Bologna Center, the Lee Kuan Yew School of Public Policy Singapore, Trinity College, Dublin, Ohio University, Athens Ohio, University of Minnesota, and the SASE conference in Madrid.

For the University King served as a member and chair of the Executive Committee of the Rothermere American Institute and as a member of the Department of Politics & IR’s Research Committee. In October 2010, he ended his period as a Delegate of Oxford University Press. He is a Fellow the British Academy (where he is also serves on the International Policy Committee) and a member of

several editorial boards including the *British Journal of Political Science* and the *International Political Science Review*.

*Publications*

“Barack Obama & American Racial Politics,” (with Rogers M Smith and Philip Klinkner), *Daedalus*, vol. 140 (2) Spring 2011.

“The Strength of the Weak State Restated:” Contribution to a symposium on *Inventing Equal Opportunity* by Frank Dobbin” *Sociologie du Travail*, 30, 2011.

“Varieties of Obamism: Structure, Agency and the Obama Presidency,” (with Lawrence R Jacobs) *Perspectives on Politics*, Vol 8, 793-802, September 2010.

Contribution to Review Symposium on Loic Wacquant’s *Punishing the Poor*, *British Journal of Criminology*, 50 no.2, 2010.

“The Critics and Beyond” (with Fiona Ross) in S Leibfried et al eds. *The Oxford Handbook of the Welfare State*. Oxford University Press, 2010.

**Paul Klemperer** (Professorial Fellow) My attempts to provide public service led to some entertaining situations this year. The US Department of Justice spent several months investigating whether it would violate the US Constitution for the US government to take my (pro bono) advice, because UK government departments were simultaneously talking to me. (Luckily, it was determined that since my advice to the UK was also free, I was not construed to be "an agent of a foreign power", so no one would have to go to jail for speaking to me.)

Meanwhile, the Morning Star (organ of the Communist Party of Britain) published an attack on the UK government for having anything to do with me - though the newspaper did profess itself

uncertain whether the fact that I am sometimes described as a "game theory expert" meant that I was an Xbox enthusiast, or a World Of Warcraft champion. (Fortunately, my children could explain to me what these things are; the newspaper was also completely wrong about what policies I was advising on.)

Back in the real world, I spent time, and gave talks, at the Central Banks of each of the US, the EU, Canada, and the UK. The new auction I designed for the Bank of England is running regularly, and successfully. I hope further developments of this work (joint with Nuffield doctoral student Elizabeth Baldwin) will be of academic significance, as well as of practical importance in a range of non-financial as well as financial contexts--a short informal article appeared in Oxford Blueprint here:

<http://www.paulklempere.org/BoE.pdf>.

I continue to lecture to the MPhil in the economics department, and the MFE and MBA at the Business School. Research seminars I gave included an Invited Lecture to the Canadian Economics Association Annual Meetings, and the Plenary Lecture at the Spanish Jornados. I will give the British Academy's Keynes Lecture in October.

**Heike Klüver** (Postdoctoral Research Fellow) During the academic year 2010/2011, I have been working on a book manuscript, on several journal articles and on three grant proposals. The book manuscript sheds light on the impact of interest groups on European policy-making and shows that lobbying is a collective enterprise in which information supply, citizen support and economic power of entire lobbying coalitions of like-minded interest groups account for variation in interest group influence. In addition, I have written four articles based on my Ph.D. research of which one has already been published and three are currently under review. I have recently been awarded the Best PhD thesis prize of the University Association for Contemporary European Studies for my

doctoral dissertation on which the book manuscript and the articles are based.

I have moreover been involved in several collaborative research projects on European political parties, legislative politics and interest groups in the European Union with colleagues from Oxford, Mannheim, Barcelona and Grenoble. Papers emanating from this collaboration are partly already under review and will partly be presented at international conferences this summer. I have also been working on three grant proposals for upcoming research projects. The first project seeks to enhance the study of framing and policy processes in the EU. I will conduct this project in collaboration with Frank Baumgartner and Christine Mahoney and we have recently been awarded a research grant of the American National Science Foundation. The aim of the second research project is to explain agenda-setting in the European Union by examining why the European Commission takes up some issues on its legislative agenda while ignoring others. In the third research project, I will study the link between citizens, interest groups and legislative activity based on a longitudinal study of interest representation in Germany between 1973 and 2010. I have recently been awarded a Small Research Grant by the British Academy to conduct this research project at Nuffield College in the academic year 2011/2012.

Finally, I have co-organized an international conference on the "Transformation of the State" which took place at Oxford this May.

### *Publication*

‘The contextual nature of lobbying: Explaining lobbying success in the European Union’, *European Union Politics*, 2011. Published online. <http://eup.sagepub.com/content/early/2011/06/29/1465116511413163.full.pdf+html>

**Johan Koskinen** (Research Fellow) During the last year Dean Lusher, Garry Robbins and I have worked on putting the finishing touches to an edited volume on statistical modeling of social networks (with contributions from for example Tom Snijders and former Nuffielder Nick Harrigan) particularly aimed at giving an accessible account of the modeling, fitting and interpretation of exponential random graph models for social scientists. The book is under contract with CUP and should go to print in the near future. More specialized are two in press articles; one deals with parsing out the effect of geographical proximity and endogenous, network mechanisms, on the probability of tie-formation between people (Daraganova et al.); the other concerns Bayesian inference for sampled and incompletely observed social network data (Koskinen, Robins and Pattison). A related paper with a more explicit focus on “covert” (unobserved actors) and “fuzzy” (actors with unobserved attributes) actors is now submitted.

Another conception of network dependencies is represented by the paper with Stenberg (now in press), where we go beyond thinking of influence of peers on educational decisions of individuals as merely a compositional phenomenon. Just as we routinely take contextual dependencies into account through multilevel models, we propose a model for taking dependencies stemming from best friend nominations into account.

My main research focus at Nuffield has been longitudinal social network analysis. A paper on likelihood-based inference with Snijders and Schweinberger was published last year. The work I have done with Snijders on incorporating latent classes in the co-evolution of networks and behavior is being implemented in the RSiena program suite and the final results were presented at a conference in Florida. In addition, Edling and I have developed a related statistical model for ascertaining to which extent there is evidence for the appointment of board members on corporate boards to be driven by a mechanism of peer referral. Results suggest

the presence of inequality reproducing practices such as homosocial reproduction.

I joined the Social Statistics Discipline Area at the University of Manchester as a lecturer in January but have continued the close collaboration with Tom Snijders and the RSiena development team at Nuffield. Related to this is disseminating statistical network analysis to researchers in social science further afield, for example through workshops (e.g. in Zurich, last September with Snijders; and at the ASA meeting in Tilburg in May), attending the RSS social networks event (March) and the advanced RSiena user group master classes in Konstanz (April).

### *Publications*

(with G. Daraganova, P. Pattison, Mitchell, Bill, Watts, & Baum) 'Networks and geography: modelling community network structures as the outcome of both spatial and network processes', *Social Networks*, in press.

(with Edling) 'The evolution of a bi-partite network - Peer referral in interlocking directorates', *Social Networks*, in press.

(with Stenberg, S-Å) 'Bayesian Analysis of Multilevel Probit Models for Data with Friendship Dependencies', *Journal of Educational and Behavioural Statistics*, in press.

(with G. Robins & P. Pattison) 'Analysing Exponential Random Graph ( $p$ -star) Models with Missing Data Using Bayesian Data Augmentation', *Statistical Methodology*, 7, 366-384, 2010.

**Seth Lazar** (Non-Stipendiary Research Fellow) I've spent much of this year divided between having a baby and finding a job, but outside of that I've written papers on The Morality and Law of War, and Jus Post Bellum, to be published in the Routledge Companion

to the Philosophy of Law and an edited volume (with CUP) on Jus Post Bellum. I've also written a new paper on associative duties and the ethics of killing, and conducted extensive research into noncombatant immunity and the effectiveness of targeting civilians, with one eye on producing several articles, another on my book (under contract with OUP). I also wrote a paper on national defence for an edited volume that I conceived and am co-editing with Cecile Fabre, for which we wrote a proposal that has been approved by OUP (though contract will depend on the final manuscript). I've guest edited a symposium edition of *Ethics*, which was accepted just recently. I've written a review for *Mind*, as well as acting as a referee for various journals, including *Ethics*, *Journal of Moral Philosophy*, *Journal of Applied Philosophy*, *International Theory*, and *British Journal of Political Science*. I organised a workshop at Nuffield in October, on national defence. I've given talks at several universities in the US (Stanford, Toronto, Wash U, Chicago). I have finished the year at the Hebrew University of Jerusalem on a visiting fellowship, as part of their programme on Sovereignty, Global Justice and the Ethics of War. During my time here, I have organised a two-day conference on War and Global Justice, and written a paper on necessity in individual self-defence and war. Finally I've contributed to advisory consultation on the new US code of professional military ethics. My job search was successful, and in September I head to the Philosophy Department at the Australian National University, for a continuing research fellowship.

**Kenneth Macdonald** (Faculty Fellow). I have completed work (with Peter Kemp) on worklessness and social housing, done some more thinking-through of the policy implication of a proper understanding of risk, and derived much (no doubt unseemly) pleasure from detecting a major data analytic nonsense in some recent work by Esping-Andersen. That latter also reopened discussion of the unjustifiability of running with complex interpretations of data when formally equivalent, but substantively

different, narratives suffice. Am finishing a piece on an infelicity in Stata's handling of missing data (along with a corrective algorithm), and have started exploring (with Michele Jackson) some analyses of East European social mobility. During the year I wasted more time than I should in trying to leverage *academic* input into the University's proposed eleven million pound project to replace its central student software. 'Wasted' because, whilst we shall probably get a minimally satisficing system, it will be identifiably less useful and innovative than it could have been had the centre thought-through the project, and used the expertise available throughout the University.

Winnowing filing cabinets in preparation for the transition out of paid employment, I am reminded – again – of an embarrassing number of unfinished projects. The more interesting of these – particularly on the selection of variables into substantive models, and on the entailments, and interconnections, of trapdoor functions and adventitious goods – require some hard thinking. Cessation of teaching and administration leaves fewer excuses (parenthetically, College, with its Emeritus Fellow scheme, is more imaginative and helpful than the University in supporting post-employment productivity).

### *Publications*

(with G. Macdonald) 'Safeguarding: A Case for Intelligent Risk Management', *British Journal of Social Work*, 40, 1174-1191, 2010.

'Family Investments in Children: What the Interactions and the Data Do Not Say', *European Sociological Review*, 27, 281-286, 2011.

**Lars Malmberg** (Non-stipendiary Research Fellow) is a Research Councils UK (RCUK) Academic Fellow 2007-2012, and senior researcher at the Department of Education. The overarching aims of his 5-year research programme titled "Teaching and Learning: An

advanced quantitative approach', are to (1) carry out original and substantive research on children's, youths', student teachers' and teachers' perceptions of, and self-related beliefs about learning in interactive learning contexts, (2) apply state-of-the-art quantitative methods (e.g., Structural Equation Models and Multilevel Models) in primary and secondary datasets, and (3) to synthesize empirical findings within theoretical perspectives in psychology and education.

The fourth year of his fellowship has seen further disseminations of findings from a range of projects and collaborations. He was invited as visiting fellow at Monarch University, Australia in later 2010, here he furthered collaborations on teacher development. Some recent publications include:

### *Publications*

(with R. Eynon 'A typology of young people's Internet use: implications for education' *Computers and Education*, 56, 585-595, 2011.

(with E. Flouri 'Child temperament and paternal transition to non-residence' *Infant Behavior and Development*, 33, 689-694, 2010. [Dec issue]

(with E. Flouri 'Gender differences in the effects of childhood psychopathology and maternal distress on mental health in adult life' *Social Psychiatry and Psychiatric Epidemiology*, 46, 533-542, 2011.

(with E. Flouri 'The comparison and interdependence of maternal and paternal influences on child mental health and resilience' *Journal of Clinical Child and Adolescent Psychology*, 40, 1-11, 2011.

(with H. Hagger 'Student teachers' goals and future time extension, concerns, and well-being' *Teaching and Teacher Education*. 27, 598-608, 2011.

(with H. Hagger, K. Burn, T. Mutton, and H. Colls, 'Observed classroom quality during teacher education and two years of professional practice' *Journal of Educational Psychology*, 102, 916-932, 2011.

(with P. Mwaura, and K. Sylva, 'Effects of a pre-school intervention on cognitive development among East-African pre-school children: A flexibly time-coded growth model' *Early Childhood Research Quarterly*, 26, 124-133, 2011.

(with K. Sylva, A. Stein, P. Leach, J. Barnes, and FCCC 'Effects of early child care on cognition, language and task-related behaviours at 18 months: an English study' *British Journal of Developmental Psychology*, 29, 18-45, 2011.

**Guy Mayraz** (Research Fellow) continued his research in behavioural economics, focusing primarily on the theory, evidence, and implications of wishful-thinking bias: A theory paper offering an axiomatic and non-strategic model of wishful-thinking was completed and submitted. An important implication of the model is that wishful-thinking affects and any all subjective judgements, including in situations in which the cost of biased decisions is high. This contrasts with the prediction of strategic models of wishful-thinking, which model the bias as an optimal choice, and predict little or no bias if the cost of biased beliefs is high. An experimental paper was also completed. The experiment tested the hypothesis that wishful-thinking affects decisions in any and all situations involving subjective judgement. Subjects observed the historical price chart of a financial asset, and received both an accuracy bonus for predicting the price at some future point, and an unconditional award that was either increasing or decreasing in this price. Despite incentives for hedging, subjects gaining from high prices made significantly higher predictions than those gaining from low prices. The magnitude of the bias was smaller in charts with less subjective uncertainty, but

was independent of the amount paid for accurate predictions.

The experimental paper was presented at the Paris School of Economics, the European School of Management and Technology (ESMT) in Berlin, and at the Economic Science Association annual meeting in Chicago, as well as internally in Oxford.

Work has proceeded also on implications of wishful-thinking for choice over time, including such phenomena as the escalation of investments, and belief polarisation. A first version of the paper is expected to be completed over the summer.

Subjective well-being was a secondary focus. This included preliminary research with Ray Duch on life-satisfaction and voting choice, and initial work with Zhonglu Zeng studying subjective well-being in the casino industry in Macao.

### *Publication*

(with D. Gerstorf and N. Ram (eds.)) 'Late-Life Decline in Well-Being Across Adulthood in Germany, the UK, and the US', *Psychology and Aging*, 2010, vol. 25(2), 477-485.

**Iain McLean** (Official Fellow) continued to work in UK public policy research.

The election of an SNP-majority Scottish government means that my previously-reported, and continuing, work on fiscal federalism in the UK has become more topical than ever. Other public policy work covered the UK constitution and electoral systems. In the autumn I breathed the pure air of Thomas Jefferson's mountaintop. My Scottish work featured at hearings before Select Committees and Bill Committees, as the Scotland Bill, informed by our work for the Calman Commission on Scottish Devolution, described in previous Annual Reports, made its way through first the Scottish and then the UK Parliament. The Scotland Bill will be the springboard for any proposals for "full fiscal autonomy" or independence that the new Scottish Government may make.

Other constitutional work focused on two bills introduced by the UK coalition government: one to equalise parliamentary electorates, and the other to create an elected upper house. In both cases, I discussed the electoral and apportionment issues with the Bill teams in the Cabinet Office. My (and other apportionment academics’) advice was accepted. As a result, the statutory Rules for the Redistribution of Seats no longer contradict one another. With Peyton Young and two others, I wrote a British Academy booklet explaining the issues, which was extensively cited and widely praised in the parliamentary debates.

During my stay on Mr Jefferson’s estate at Monticello, VA, USA, I completed earlier work on ‘Jefferson in Paris’ and started a new project on ‘Jefferson, the Scottish Enlightenment, and the First Amendment’. This is part of a larger project on “State accommodation of religious truth claims” for which I and my co-applicant Scot Peterson are seeking grant funding.

I gave papers at George Mason, Temple University, the University of Pennsylvania, and Edinburgh, and at various academic and public policy conferences.

I served for a final year on the Executive of the Political Studies Association of the United Kingdom (PSA). I have been nominated for the position of Vice-President (public policy) of the British Academy with effect from summer 2012.

### *Publications*

M. Balinski, R. Johnston, I. McLean and H.P. Young, *Drawing a New Constituency Map for the United Kingdom: the Parliamentary Voting System and Constituencies Bill 2010*. London: British Academy Policy Centre, 2010. ISBN 978 0 85672 591 3

I. McLean and S. M. Peterson, ‘Adam Smith at the Constitutional Convention’, *Loyola Law Review* 56(1), 95-133, 2010.

I McLean, oral and written evidence to Scottish Affairs Committee, House of Commons, February 2011. Written evidence at House of Commons, Scottish Affairs Committee, *The Scotland Bill: written evidence*:

<http://www.parliament.uk/documents/commons-committees/scottish-affairs/Written%20Evidence.pdf>.

Oral evidence at:

<http://www.publications.parliament.uk/pa/cm201011/cmselect/cm/scotaf/uc775-iv/uc77501.htm>, published as HC 775-II, pp. Ev69-Ev84.

I McLean, oral and written evidence to Political and Constitutional Reform Committee, House of Commons, January 2011. In PCRC, *Constitutional Implications of the Cabinet Manual: Sixth Report of Session 2010-11* vol 1. HC 734, ISBN 978 0 215 55714 8, pp. Ev 1-Ev 16 and Ev 39-Ev 40.

I McLean, oral and written evidence to Scotland Bill Committee, Scottish Parliament, January 2011. Written evidence at:

<http://www.scottish.parliament.uk/s3/committees/scotBill/ScotlandBill.htm>. Oral evidence, *Official Report* 11 January 2011.

I. McLean and S. Peterson, ‘A Uniform British Establishment’ in M. Chapman, J. Maltby and W. Whyte eds., *The Established church: past, present and future*, London: T&T Clark, 141-57, 2011.

**Antonio Mele** (Non-stipendiary Research Fellow) joined Nuffield College in September 2009 after his PhD in Economics at Universitat Pompeu Fabra (Spain) and just concluded his second year in Oxford. During the last year, he kept working on several projects on dynamic contracts and optimal fiscal and monetary policy.

The paper “Strategic Default” analyses a long-term partnership where the principal is fully committed while the agent can break the

contract in each period. Moreover, the agent has control over the surplus, and can divert some resources to invest in activities that increase his outside option. The crucial assumption is that the principal cannot observe how much surplus is diverted by the agent. When there is full information, Marimon and Rendahl (2009) show that the optimal contract exhibits indeterminacy: there are many paths for consumption and investment that deliver the same value, most of them time inconsistent. I show that, under private information, there is a unique equilibrium that is always time consistent. The optimal contract exactly matches the outside option of the agent at each history of the game, the outside option keeps increasing up to the point in which incentives to invest in outside opportunities disappear. This happens in finite time, therefore the contract displays full efficiency in the long run. This is a microfoundation for a theory of downward-rigid wages.

The paper “Mirrleesian Taxation of Family Income” (joint with Luigi Balletta) analyzes a case of Mirrleesian static taxation of family labor income, where the family maximizes the sum of (quasi-linear) utilities of husband and wife, and there is a continuum of types and labor supplies. This problem does not admit a closed form solution, therefore we use a numerical approach to solve for the optimal policy. First we assume that both members of the family work (i.e., their labor supply cannot be zero), and we generalize the result of negative jointness in Kleven, Kreiner and Saez (2009) for some parametric distributions of the skills. We are still investigating if this result survives under the assumption that some member of the family can decide not to work. Another technical difficulty is to characterize bunching regions, and we are developing a numerical technique to implement the sweeping procedure of Rochet and Choné (1998).

The paper “Money and Development” (joint with Radek Stefanski) tries to explain why monetary aggregates as a fraction of GDP grow during development. We assume that people first want to satisfy their food needs, then they can consume other goods. We

show that this stylized fact can be explained both in a CIA model and in a microfounded search-theoretic setup. The intuition is that food is a homogenous good which doesn't need lot of money to be produced and traded, while more money is necessary once the economy starts producing differentiated goods. One important implication is that inflation is more distortionary for growth in developed countries rather than developing ones.

In the paper "Unemployment insurance, human capital and financial markets", he investigates what is the optimal insurance scheme for workers that accumulate human capital during their lifetime and have access to private savings/borrowing opportunities. Preliminary results show that the optimal allocation can be implemented through a system of saving accounts where the worker deposits a fraction of his income when working and withdraws from the account when unemployed. The scheme is progressive: high skilled workers pay more and withdraw less. Moreover, the scheme is more generous with young workers.

Work in progress with Andrea Caggese is devoted to understand how frictions in both equity and credit markets affect the financing decisions of the firms and then how they affect the business cycle dynamics.

He presented his works at Midwest Macro Meetings 2011 in Nashville (USA), CEF 2011 Conference in San Francisco (USA), Applied Economic Workshop 2011 in Petralia Sottana (Italy), FRDB 2011 Labor Economics Workshop in Milan (Italy), EEA-ESEM 2011 Conference in Oslo (Norway).

He taught a course in numerical techniques for macroeconomic analysis at the 2<sup>nd</sup> year MPhil in Economics at Oxford, and an introductory course to Matlab for 1<sup>st</sup> year MPhil in Economics.

**Margaret Meyer** (Official Fellow) continued research on a range of topics in the economics of information and incentives, focusing on four main projects:

1) ‘Increasing Interdependence in Multivariate Distributions’ is joint work with Bruno Strulovici (Northwestern, formerly Nuffield Prize Postdoctoral Research Fellow). In many economic contexts, it is of interest to know whether one set of random variables displays a greater degree of interdependence than another. This project explores several orderings of interdependence for multivariate distributions, establishes the relationships among the orderings, both in general as well as in important special cases, and illustrates their application to economic problems.

Orderings of interdependence are applicable in several welfare-economic contexts. In many group settings where individual outcomes (e.g. rewards) are uncertain, members of the group may be concerned, *ex ante*, about how unequal their *ex post* rewards will be. Comparisons of reward schemes then require comparisons of the degree of interdependence of the random rewards. Another welfare-economic example concerns comparisons of inequality when separate data are available on attributes such as income, health, and education. As long as the function aggregating the different attributes into an overall measure of welfare or deprivation is not additively separable across attributes, comparisons of multidimensional inequality will necessitate comparisons of the degree of interdependence among the attributes.

In finance and insurance, valuing portfolios of assets or insurance policies requires assessing the degree of interdependence among asset returns or insurance claims. Financial economists and macroeconomists are, moreover, increasingly interested in measures and comparisons of ‘systemic risk’ in financial and economic systems to capture the interdependence in the returns of different institutions, sectors, or regions.

We explore five orderings of interdependence for multivariate distributions: greater weak association, the supermodular ordering, the convex-modular ordering, the dispersion ordering, and the concordance ordering. We show that for two dimensions, all five orderings are equivalent, whereas for an arbitrary number of

dimensions  $n$  strictly greater than 2, the five orderings are strictly ranked. For the special case of binary random variables, we establish some equivalence results among the orderings.

2) ‘The Supermodular Stochastic Ordering’ (joint work with Bruno Strulovici) uses the stochastic dominance approach to study orderings of interdependence for  $n$ -dimensional random vectors. We argue that ‘supermodularity’ of an objective function is a natural property to capture a preference for greater interdependence, and we characterize the partial ordering on  $n$ -dimensional distributions which is equivalent to one distribution's yielding a higher expectation than another for all supermodular objective functions. Though the ‘supermodular stochastic ordering’ has previously been characterized for the special case of bivariate distributions, our results apply to random vectors with an arbitrary number of dimensions, and exploit duality in polyhedral description of the ordering. In particular, we show that supermodular dominance is equivalent to one distribution being derivable from another by a sequence of nonnegative ‘elementary transformations’. We develop several methods for determining whether such a sequence exists. For the special case of random vectors with conditionally i.i.d. components (‘mixture distributions’), we provide sufficient conditions for supermodular dominance; these conditions have a natural interpretation as a non-parametric ordering of the relative size of aggregate vs. idiosyncratic shocks. We also characterize the symmetric supermodular ordering and provide a set of sufficient conditions for symmetric supermodular dominance. Finally, we describe applications of our approach and results to a range of questions in welfare economics, matching markets, social learning, insurance, and finance.

Meg presented this work at Yale University in April and at the European Summer Symposium in Economic Theory in July.

3) ‘Gaming and Strategic Ambiguity in Incentive Provision’ is joint work with Richard Holden (Chicago and Sydney) and Florian Ederer (UCLA). A central tenet of economics is that people respond to incentives. While an appropriately crafted incentive

scheme can achieve the second-best optimum in the presence of moral hazard, the principal must be very well informed about the environment (e.g. the agent's preferences and the production technology) in order to achieve this. Indeed it is often suggested that incentive schemes can be gamed by an agent with superior knowledge of the environment, and furthermore that lack of transparency about the nature of the incentive scheme can reduce gaming. We provide a formal theory of these phenomena. We show that ambiguous incentive schemes induce more balanced efforts from an agent who performs multiple tasks and who is better informed about the environment than the principal is. On the other hand, such ambiguous schemes impose more risk on the agent per unit of effort induced. By identifying settings in which ambiguous schemes are especially effective in inducing balanced efforts, we show that, if tasks are sufficiently complementary for the principal, ambiguous incentive schemes can dominate the best deterministic scheme and can even completely eliminate the efficiency losses from the agent's better knowledge of the environment.

Meg presented this research at a conference on 'Gaming Incentive Systems: Theory and Evidence' in Bonn in June.

4) 'Designing Promotion and Hiring Procedures with Biased Evaluators' is joint work with Christopher Avery (Harvard). We study settings where hiring or promotion decisions are based on recommendations by informed, but potentially biased, evaluators. Evaluators may be biased in favor of those they are evaluating for either psychological or self-interested reasons. We examine to what extent partisan evaluators can be effectively disciplined by the knowledge that their recommendations today will affect how much their advice is relied on in the future. Formally, we analyze a repeated cheap-talk game with one principal, one evaluator, and in each of two periods, one (passive) candidate, whom the principal must choose whether or not to hire, on the basis of the evaluators report. The evaluator privately observes the current candidates

ability at the start of each period. The principal begins the game uncertain about the evaluators degree of bias, which may be either low or high. We find that a concern with preserving a reputation for objectivity will induce both types of evaluator to be 'tougher' in their early evaluations; however, while this raises the value to the organization of the advice from the more biased evaluator, it may lower the value of the advice from the less biased one. Furthermore, and paradoxically, we show that these reputational incentives can actually reduce the principal's ability to make inferences from early recommendations about the evaluators degree of bias. We demonstrate that the overall effect of making evaluators care about their reputations can be either beneficial or detrimental to the organization, and we identify which features of the environment make each of these possibilities more likely.

Meg presented this research at MIT in April and at the Fourth Transatlantic Theory Workshop in Paris in September.

Meg served as the organizer of the 20<sup>th</sup> anniversary edition of the European Summer Symposium in Economic Theory at Gerzensee, Switzerland. This year's symposium was devoted to theoretical perspectives on financial crises. She also served on the organizing committees of i) the conference on 'Gaming Incentive Systems: Theory and Evidence' in Bonn in June and ii) the conference at Nuffield in March celebrating '100 Years of International Women's Day'.

**David Miller** (Official Fellow). One difficult task undertaken in the early part of the year was to develop his paper on 'Testing the National Identity Argument' into a form suitable for journal publication. He decided that it was not enough to cite other researchers' data analysis second hand, so he recruited Sundas Ali, a Nuffield graduate student, as co-author to strengthen the empirical side of the paper. The particular claim to be tested was that national identities serve as a source of solidarity that in turn fosters support for economic redistribution and the welfare state – plausible, but, as

it turns out, hard to prove. One problem is to find a way of measuring the strength of national identities: one would like to be able to measure their collective strength, over time and in different countries, but the available data concerns how strongly *individuals* identify with their nation. Different ways of measuring identity are used in different surveys. On some measures, we find that strong identifiers are a little less likely to support social justice than weaker identifiers, although those who claim to have no national allegiance at all (rootless cosmopolitans?) are often the most inegalitarian in their views. The problem here is to disentangle national identity from overall (left-right) political ideology. We conclude that, as yet, the national identity argument as a general hypothesis about public opinion in liberal democracies is neither proved nor disproved (as some have claimed) by the existing data.

In April he attended a conference at the Goethe University of Frankfurt on G.A. Cohen and socialism, and for this wrote a paper revisiting the 1980s debate about market socialism. In 1989 he and Cohen had co-convened a seminar on socialism in response to the collapse of the Soviet Union, but debate at that time had to some extent been clouded by the political circumstances. Cohen's critical attack on market socialism was nevertheless repeated in his posthumously published short book *Why Not Socialism?*, so this was an opportunity to disentangle the various left critiques of market socialism in a context in which even moderate market socialist proposals now look wildly utopian.

A third stream of research concerned human rights, where he worked on two separate papers. One defended the idea of basic human needs as the best way of grounding human rights (as opposed, for example to Sen's idea of capabilities or Griffin's idea of agency). The other examined the question of whether human rights could be forfeited by malefactors. We often announce that human rights are unconditional and inalienable, yet in practice we routinely appear to violate them when we fight wars or punish criminals by imprisoning them. It is less easy than it might seem at first sight to

reconcile doctrine and practice. The second paper defends the idea of forfeiture, but also identifies a subset of human rights, such as rights against torture, that cannot be forfeited no matter what.

The first of these papers was presented at a conference at the University of Stirling in March, and the second at a conference for graduate students of political philosophy in Scandinavia organised by Aarhus University in Denmark. Both papers were also delivered during a two week visit to Japan in July, visiting five universities, the second as the Kobe Lecture in Kyoto. In the course of the year, he also gave lectures or seminars at the following universities: Basel, Bradford, Cambridge, Hamburg, London School of Economics, and St Andrews. Editing, refereeing, and graduate student supervision (around 15 in total) continued to take up a significant amount of time.

### *Publications*

‘Against Global Democracy’ in K. Breen and S. O’Neill (eds.), *After the Nation: Critical Reflections on Post-Nationalism*. Basingstoke: Palgrave Macmillan, 141-60, 2010.

‘In Defence of Weighting: a reply to Robert van der Veen’, *Critical Review of International Social and Political Philosophy*, 13, 561-66, 2010.

‘Taking up the Slack? responsibility and justice in situations of partial compliance’ in C. Knight and Z. Stemplowska (eds.), *Responsibility and Distributive Justice*. Oxford: Oxford University Press, 230-45, 2011.

‘Property and Territory: Locke, Kant, and Steiner’, *Journal of Political Philosophy*, 19, 90-109, 2011.

**Colin Mills** (Faculty Fellow) During 2010-11 I have been on sabbatical leave. From September until the start of April I was, through the generosity of Prof. Dr. Hans-Peter Blossfeld , a guest researcher of the Nationales Bildungspanel, Otto-Friederichs-Universität, Bamberg. This was the perfect environment in which to make progress with several of my research projects.

While there I worked with Dr Ursula Henz on two papers – one on work-life balance the other on trends in assortative mating in Great Britain both of which are currently under consideration at journals. I also started working on a small project about social stratification in the Britain during the inter-war period. I presented early results from this project – on class differences in secondary school attendance and on the apparent consequences of military service on occupational attainment – at seminars in Bamberg and at the April 2011 meeting of the ISA RC28 at the University of Essex.

My work on assortative mating and inter-war stratification were linked – at least at the methodological level – by a need to clear up some outstanding difficulties in the coding of occupational data to the categories of the Hall-Jones scale. Barring the discovery of hitherto unknown sources some of the difficulties will, in all likelihood remain unsolvable. Others, however turned out to be more tractable once one looks in more detail at how the categories of the scheme have in fact been applied. Detailed examination of codes and coding procedures revealed that some apparent anomalies were the result of misunderstandings or simple coding errors.

Since returning to Britain I have also been making progress on a paper that examines social class mobility trends over the last 60 years in the UK using what I believe to be the largest amount of consistently coded data that has ever been brought to bear on the issue. Once finished we should know, beyond reasonable doubt ,what the full range of extant data allow us to say. It will be interesting to find out whether there is anyone who wants to listen.

**Christiaan Monden** (Faculty Fellow) This was my first full academic year in Oxford. On the research side, I continued to work on the family and health nexus in developing countries with Jeroen Smits. We worked on various issues concerning child mortality, gender, height, female education and partner formation. I presented some of this work at the annual meeting of the Population Association of American (PAA) in Washington and at the Nuffield Sociology Seminar. We submitted papers on maternal education and the gender bias in under-five mortality and on female education, health and union formation. To look at competition between boys and girls we constructed a sample of all twin pairs in the DHS surveys. In opposite sex twin pairs, boys and girls compete directly for scarce resources.

Another continuing strand of work concerns the association between health and divorce (in European countries). I presented work on this in Nijmegen, Manchester and again at the PAA in Washington and I finally found some time to submit a paper on the heterogeneity of divorce effects. I'm starting a new project in this strand with Pekka Martikainen (Helsinki) using Finnish registry data that allows us to observe both (ex-)spouses before and after divorce.

On the admin side, it was interesting, although time-consuming, to be involved in the graduate admissions and chairing the Examiners for the Sociology Department. In Hillary Term, I organized the Nuffield Sociology Seminar. Outside Oxford, I coordinate the Family sessions at the 2011 annual meeting of the British Society of Population Studies.

### *Publications*

(with Josja Rokven) 'Scheiding altijd schadelijk voor welbevinden? [Is divorce always harmful for well-being?]' *Demos*, 27, 2, 4-6, 2011.

(with Matthijs Kalmijn) 'Poverty and union formation among never-married single mothers in the Netherlands, 1989-2005' *Population Studies*, 64, 3, 263-274, 2010.

(with Jornt Mandemakers and Matthijs Kalmijn) 'Are the effects of divorce on psychological distress modified by family background?' *Advances in Life Course Research*, 15, 1, 27-40, 2010.

**John Muellbauer** (Official Fellow) continued his research on monetary policy, credit and housing markets but also worked on exchange rate pass-through to inflation. He also became a member of the Institute for New Economic Thinking at the Oxford Martin School and a Senior Fellow of the School. His 1980 paper with Angus Deaton, 'An Almost Ideal Demand System' in the *American Economic Review* was selected as one of the top twenty papers published in the first one hundred years of that journal. He was elected to a visiting Wim Duisenberg Fellowship at the European Central bank for 2012.

With Janine Aron he completed a paper on modelling and forecasting mortgage possessions in the UK using regional data from the county courts. This gave results broadly in line with their previous work on aggregate UK data on possessions and arrears, confirming the sensitivity of defaults to debt service ratios, negative equity, unemployment and forbearance and income support policies.

Work was completed with David Williams on tracing through the effects of credit market liberalisation in Australia on consumption, housing equity withdrawal, house prices and mortgage debt, while parallel projects on the US with John Duca and Anthony Murphy of the Federal Reserve at Dallas made further progress. He began a similar project for Canada with David Williams and senior researchers at the Bank of Canada. With Janine Aron he analysed these issues for South Africa. With Keiko Murata he worked on understanding the role of demographic change for household saving in Japan. He wrote articles for the *International Encyclopaedia of Housing*

*and Home*, Elsevier on “Housing markets and the macro-economy” and on “Monetary policy, wealth effects and housing”. With Janine Aron, John Duca, Anthony Murphy and Keiko Murata, he finalized a paper comparing the distinct roles of housing for consumption in the UK, US and Japan and contrasting the sharply different ways in which monetary policy affects household spending in these countries. One symptom of the increasing impact of these ideas was their use in the 2011 Economic Report of the US President.

With Janine Aron, Greg Farrell of the South African Reserve Bank, and Peter Sinclair, he completed a paper analysing the impact of exchange rate changes on import prices in South Africa, and with Janine Aron, Kenneth Creamer and Neil Rankin on exchange rate pass-through to a highly disaggregated set of consumer prices in South Africa.

He gave a talk on Housing Finance and Financial Stability: The UK Experience, c.1870–2008 at the Wilton Institute Conference, November 2010 in Oxford. With Janine Aron, he had an advisory meeting with the South African Finance Minister and entourage in November, 2010, Oxford Martin School. He gave a talk on current monetary policy issues and resolving the Euro zone crisis at Cheuvreux Bank, London in December. He gave a presentation on econometric modelling for bank risk assessment at a Lloyds Bank Group conference, London in February. He presented his paper with Janine Aron on the regional mortgage possessions outlook at the Dept. for Communities and Local Government in March and at the Spatial Economics Research Centre Conference, LSE, May.

At the ESEM and EEA conference in Oslo in August his paper with David Williams on “Credit conditions and the real economy” and two papers with Janine Aron on forecasting US inflation and on forecasting South African inflation with disaggregated data were presented. John Duca and Anthony Murphy of the Dallas Federal Reserve gave many presentations of their joint research with John at central bank and other conferences round the world. Janine presented their joint work on exchange rate pass-through at the

annual CSAE conference in Oxford and their paper on credit, wealth and consumption in South Africa at a conference of Statistics South Africa and the International Association for Research in Income and Wealth in Cape Town.

He contributed to the Financial Times end-year poll of leading economists, anticipating stagflation in the UK. He appeared on BBC World to discuss the economic outlook and gave multiple briefings to financial journalists and to asset managers. John continued to serve as Investment Bursar for the financial part of the Nuffield College portfolio.

### *Publications*

(with Janine Aron). “Improving forecast accuracy in an emerging economy, South Africa, by means of changing trends, long run restrictions and disaggregation.” *International Journal of Forecasting*, 2011, DOI:10.1016/j.ijforecast.2011.05.004

(with Janine Aron, John Duca, Keiko Murata and Anthony Murphy) “Credit, Housing Collateral and Consumption: Evidence from the UK, Japan and the US.” *Review of Income and Wealth*, 2011. DOI: 10.1111/j.1475-4991.2011.00466.x

(with John Duca and Anthony Murphy) “Housing Markets and the Financial Crisis of 2007-2009: Lessons for the Future.” *Journal of Financial Stability* 6 (4). 203-217, 2010

(with John Duca and Anthony Murphy) “House Prices and Credit Constraints: Making Sense of the U.S. Experience.” *Economic Journal*, 121 (552): 533-551, May 2011

(with Janine Aron) “The regional mortgage possessions outlook: forecasting court orders for the regions of England and Wales”. *Economic Outlook* 33 (1): 33-45, January, 2011.

(with Janine Aron) “Modelling and Forecasting with County Court Data: Regional Mortgage Possession Claims and Orders in England and Wales.” Department for Communities and Local Government, UK Government, February, 2011.

<http://www.communities.gov.uk/documents/corporate/pdf/1854950.pdf>

**David P Myatt** (Official Fellow) During 2010-11 my research in political science (some with Torun Dewan) and economics (some with Chris Wallace) continued. Papers from 2009-10 came to fruition: a Dewan-Myatt paper on the rhetorical strategies of leaders is forthcoming in the *Journal of Theoretical Politics*; another Dewan-Myatt paper on government survival and confidence has been revised and resubmitted to the *American Political Science Review*; a Myatt-Wallace paper on endogenous information acquisition in coordination games is forthcoming in the *Review of Economic Studies*; and a Myatt-Wallace paper on macroeconomic coordination is under revision for resubmission to the *Journal of the European Economic Association*. New papers have also been written or are well on the way: my own solo-authored work successfully addresses the voter turnout paradox using rational-choice methods; a new paper on the signalling role of voting will be presented in the next round of political-science conferences; Torun Dewan and I have extended our research project on government survival and confidence; and I have recently resurrected an older research project on the choice of sales strategies with Eric Rasmusen.

I presented many of my papers at a variety of international conferences and universities; a total of nearly twenty seminar presentations during the year at various locations in the United States and in continental Europe. Two highlights for me were the (rather unexpected) receipt of two prizes: at the American Political Science Association meetings in Washington, Torun Dewan and I received the prize for the best conference paper in political

economy; and at the Midwest Political Science Association meetings in Chicago we received the prize (relating to a different paper) for the best paper published in the *American Journal of Political Science*. The latter presentation was made by our good friend and colleague Ray Duch; thank you Ray.

Back at Nuffield, I continued to chair the Economics Group at Nuffield, and in that capacity I participated as a Steering Committee member for the appointment of the new Warden. I am absolutely delighted that we were able to elect Andrew Dilnot. I anticipate that he will build upon the past successes of Nuffield while energetically pushing forward in new directions.

Sadly, this is my last report. Over the summer of 2011 I will move from Nuffield to take up a new post as Professor of Economics at London Business School. I wish all at Nuffield the best of luck for the future.

**Bent Nielsen** (Reader in Econometrics and Faculty Fellow) has continued working on the analysis of explosive time series. In connection with this project he completed a paper with JS Sohkanen on the properties of the CUSUM of squares specification test.

He continued to study cohort methods as they are used in non-life insurance and in mortality studies. In connection with this project he completed three papers: with D Kuang and JP Nielsen on the geometric chain ladder; with JP Nielsen on Bornhuetter-Ferguson analysis; and with JP Nielsen on identification and forecasting in the Lee-Carter model.

He continued to work on automatic model selection with S Johansen. He joined the newly founded Institute for New Economic Thinking at the Oxford Martin School. He served on the editorial board of the *Review of Economic Studies*.

He presented papers at conferences in Brunel, Copenhagen and Oslo, at PhD. courses in Copenhagen and Granada, and to the Danish Actuarial Society.

## *Publications*

(with D.F. Hendry) ‘A Modern Approach to Teaching Econometrics’ *European Journal of Pure and Applied Mathematics*, 3, 347-369, 2010.

(with M.D. Martínez Miranda, J.P. Nielsen, and R. Verrall) ‘Cash Flow Simulation for a Model of Outstanding Liabilities based on Claim Amounts and Claim Numbers’, *ASTIN Bulletin*, 41, 107-129, 2011.

(with D. Kuang, J.P. Nielsen) ‘Forecasting in an Extended Chain-Ladder-Type Model’, *Journal of Risk and Insurance*, 78, 345-359, 2011.

(with J.S. Sohkanen) ‘Asymptotic Behaviour of the CUSUM of Squares Test under Stochastic and Deterministic Time Trends’ *Econometric Theory*, 27, 913-927, 2011.

**Anja Neundorf** (Postdoctoral Prize Research Fellow) During the 2010-2011 academic year I continued, elaborated and started several strands of research that can be grouped in three themes. Firstly, I am interested in how far the political context influences citizens when they grow up and whether this leads to the making of political generations. Kaat Smets visited the college from the University of Siena for one month in Hilary Term, during which time we worked on a paper looking more specifically at what effect national governments have on this process. We presented this work at conferences in Chicago and Dublin and the paper is now under review. We also submitted a paper on the development of political interest during young adulthood in collaboration with Gema García-Albacete (University of Mannheim) that is now under review.

A second theme reflects my interest in methods used to estimate age, period, and cohort effects. I am in the process of putting

together a special issue for *Electoral Studies* with Richard Niemi from the University of Rochester, which brings together several generations of scholars working on new methods in the field of political socialization and cohort analysis. In conjunction with this project, I am further planning a workshop, which will take place at Nuffield College in Spring 2012. The Politics Group has already promised their generous support to make this meeting possible. Thirdly, I and my colleagues Daniel Stegmueller (Nuffield College) and Thomas Scotto (University of Essex) study the nature and stability of individual level party identification. A first article on the volatility of party preferences is now forthcoming in *Public Opinion Quarterly*. Two other papers have been prepared for presentation at the Election, Public Opinion, and Parties (EPOP) annual meeting in September 2011.

I have also worked on a few stand-alone articles. My co-authors Ronni Abney, James Adams, Malcolm Easton (all three UC Davis), Michael Clark (Northern Illinois University), Lawrence Ezrow, Spyros Kosmidis (both University of Essex) and I got a paper on valence effects during election campaigns accepted for publication in *Party Politics*. I further contributed an article to a special issue on voting in Germany, which will be published in the *Politische Vierteljahresschrift*. Moreover, Tim Mueller (Nuffield College) and I worked on a paper studying trends in religiosity after the fall of communism in Eastern Europe, which we presented at the MPSA conference and is currently under review.

Besides research, I taught the course Political Sociology as part of the Master programme. I also supervised an M.Phil. thesis on voting behaviour in Germany. Within the college, I currently serve as the organiser of the postdoc seminar and the editor of the Politics Working Paper series. Furthermore, in June 2011 Thees Spreckelsen, Sundas Ali and I organised a trip to Westminster. A group of Nuffield members visited the parliament and had a meeting with Greg Clark who currently serves as Minister of State and is a Visiting Fellow of the College.

## *Publication*

'Democracy in transition: A micro perspective on system change in post-Soviet societies', *Journal of Politics*, 72, 1096-1108, 2010.

### **Kathryn Nwajiaku-Dahou (Non-Stipendiary Research Fellow)**

The ESRC Research Fellowship entitled 'Being and Becoming Ethnic in Europe and Africa: State and the Politics of Ethnic Recognition in Nigeria, France and the UK' updates earlier research on the politics of oil and ethnicity in Nigeria and explores whether different approaches to 'integration' of the descendants of post colonial migrants in France and the UK, influence the identities and political behaviours of minority citizens.

In January 2011, improved security enabled the fellow to conduct a two week field trip to the Niger Delta. Interviews were conducted with former fighters, their intermediaries, and members of political organizations. The results were discussed at a round table on 'the Amnesty', organized by the UK Niger Delta Working Group, which the Fellow hosts jointly with Kings College London, (July 14 2011),. Held at Chatham House in London, the event brought together Nigerian Government officials, oil company executives, academics, NGOs and journalists. A briefing note for the round table and Two academic papers were produced 'The Political Economy of Oil and Rebellion ('to be published in *Review of African Political Economy* – special edition on Markets and Identities) and 'Rethinking Security in the Niger Delta' to be published as a chapter in an edited collection, *'The West African Global Oil Frontier: Partnerships and Priorities for Environmental Justice, Security and (Sustainable) Development*, (C. Obi and R. Ako eds.).

Data collection in France and the UK was completed with interviews conducted in le Havre and the Montreuil area of Ile de France (outside Paris) and in the London borough of Southwark.

Conferences –The Fellow convened a panel and presented two papers (‘Of Wishing Well and Well Heads – The rise of Goodluck Jonathan and the Fate of Ijaw Nationalism in Nigeria’, ‘Who’s Afraid of Big Bad Oil? Bunkering, Bullets and the Coming of Age of Struggle in Nigeria’s Niger Delta’) at the African Studies Association of UK conference (16-19 September 2011). One is currently being reviewed for publication in *African Affairs*, and the other forms part of a monograph *Oil Made Man: Politics of Protest and Ethnic Nationalism in Nigeria’s Niger Delta* to be published by CUP, and undergoing completion.

Newspaper articles (Guardian, ‘Shell 'revelations' are old news in Nigeria, 10 December 2010 and policy papers were compiled for the Atlantic Council (Think Tank, Washington DC), the French Ministry of Foreign Affairs (September 2011), IFRI (Institut Français de Relations Internationales) and presented at the African Observatory of the EU’s Institute of Security Studies ( 9 June 2011). The fellow also spoke at the Oval Theatre (28 June 2011) on a panel discussion held after award winning play ‘The Fixer’ by Lydia Adetunji, on the Niger Delta.

Collaborative research and teaching initiatives with the University of Port Harcourt, and CODESRIA (the Council for the Development of Social Sciences Research in Africa (‘Governance Institute’ summer school) were begun.

### *Publications*

‘The Politics of Amnesty in the Niger Delta: Challenges Ahead’ , *Note de l’IFRI*, Institut Français des Relations Internationales, pp.1-29.

**Sergi Pardos-Prado** (Postdoctoral Prize Research Fellow) I worked on three papers that were accepted for publication in the *Journal of Ethnic and Migration Studies*, the *International Journal of Public Opinion Research* (with Francesc Cano), and the *European Journal of Political*

*Research* (with Elias Dinas). I also submitted six new papers now under review. The first (with Marta Fraile) shows that personal economic circumstances moderate the impact of objective macro-economic changes on subjective perceptions of the national economy. The second (with Bram Lancee) shows that economic shocks over individual life cycles increase xenophobia independently from social class. The third revisits the controversy between social contact and group conflict theories and argues that the relative ratio of migrant concentration between local and national contexts partly explains the contradictions found in past research. The fourth (with Aina Gallego) analyses the effect of the Big Five psychological personality traits on xenophobia. The fifth tests some assumptions of valence voting theory from a comparative perspective and concludes that performance voting is not linked to ideological and spatial convergence, as usually assumed. The sixth (with Elias Dinas) explores the performance of spatial and directional voting theories conditional on the bipolar or continuous nature of issue attitudes.

I have also been working on four ongoing projects. The first analyses the impact of attitudes towards immigration and vote choice beyond radical right across 42 elections and 20 countries. The second ongoing paper analyses the electoral effect of immigration in Spain and argues that the centre-periphery cleavage might have partially absorbed the effect of immigration due to its stress on similar economic and identity concerns. The two last papers (with Carolina Galais and Jordi Muñoz) analyse contextual determinants of electoral turnout and find that proportional democracies can increase the participatory gap between politically interested and non-interested citizens due to lower levels of political accountability.

Finally, my doctoral thesis got a special mention in the Juan Linz prize in political science by the Spanish Centre of Political and Constitutional Studies, and also won the Ramon Trias Fargas political essay prize by the CATDEM think tank in Catalonia.

## *Publications*

“Framing attitudes towards immigrants in Europe: when competition does not matter” *Journal of Ethnic and Migration Studies*, 37 (7), pp.999-1015, 2011.

(with Elias Dinas), “Systemic Polarisation and Spatial Voting” *European Journal of Political Research*, 49 (6), pp.759-786, 2010.

**Florian Ploeckl** (Non-Stipendiary Research Fellow) During the last year I continued to work on the topic of my dissertation, the formation and economic impact of the Zollverein, a customs union between independent German states in the early 19<sup>th</sup> century. The topics addressed in my work are the political economy of the formation process as well as various aspects of the impact on regional growth.

A second research project I pursued this year concerns itself with the question of urban agglomeration and historical geography. Using a very detailed dataset on settlement population and location in Saxony during the 19<sup>th</sup> century I investigate the question of how to define towns and villages as well as the influence of geographic factors and agglomeration on the location and development of towns and villages. Additionally the relative importance of these two factors, agglomeration and geography, for the size of towns is explored.

Furthermore I was awarded a Marie Curie Incoming International Fellowship by the European Union, which provides extensive support for two years for a new research project on the economic impact of the rise of the postal service. The project investigates the relationship between the advent of publicly accessible, organized information exchange and the rise of modern economies. While some parts have a comparative approach between the UK, US and Germany (and potentially France), others address questions in a European or global cross-country setting. At the moment I am in an

early stage focusing on the collection of a vast number of quantitative, as well as qualitative, sources underpinning the analysis. I presented my work in a number of conferences and seminars in the UK and abroad and are currently organising a session on the economic history of communications for the World Economic History Congress next year.

**Maria Porter** (Non-stipendiary Research Fellow) received grants from the British Academy and OUP Fell Fund to fund a research project on understanding relationships between parents and adult children in the UK using experimental methods. Findings using these methods will also be compared to supplementary survey data. Participants play dictator games in the lab with parents and strangers. Revealed preference theory will be applied to determine whether adult children have different preferences for giving towards parents and strangers. Several treatment groups will also determine the extent to which information provided to parents influences children's giving behaviour. This will aid in interpreting whether giving behaviour is due to altruistic or reciprocal motives.

Maria has also presented her joint work with Professor Albert Park on housing reform in China and intergenerational transfers at the RES annual conference and seminars at IZA, OIA, and the Economics Department. Using a conditional least squares model, and instrumenting housing wealth by housing windfalls (current market value minus the purchase price), we find that greater housing wealth reduces transfers received from one's adult non-resident children, particularly at low wealth levels, pointing towards altruistic motives for giving to parents. Prior research in China has found that recipient income reduces transfers received from children, pointing towards altruism or co-insurance motives. In examining the effects of exogenous shocks to wealth, we can rule out the latter. Since children give money to parents for altruistic reasons, public transfers are likely to crowd out private transfers, and the benefits of public pensions to elderly parents would be lower than expected, as

children would also benefit by giving less financial support to their parents. This paper will be submitted for peer review shortly.

Maria lectured in a course on Development Economics for MPhil students in the Economics Department and organized a seminar series at OIA on the Economics of Ageing. In addition to her research and teaching activities, Maria edited a special issue of the *Journal of Population Ageing* on Ageing, Health, and Well-Being in China. Finally, Maria was a member of the organizing committee of the International Women's Day conference at Nuffield College.

**Wojtek Przepiorka** (John Fell Fund Research Fellow in Experimental Social Sciences) My research is predominantly experimental and circles around two main topics. The first topic is concerned with how observable behaviour in social interactions generates information about unobservable but relevant traits of the interacting agents. Two studies investigate in how far altruistic acts can be a signal of trustworthiness. The first study focuses on the benefits donors to charity receive in social exchange (with S. Fehrler, University of Zurich, submitted to *Evolution and Human Behavior*) and the second study explores to what extent donors to charity are selected more frequently as interaction partners in social exchange (with SF, in preparation). A third study investigates in how far fairness manifested naturally can be a better signal of trustworthiness than fairness potentially motivated by strategic reasons (with D. Gambetta, first draft). Finally, a small grant that I received from the John Fell Fund (£7,350) will allow me to conduct two further studies. Both studies will explore in how far altruistic punishment can be conceived as a signal of cooperative intent. All these studies address the broader question of how social preferences could have evolved in humans. So far the results are very encouraging and suggest that other-regarding behaviour carries information that allows discriminating cooperative and non-cooperative types in human social interactions.

The other main topic that motivates my research is social norms. Two studies investigate in how far agent heterogeneity facilitates the production of a public good (with A. Diekmann, ETH Zurich, first draft) and social norm enforcement (with AD, in preparation). Two other studies investigate how normative feedback can promote cooperation in a common-pool resource setting (with AD, first draft) and in a real-world setting with regard to subjects' energy consumption (with M. Baeriswyl, ETH Zurich). One study investigates how subjects attribute responsibility for and sanction collective decision outcomes (with R. Duch and R. Stevenson, Rice University, in preparation). Finally, one study investigates how ignorance about other agents' violations of social norms can promote norm compliance (with AD and H. Rauhut, ETH Zurich, submitted to *Social Forces*).

### *Publications*

(with A. Diekmann) 'Soziale Normen als Signale. Der Beitrag der Signaling-Theorie', in G. Albert and S. Steffen (eds.), *Soziologische Theorie kontrovers*, Special issue of *Kölner Zeitschrift für Soziologie und Sozialpsychologie*, Wiesbaden: VS-Verlag, 220-237, 2010.

(with A. Diekmann) 'Der soziologische Gehalt der Signaling-Theorie. Eine Antwort auf die Kritik von Agathe Bienfait', in G. Albert and S. Steffen (eds.), *Soziologische Theorie kontrovers*, Special issue of *Kölner Zeitschrift für Soziologie und Sozialpsychologie*, Wiesbaden: VS-Verlag, 247-252, 2010.

(with A. Diekmann and H. Rauhut) 'Die Präventivwirkung des Nichtwissens im Experiment', *Zeitschrift für Soziologie*, 40, 74-84, 2011.

'Ethnic Discrimination and Signals of Trustworthiness in an Online Market: Evidence from Two Field Experiments', *Zeitschrift für Soziologie*, 40, 132-141, 2011.

**Jeremy Richardson** (Emeritus Fellow) In my report last year, I (unwisely) boasted of my foresight in moving to New Zealand. How the mighty are fallen! Life was trundling along quite nicely (as it does in semi-retirement) and I was making good progress on an edited a volume on policy dynamics in the EU (for OUP). Alas, disaster struck at 04.35 on September 4<sup>th</sup> 2010. Christchurch was hit by a 7.1 earthquake. Much damage was caused but, luckily, there were no deaths and only two cases of serious injury. The people of Christchurch soon adjusted to the new situation (notwithstanding many severe aftershocks) but Mother Nature had other ideas. On Feb 22<sup>nd</sup> at 12.51 Christchurch was hit again, this time by a devastating 6.3 magnitude earthquake just 10 km deep and centred 5 km from the city centre. The earthquake produced vertical ground acceleration rates greater than experienced in any earthquake in the world. Most of the city centre has been destroyed – over 1,200 commercial properties and several thousand homes will have to be demolished. Sadly, 182 people lost their lives. This report therefore has an unusually sombre tone.

Since February, life has taken on a surreal aspect. The continuing aftershocks are a constant reminder that life is far from normal and is somewhat fragile, yet my own work, editing the *Journal of European Public Policy* (now in it's 18<sup>th</sup> year) continues as normal. Also, between the major earthquakes, I managed to complete a chapter for a forthcoming OUP book edited by David Levi-Faur. The volume is concerned with new governance, although my (no doubt perverse) contribution argues that there is nothing new about new governance or about recent scholarship on the phenomenon. I am also now, at last, in the final stages of completing the policy dynamics volume. The main thrust of the volume is that the EU has gradually emerged as a policy-making state.

A pleasing development is that I now have a one year Research Professorship at the University of Canterbury and will be entered in the NZ equivalent of the RAE. The University itself has been a quite amazing institution in its response to the earthquakes and aftershocks. It has been evacuated and closed for up to 3 weeks on three occasions and has had to cope with several campus buildings out of action, including the Registry, lecture theatres and the Commerce Faculty building. Initially, it constructed a 'tent city' on campus but has now almost completed the construction of temporary accommodation on campus, which is likely to be in use for the next five years. Teaching and examination programmes have been re-designed and I have seen Kiwis at their innovative best. Never was the Kiwi expression 'she'll be right' more apposite. Oxford has also played its part by hosting a number of Canterbury students, including one at Nuffield. The past months have been a sometimes moving experience for me and my family in that we have seen how human beings can pull together in times of crisis. However, I confess that having a quiet coffee and reading *The Guardian* in the JCR at Nuffield seems a particularly attractive option just now!

**Jane Roberts** (Data Services Officer) continued to offer a Data Support Service for social scientists in the University, providing assistance with data acquisition, licensing, management and analysis, and maintaining the collection of data resources, which continued to expand with new and revised datasets from around the world.

She maintained close links with researchers and data providers outside Oxford, remaining an active member of the International Association for Social Science Information Service and Technology, a network of data producers, managers and analysts worldwide. She contributed much to the programme committee for the 2011 conference *Data Science Professionals: a Global Community of Sharing*. She was Chair of the International Fellows' Programme, whose mission is to provide support for data managers from countries with

emerging economies who are developing infrastructures for the production, use and preservation of public and private data, and implementing policy decisions at their institutions.

As a member of the EQUALSOC network's Data Support Committee, she has managed the licensing procedures for access to Eurostat's harmonized datasets, helped promote researchers' needs for the provision of high quality and appropriate European data, and contributed a section to the final report to the European Commission.

**Kevin Roberts** (Professorial Fellow) continued to work on a project looking at the evaluation of policies that induce structural changes in economies sufficient to alter the trade-off between efficiency and equity; in such a setting, optimal policies can often involve corner solutions in the policy space. Also with regard to the theoretical analysis of policy issues, he started to investigate nonlinear taxation in a world where taxpayers possess a degree of bounded rationality in the sense that they behave as if they faced a simple linear tax system. Optimal taxes in such a world exhibit intuitively appealing characteristics, unlike in a world where taxpayers are fully rational.

He continued work on models of matching, mostly in joint work with Godfrey Keller and Margaret Stevens. One theme that interests him is the sorting role of markets when markets involve frictions.

He completed his first year as Head of the Economics Department in the university and this activity proved to be relatively time consuming.

**Charles Roddie** (Non-Stipendiary Research Fellow) I completed the paper "Theory of Signaling Games" which presents a comprehensive mathematical development of these games, where a signaler takes actions (signals) to influence beliefs about his type. Assumptions of single crossing and differentiability are both weakened. The standard results of existence and uniqueness of

separating equilibria still apply, and strong continuity and comparative statics properties are found which allow for dynamic applications.

I have begun work on prediction markets, where agents place bets on future events. If someone wants to find out the probability of a future event, he can design such a market as a mechanism and incentivize agents to find information and bid, and I am studying the structure of the optimal mechanism. I will be taking up a lectureship in Cambridge this September.

**Inaki Sagarzazu** (Research Officer) Beyond furthering my research agenda, during this first year at Nuffield I have been responsible for assisting with the management of the Comparative Cooperative Campaign Analysis Project (C/CCAP). I also worked in the design and implementation of experiments embedded in Internet surveys, and of Internet surveys in general. Of particular importance was CESS's first online survey fielded in the first week of July, and sampled 1000 respondents from all of the UK.

As part of my work at CESS with the Collaborative Comparative Campaign Project (CCAP) I have collaborated with Raymond Duch on several papers addressing citizens' perceptions of the financial crisis of 2008.

During this year I have continued my research on the effects of Party Institutionalization on Political Dialogue revising my PhD dissertation to produce a book manuscript and independent articles. Through my analysis I show that traditional theories explaining political dialogue amongst politicians do not apply to environments where party labels are weak. The first article stemmed from this work is a Nuffield College Working Paper in Politics (2011-03). Furthermore, this year I have expanded my research in comparative legislative politics by beginning collaboration with Heike Klüver to study the effects of ideological congruency on legislative decision-making. These two works are currently under review.

Since January 2011 I have published three articles and one book chapter on legislative politics in Latin America. A fourth article will also publish in the fall of 2011 analyzing the collapse of the Venezuelan party system.

Finally, I received an invitation to co-author a book chapter on the Venezuelan party system and gave a presentation on political dialogue at the Universitat Pompeu Fabra in Barcelona, Spain.

### *Publications*

‘Nación vs. Región: Las tensiones del sistema de partidos venezolano post-colapso’, *América Latina Hoy* 58, 2011.

(with E. Aleman, and A. Ponce) ‘Legislative Parties in Volatile Non-Programmatic Party Systems: The Peruvian Congress in Comparative Perspective’, *Latin American Politics and Society*, 53(3), 2011.

(with E. Calvo) ‘El rol de las comisiones permanentes en las legislaturas de América Latina: un test del modelo informativo de éxito legislativo’, *Revista Latinoamericana de Política Comparada*, 4, 25-48, 2011.

(with E. Calvo) ‘Committee Success in Fragmented Congresses: Gatekeeping Authority and the Loss of Majority Control’, *American Journal of Political Science*, 55(1), 1-15, 2011.

(with E. Calvo) ‘Queda aprobado el plan de labor’: Éxito Legislativo y Control de Agenda en la Cámara de Diputados Argentina’ in M. Alcántara and M. García-Montero (eds.): *Algo más que presidentes. El papel del Poder Legislativo en América Latina*. 2011. Zaragoza: Fundación Manuel Giménez Abad de Estudios Parlamentarios y del Estado Autonómico.

**Gwendolyn Sasse** (Professorial Fellow) My research interests in comparative democratisation, post-communism, conflict, and migration have taken me in different directions this year. In early November 2010 I convened an international workshop at Nuffield on 'Comparing Transitions across Regions'. My own paper tried to map the conceptual and empirical fuzziness surrounding the concept of an 'elite pact' - one of the most frequently used concepts in the discussion about 'third-wave' transitions to democracy. My analysis of three paired comparisons (Spain-Portugal, Chile-Uruguay, Poland-Hungary) highlighted the significance of societal mobilisation, political memories and the actors' institutional identities in shaping the elites' choices of cooperation and compromise vs. confrontation and competition. Cross-regional research on democratisation is meaningful when it manages to trace similarities in the dynamics and mechanisms of transition against a myriad of varying background conditions and legacies. The collection of articles resulting from the workshop, which has now been submitted to *Comparative Politics* as a special issue, aims to open up a more targeted research agenda in this direction.

In November 2010 I was an invited panelist in the annual *Nations and Nationalism Debate* at the LSE, which discussed Henry Hale's book 'The Foundations of Ethnic Politics' (CUP, 2008). I also gave a talk on Tony Judt and his 'Varieties of Europe' in the series 'European Provocations', organised by the LSE's European Forum for Philosophy at the Institut Français in London.

As for my ongoing research projects on migration, I have completed the focus groups with Bosnians who came to the UK during the war and stayed on, and with Bosnian refugees who returned to Bosnia-Herzegovina after the war. These involuntary migration experiences were the counterpart to the focus groups which I conducted last year with Polish migrants residing in the UK and Polish migrants who have returned to Poland. In June 2011 I presented the first findings based on my survey of Ukrainian migrant voters in 14 countries (about 2,500 responses) at the International

Migration Institute in Oxford. Recent migrants from Ukraine have gone to western and eastern destinations in fairly even numbers and thus make for an interesting starting-point in the discussion about the impact of migration experiences on the migrants' perceptions on political and economic issues, for example corruption, and the ways of engaging with Ukrainian politics from afar – by voting, through regular contact with families and friends, via migrant networks etc.

I also started a project with Prof. Mark Beissinger (Princeton) on societal protest in response to the financial and economic crisis in Eastern Europe. We have created a database of protest events in 18 countries for the period 2007-2010 and presented our initial findings at the Oxford-Princeton Conference on 'Popular Reactions to the Great Recession' at Nuffield in June 2011. While transition countries in Eastern Europe have generally been characterised by 'patience' rather than protest, the recent crisis has called this pattern into question. Protests have been concentrated in the countries that had seen a combination of 'patience' and more radical economic reforms in the 1990s.

This was also my first year as Senior Tutor, and I am glad to report that issues related to student support, in particular funding, are becoming more firmly anchored in college discussions.

Until the beginning of 2011 I was the deputy editor of the UNDP newsletter *Development and Transition*:  
[www.developmentandtransition.net](http://www.developmentandtransition.net)

In December 2010 I joined the International Advisory Council of the European Centre for Minority Issues (ECMI). I continue being a member of the Board of the Open Society Institute's Think Tank Fund which oversees funding for East European think tanks.

On a somewhat lighter note, my interest in contemporary art has inspired a few new activities by the Nuffield Art Committee, including a website with information about the collection and related events: [www.nuffield.ox.ac.uk/artcommittee](http://www.nuffield.ox.ac.uk/artcommittee)

## *Publications*

'The Council of Europe as a Norm Entrepreneur: The Political Strengths of a Weak International Institution', in N. Walker, J. Shaw and S. Tierney (eds.), *Europe's Constitutional Mosaic*. Oxford: Hart Publishing, 171-202, 2011

'The Foundations of Ethnic Politics, or a House built on Sand?', Nations and Nationalism Debate 2010, *Nations and Nationalism*, 17: 4, 2011.

**Adam M. Saunders** (Research Fellow). My research has focussed on the comparative political economy of labour markets, social policy and economic production in advanced economies. Over the course of the past year, I have completed a single-authored paper examining the extent to which the American political economy has been exceptional in comparison to other nations with respect to human capital, social protection, employer preferences towards social policy and the power resources of organised labour. I am now in the process of completing a book manuscript on the comparative political economy of the UK, the US, Germany and Japan since 1945. This year also saw the completion and publication of three co-authored papers. The first analyses changes in human capital and social policy in Germany and the UK since the 1990s. The second examines divisions within labour markets with respect to pensions, healthcare and unemployment insurance provision in France, Germany, the UK and the US in the post-war era. The third explores the role which de-industrialisation has had on employer preferences and public opinion towards social policy as well as on the cost containment strategies of governments and firms with regard to social spending in France, Germany and the UK during the same period.

In addition, this year I designed, convened and taught a new option paper at the Department of Social Policy and Intervention

for the M.Phil./M.Sc. in Comparative Social Policy, entitled ‘The Comparative Political Economy of the Welfare State’. I have served in the Department as a thesis supervisor, as an examination and thesis Assessor and as a member of the Teaching Committee. I have also continued as the Co-Convener of the Politics of Social Policy Research Group.

Conference presentations were made at the Society for the Advancement of Socio-Economics (SASE) Annual Meeting in Madrid, Spain, the Final Conference of the Reconciling Work and Welfare in Europe (RECOWE) EU FP6 Network in Brussels, Belgium and the American Political Science Association (APSA) Annual Meeting (in a co-organised panel) in Seattle, USA. I also gave additional public policy lectures to government officials and corporate executives from China, India and Kazakhstan on behalf of the University’s Leadership and Public Policy Programme.

### *Publications*

(with T. Fleckenstein and M. Seeleib-Kaiser) ‘The Dual Transformation of Social Protection and Human Capital: Comparing Britain and Germany’, *Comparative Political Studies*, doi:10.1177/0010414011407473, 2011.

(with M. Seeleib-Kaiser and M. Naczyk) ‘Shifting the Public-Private Mix: A New Dualization of Welfare?’, in Patrick Emmenegger, Silja Häusermann, Bruno Palier and Martin Seeleib-Kaiser (eds), *The Age of Dualization: The Changing Face of Inequality in De-Industrializing Societies*. Oxford: Oxford University Press, 2011.

(with M. Seeleib-Kaiser and M. Naczyk) ‘Social Protection Dualism, De-Industrialization and Cost Containment’, in D. Brady (ed.), *Comparing European Workers (Part B): Policies and Institutions, Research in the Sociology of Work*, 22, 83-118, 2011.

**Silke Schneider** (Postdoctoral Prize Research Fellow) In 2010/11, I have worked on four different papers relating to education. The first one is a paper co-authored with my Equalsoc team submitted to Social Science Research on the harmonisation of measures of educational attainment for comparative research using labour force survey data. It has been conditionally accepted. The second one was a new paper, co-authored with Nicole Tieben at MZES (University of Mannheim), entitled "A healthy sorting machine? Social inequality in the transition to upper secondary education in Germany" (now published). I have also written a topic overview on educational attainment for the Survey Question Bank at the UK data archive. The fourth paper summarises a substantial amount of conceptual and methodological work I have performed over the last few years. It is entitled "The International Standard Classification of Education 2011 and its application in cross-national surveys" and will be reworked over the next few months.

This last piece closely relates to consulting work I have performed for the UNESCO Institute for Statistics (as member of the Technical Advisory Panel, TAP, developing ISCED 2011) and the European Social Survey (ESS) Central Co-ordinating Team. For the ESS, I have consulted with national coordinators in all ESS round 5 countries (~30) to improve the country-specific educational attainment measures and improve the consistency of bridging country-specific educational attainment categories into international ones, anticipating ISCED 2011. The ESS will thus be the first data source providing data compatible with ISCED 2011.

I have also presented posters and papers at a number of conferences in the last year: the inaugural conference of the Society for Life-Course and Longitudinal Studies (SLLS), the 100th anniversary conference of the German Sociological Association (DGS), and the RC28 spring meeting in Essex. I have also been invited to an ESS national coordinator's meeting, the ESS Socio-Economic Working Group, a Eurostat workshop on the

implementation of ISCED 2011 in EU statistics, the spring meeting of the Social Outcomes of Learning Network of the OECD group on Indicators of National Education Systems, and attended two ISCED TAP meetings. As usual, all these conferences and meetings have generated more ideas for future research than I will have time to realise.

Within Nuffield, I've been an active member of the informal group "Green Action in Nuffield" as well as Junior Dean. In the role of the latter, alongside the day-to-day activities, I have organised a welcome event for new students and been member of the Working Party on Continuing Students.

I have left Nuffield College at the end of June 2011. I already miss the flexibility and freedom I enjoyed during my time there and especially the PPRF position, and am grateful to the College for the opportunities and challenges I thereby was allowed to pursue. In my new role as scientific coordinator of the GESIS (former ZUMA, Mannheim) Summer School in Survey Methodology, which will take place for the first time in August 2012, I have real responsibilities and success will be visible in very manifest outcomes, which will probably be both blessing and curse. I hope to stay in touch and come back to visit. Please get in touch also: my new work email address is [Silke.Schneider@gesis.org](mailto:Silke.Schneider@gesis.org).

### *Publications*

“Nominal comparability is not enough: (In-)Equivalence of construct validity of cross-national measures of educational attainment in the European Social Survey”. *Research in Social Stratification and Mobility*, 28(3), 343–357, 2010.

(with N. Tieben) “A healthy sorting machine? Social inequality in the transition to upper secondary education in Germany”. *Oxford Review of Education*, 37(2), 139–166, 2011.

**Neil Shephard** (Professorial Fellow) My research focus has been on trying to develop econometric methods to use high frequency financial data to measure large dimensional covariation between financial assets and to use these measurements to improve our ability to forecast. I have also been working on understanding some aspects of the UK government's student loan book.

I stepped down as director of the Oxford-Man Institute in July 2011. I was reappointed to a fourth three year term as an associate editor of *Econometrica*. I am a Fellow of the British Academy and the Econometric Society.

### *Publications*

(with Ole E. Barndorff-Nielsen, Peter R. Hansen and Asger Lunde) "Multivariate realised kernels: consistent positive semi-definite estimators of the covariation of equity prices with noise and non-synchronous trading", *Journal of Econometrics*, 162, 149-169, 2011.

(with Cavit Pakel and Kevin K. Sheppard) "Nuisance parameters, composite likelihoods and a panel of GARCH models", *Statistica Sinica*, 21, 307-329, 2011.

(with Ole E. Barndorff-Nielsen, Peter R. Hansen and Asger Lunde), "Subsampling realised kernels", *Journal of Econometrics*, 160, 204-219, 2011.

**Tom A. B. Snijders** (Professorial Fellow) focuses on statistical methods for social network analysis, which is the study of relations in groups of individuals but also groups of firms, etc. A typical difficulty is that ties between different individuals can be highly interdependent, and the satisfactory representation of this kind of dependence is the main issue in this type of statistical modelling. Computer simulation methods and Markov chain Monte Carlo procedures are used for this purpose. This work has three main

strands. One is the development of statistical procedures. The article “Dynamic networks and behavior: separating selection from influence”, co-authored with Christian Steglich and Michael Pearson and published this year in *Sociological Methodology*, proposes and discusses methods for analysing processes in social networks where social influence may occur jointly with selection of network ties. This presents the fundamentals of what is hoped to become an important method for analysing peer influence and the associated endogeneity, and these methods have already started to be applied by researchers in various fields. Work is continuing on extending the models for a wider range of data structures: multivariate, bipartite, and valued networks. The second strand is the implementation of these statistical methods in the computer package *RSiena* in the statistical software system R, and the support of its use by documentation and workshops. This is done as part of the research project *Adolescent Peer Social Network Dynamics and Problem Behavior* (funded by the National Institutes of Health (USA), principal investigator Dr John Light, Oregon Research Institute). In Oxford this is joint work with Ruth Ripley and Johan Koskinen, both in Nuffield College, and several DPhil students. Johan has left and now is at the University of Manchester, but the collaboration is continuing. This work has led to new releases of *RSiena* and to the paper mentioned below by Josh Lospinoso and others, which provides a very useful new way for testing time homogeneity, which also is implemented in *RSiena*. The third strand is the collaboration with researchers applying these methods. Part of this takes place in the international research project ‘Dynamics of Actors and Networks across Levels: Individuals, Groups, Organizations, and Social Settings’, which is a cooperation between researchers of the universities of Oxford and Groningen, Konstanz, Paris-Dauphine, Barcelona (Autonomous University) and Sevilla, Orebro, Lugano (University of Italian Switzerland), and Cardiff. This project is a European Collaborative Research Project in the EUROCORES scheme of the European Science Foundation. The group had a

meeting in April 2011 in Konstanz, combined with the first *Advanced Siena Users' Meeting* ("AdSUM-2011"), organized by Prof Ulrik Brandes and Natalie Indlekofer of the Department of Computer Science of the University of Konstanz in collaboration with Tom Snijders.

Together with Pat Doreian, Tom was editor of the journal *Social Networks* until June 2011; since then he is continuing as associate editor. He is one of the organizers of the "Nuffield/OII seminar on Social Network Analysis", which runs weekly during terms, and serves as a focus for collaboration in Nuffield, but also with researchers elsewhere, on topics related to social network analysis.

### *Publications*

'Statistical models for social networks', *Annual Review of Sociology*, 37, (2011), 129-151.

(with Marie-Claire E. Aussems, Anne Boomsma), 'The use of quasi-experiments in the social sciences: a content analysis', *Quality and Quantity*, 45 (2011), 21-42.

(with Lospinoso, J.A., Schweinberger, M., and Ripley, R.M), 'Assessing and Accounting for Time Heterogeneity in Stochastic Actor Oriented Models', *Advances in Data Analysis and Computation* (2011), 5, 147-176.

(with Miranda J. Lubbers, and Margaretha P.C. van der Werf), 'Dynamics of Peer Relationships Across the First Two Years of Junior High as a Function of Gender and Changes in Classroom Composition', *Journal of Research on Adolescence*, 21 (2011), 488-504.

'Network Dynamics', Chapter 33, (pp. 501-513) in John Scott and Peter J. Carrington (eds.), *The SAGE Handbook of Social Network Analysis*. London: Sage, 2011.

'Conditional Marginalization for Exponential Random Graph Models', *Journal of Mathematical Sociology*, 34 (2010), 239-252.

(with Steglich, C.E.G. and Pearson, M), 'Dynamic networks and behavior: separating selection from influence', in: Liao, T., (ed.), *Sociological Methodology*, 40. Basil Blackwell, Boston and London, (2010), 329-392.

**Lisa Vanhala** (British Academy Postdoctoral Research Fellow) This year I have continued to work on my British Academy funded postdoctoral project on environmental NGOs and their use of strategic litigation in Europe. Based on case studies of France, Finland and the UK the project is exploring how organizations invoke and engage with rights discourses and European Community law. It also seeks to understand how social movement organizations both shape, and are shaped by, participation in strategic litigation. This project brings together theoretical approaches from law and society with comparative politics and international relations in exploring the mobilization of law by actors operating at multiple levels of governance. This will help to direct legal mobilization theory in the field of law and courts, and legal integration theory in EU studies, towards more systematic and synthetic frameworks for explaining the use of strategic litigation by NGOs before domestic courts and the European Court of Justice.

I was invited to present papers based on the preliminary findings of this research at several workshops, including one organized on the Role of Courts in a Democracy by the Foundation for Law, Justice and Society here in Oxford; a workshop on Eurolegalism organized by the European Union Centre for Excellent at York University in Toronto; and a workshop on Law, Rights and Social Mobilization in a Multi-Level European System at the International Institute for the Sociology of Law in Onati, Spain. I also attended

the American Political Science Association annual meeting and attended my first ECPR Conference in September.

### *Publications*

*Making Disability Rights a Reality? Disability Rights Activists and Legal Mobilization in Canada and the United Kingdom.* New York: Cambridge University Press, 2011.

“Social Movements Lashing Back: Law, Social Change and Socio-legal Backlash in Canada” *Studies in Law, Politics and Society* 54, 113-140, 2011.

**Laurence Whitehead** (Senior Fellow) This was an unusual year, with two very distinct halves and an abrupt transition between them. Until mid-March 2011 my main focus was on normal academic activities – although as Senior Fellow I was also involved in organising the election of the new Warden. From March 16<sup>th</sup> onwards I moved to offices in Wellington Square as Senior Proctor, which involved a very different working schedule (together with a most uncharacteristic dress code). Fortunately although this was a very sharp change of rhythm it has proved possible to continue with various of the most important of my academic priorities across the two periods.

The Wardenship election moved into high gear at the end of the 2010 long vacation and the electoral body met with a shortlist of extremely impressive candidates during Michaelmas Term. The process culminated in the formal electoral meeting of March 9<sup>th</sup>, at which Andrew Dilnot was chosen as successor to Steve Nickell. Fortunately the terms of appointment proved acceptable and the election went smoothly.

The following week the College hosted my induction as Senior Proctor, after which I received an intense and often fascinating deluge of induction briefings, and introductions to a myriad of

University activities. To single out a small sample of the most striking features, this gave me the opportunity to participate in the deliberations of Council, as well as such key university committees as PRAC and JRAAB and BESC (the acronyms capture something of the alternative realities of these worlds). It was a revelation to attend the OUP Finance Committee and the fortnightly meetings of the Delegates of the Press, and to participate in weekly briefings with the Vice-Chancellor as well as the degree ceremonies and Encaenia. Some of the secondary responsibilities of the office were equally intriguing – the tenant’s dinner; a visit to the university’s CCTV system; the perustration of the Botanical Gardens, etc. There were also some less appealing facets of the work, notably with respect to “trashing” and combat of plagiarism. Overall, however, this was an exceptional opportunity to explore the inner workings of the university during a period of great pressure and uncertainty – and (hopefully) also to make some modest positive contributions to its good functioning.

On the academic side, I was able to continue with my usual range of writing, conference activities. The publications are listed below. My activities as a graduate supervisor came to an end when I took up the Proctorship. On the collaborative research front, the Mexican Studies initiative focussed on the politics of oil, which included a workshop in College on 4 November 2010, and the presentation of the final executive report at a high level conference in Mexico City at the end of April. (This was a joint activity with the Baker Institute at Rice University, and the Oxford Institute for Energy Studies). My next Mexican project will concern the 2012 elections. Another international theme concerns the Conseil Scientifique of the Institut des Amériques in Paris. I was elected President of the Conseil for a second term and the Institut moved into its rather impressive new premises in June. The main task now is to step up the research output. On the Latin American side, I contributed to the Latin American Studies Association conference in Toronto in October (with a special emphasis on the “sub-national”

dimensions of democratization and “the quality of democracy” debate). I also gave the opening address (on “constitutionalism in Latin America”) at the Redgob annual meeting in Hamburg in November, and the closing address at the ECPR/Salamanca summer school on Latin American politics in July. I was also a keynote speaker at the annual meeting of the Sociedad Argentina de Analisis Politico in Cordoba, Argentina in July. The “sub-national” theme extends beyond Latin America and a comparative volume (including the India, Russia, and the US) is nearing completion.

As indicated in the publication list, my democratization interests extend beyond Latin America. This included conference visits to South Africa (February), Brussels (April), Jerusalem (May), and Portugal (August/September). I also contributed to a “scholars and practitioners” project in Los Angeles. My long-term writing project on “the political animal” generated three contributions on biology and politics, two of which have now been published as journal articles. This will be my main preoccupation once the Proctorship ends next March.

### *Publications*

*Democratización Teoría y Experiencia*, Fondo de Cultura Económica, 2011.

(with Abraham Lowenthal and Theodore Piccone) *Obama y Las Américas: ¿Esperanza o Decepción?* Planeta, (2<sup>nd</sup> Edition), 2010.

(with Theodore J. Piccone, Abraham F. Lowenthal (eds.) *Shifting the Balance, Obama and the Americas*, The Brookings Institution, 2011.

(with Yanina Welp) *Caleidoscopio de la innovación democrática en América Latina*, FLACSO, 2011.

‘Enlivening’ the Concept of Democratization: The Biological Metaphor

*Perspectives on Politics*, July, 2011.

On Biology, Politics and Democratization, *Taiwan Journal of Democracy*, Vol. 6, No.2, pp. 23-42, January, 2011.

Alternative Models of Democracy in Latin America, *Brown Journal of World Affairs*

Vol. 17, No. 1, pp. 75-87, 2010.

Les élites latino-Américaines: invincibles ou dangereuses? *Bicentenaire des Indépendances Amérique Latine Caraïbes*, Institut Des Hautes Études de L’Amérique Latine, 2010.

“El Sistema Presidencial Mexicano en perspectiva comparada” in *Revista Latinoamericana de Política Comparada* Vol.5-Julio, pp.11-28, 2011

“Coping with Adversity in the Mexican Oil Industry: *Como Pemex No Hay Dos*”, Baker Institute working paper, 2011.

[www.bakerinstitute.org/publications/EF-pub-WhiteheadAdversity-04292011.pdf](http://www.bakerinstitute.org/publications/EF-pub-WhiteheadAdversity-04292011.pdf)

Laurence Whitehead, principal author, Baker Institute Policy Report 48, Executive Summary, El Futuro del sector petrolero en Mexico, 2011, available at: <http://www.bakerinstitute.org/programs/energy-forum/publications/energy-studies/the-future-of-oil-in-mexico-el-futuro-del-sector-petrolero-en-mexico>

**Peyton Young** (Professorial Fellow) My research this year has been concerned with the aftermath of the global financial crisis and the reforms that will be needed in order to avoid another. I believe that

microeconomics in general, and game theory in particular, can illuminate some of the root causes that were missed by both finance specialists and macroeconomists. Insufficient attention was paid to the incentives that were created for financial actors to take on very high levels of leverage and risk. These incentives arose from a combination of the bonus culture, which rewards managers for delivering outsized returns, and the spread of exotic derivatives that can be used to manufacture such returns.

One remedy that is being widely discussed in banking circles is to defer bonus payments for several years, so that one can be reasonably certain that the profits justifying the bonuses were not bogus. In recent article published in the *Quarterly Journal of Economics*, I show that this type of reform will have relatively little impact: one can delay bonus payments for as much as ten or fifteen years without making much of a dent in the incentives for financial managers (with access to the derivatives market) to undertake great risk at the expense of their investors. I also show that it is essentially impossible to design a contract that rewards managers purely on the basis of their historical returns without at the same time rewarding charlatans and mimics who can enter the market with impunity. Insisting on a high degree of transparency in the positions and trading strategies of asset managers is the only way to avoid this problem.

I also continued my research on economic and social institutions and the mechanisms that lead to institutional change. Economic and social change typically follows a pattern in which a potential innovation is available for a long period of time before an acceptance threshold is crossed and the new way of doing things suddenly becomes widespread. Of particular importance to this diffusion process is the structure of the social network through which agents obtain information. I characterize the rate at which social innovations spread based on three factors: the extent to which agents interact in small clusters, the payoff gain of the innovation relative to the status quo, and the amount of noise in the best

response process. The analysis shows why social innovations tend to occur in large jumps rather than through small incremental improvements. This work is reported in a forthcoming article in the *Proceedings of the National Academy of Sciences*.

This past year I lectured on these topics at Stanford University, Yale University, the University of Chicago, Northwestern University, the Santa Fe Institute, and the University of California Irvine. I also gave plenary talks at the *Sackler Colloquium on the Dynamics of Economic, Political and Social Institutions* sponsored by the US National Academy of Sciences, December 2010, and at the Conference on Social Decision Making: Bridging Economics and Biology, Locarno, Switzerland, April 2011.

### *Publications*

(with Dean P. Foster), “Gaming Performance Fees by Portfolio Managers,” *Quarterly Journal of Economics*, 125: 1435-1458, 2010.

“The Dynamics of Social Innovation,” *Proceedings of the National Academy of Sciences*, forthcoming September 2011.

**Adam Ziegfeld** (Postdoctoral Prize Research Fellow) During this past academic year, I spent three months in India collecting data on the characteristics of candidates standing for legislative office. This data collection, which was supported by grants from the British Academy and the John Fell OUP Research Fund, is part of a broader book project, based on my dissertation, that is about candidate-centred politics in India. The resulting data set includes information on nearly 2,000 candidates running for office in the North Indian state of Haryana. I have presented the results of this data collection at meetings of the Midwest Political Science Association and the European Political Science Association.

I also spent much of this academic year finishing up several article-length projects. One is a chapter from my dissertation, which has been accepted at *Comparative Politics*. This article shows that the advent of coalition politics in India brought about the rise of regional parties in the 1990s. In addition, I have been working on three papers which are either under review or about to be sent for review. The first, which is joint with Kentaro Maeda (Tokyo Metropolitan University), examines the individual-level correlates of corruption perceptions. The second, co-authored with Maya Tudor (Oxford), explains why India's formerly dominant party, Congress, remained dominant for much longer in some Indian states than in others. Finally, the third argues that the relationship between district magnitude and permissiveness is conditional upon on the support bases that parties mobilize. When political parties mobilize geographically concentrated support bases, the expected relationship between district magnitude and permissiveness does not necessarily hold.

## *Student Publications*

*Barron, Patrick*

(with Rachael Diprose and Michael Woolcock), *Contesting Development: Participatory Projects and Local Conflict Dynamics in Indonesia*. New Haven, CT.: Yale University Press 2011.

“CDD in Post-Conflict and Conflict-Affected Areas: Experiences from East Asia.” *Social Development Paper*. Washington, D.C.: World Bank, 2011.

(with Paul Arthur, Peter Bartu and Teresa Whitfield), “Sub-National Violence in Middle and Higher Income Countries: The Cases of Aceh, Basque Country, Mindanao, and Northern Ireland.” Paper for World Development Report 2011. Washington, D.C.: World Bank, 2011.

*Ichou, Mathieu*

Ichou, Mathieu & Vallet, Louis-André, “Do all roads lead to inequality? Trends in French upper secondary school analysed with four longitudinal surveys”, *Oxford Review of Education*, Volume 37, Number 2, April 2011 , 167-194.

*Ali, Sundas*

“The Politics of Islamic Identities”, in Anthony Elliott (ed.), *Routledge Handbook of Identity Studies* (London: Routledge), 2011.

“New Times? Economic crisis, geo-political transformation, and the emergent migration order”, Report for *Centre on Migration Policy and Society*, University of Oxford, 2010.

*Taylor, Mark*

[http://news.bbc.co.uk/today/hi/today/newsid\\_9450000/9450980.stm](http://news.bbc.co.uk/today/hi/today/newsid_9450000/9450980.stm)

This is based on my presenting at the BSA at LSE on April 6<sup>th</sup> 2011. I was interviewed by the guardian, the telegraph, the times, and the press association; this stuff was also picked up by *The Express*, *The Mail*, *The Sydney Morning Herald*, *The Times on India*, and the *Chicago Sunday Times*.

*Von Billerbeck, Sarah*

(with Anke Hoeffler and Syeda Shahbano Ijaz) "Post-Conflict Recovery and Peacebuilding." Input Paper for World Development Report 2011. Washington, D.C.: World Bank, 2011.

"Aiding the state or aiding corruption? Aid and corruption in post-conflict countries." In Christine Cheng and Dominik Zaum (eds.), *Selling the Peace: Post-Conflict Peacebuilding and Corruption*. London: Routledge, 2011.

*Yong, Caleb*

(Forthcoming), 'Does freedom of speech include hate speech' *Res Publica*